









## EXECUTIVE BOARD DECISION TRACKING TABLE: FIRST REGULAR SESSION 2017


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
<a href="#">2017/1: Expression of appreciation to Ms. Helen Clark, Administrator of the United Nations Development Programme, 2009–2017</a>	OTHER	N/A	None	N/A		
<a href="#">2017/2: UNDP Evaluation</a>	EVALUATION	BPPS, ExO	<i>Para. 3:</i> Requests UNDP to take the evaluation recommendations into account in the next strategic plan and future programming, including at country level, where relevant	Second Regular Session 2017	Anti-corruption targets have been integrated in the IRRF and the RRFs of the regional programmes, and the MAPS approach. UNDP, with other UN partners, aims to integrate anti-corruption into national plans and development processes, through UNDAFs and other country-level UN programmes and projects.	Completed 


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	EVALUATION	BPPS, ExO	<i>Para. 7:</i> Encourages UNDP to ensure that in its next strategic plan, support to countries on the disability aspects of development is given greater prominence, and that UNDP work in support of the 2030 Agenda for Sustainable Development recognizes the disability aspects of the Sustainable Development Goals.	Second Regular Session 2017	SP 2018-2021 identifies PWDs as a critical target group in its multi-sectoral integrated poverty eradication efforts, and the inclusion of civil society representing PWDs crucial in country efforts to implement the 2030 Agenda. The SP IRRF has integrated indicators related to SDG target 16.	Completed 
	EVALUATION	BMS, BPPS, CRU, ExO	<i>Para. 8:</i> Underscores the importance of incorporating reference to disability in the forthcoming updating of UNDP corporate strategies, in particular the gender equality strategy.	Part of updates to corporate strategies	The UNDP gender equality strategy (2018-2021), which was presented to the Executive Board at the Second Regular Session in 2018, highlights the importance of persons with disabilities.	Completed 


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	EVALUATION	BMS, BPPS	<p><i>Para. 9:</i> Urges UNDP to assess which of its facilities are not yet accessible to persons with disabilities and to take steps to adapt as many of them as possible, and to make a concerted effort to employ more persons with disabilities, in accordance with article 27 of the Convention on the Rights of Persons with Disabilities</p>	Update in UNDP Annual Report	<p>underway and as part of it, UNDP in close collaboration with UNV, is implementing the first ever in the UN system talent programme for young professionals with disabilities. The objective of the programme is to employ persons with disabilities into UNDP as well as over time contribute to building a stronger cadre of development professionals with disabilities. The programme has been launched and the first several positions have been advertised. The extension of the programme is subject to funding. Other on-going streams of work include: (1) establishment of the reasonable accommodation fund and development of the respective guidance for offices;(2) update of the on-line course on working with persons with disabilities, and development of other learning materials for managers and staff, (3) implementation of internships for persons with disabilities, (4)</p>	<p>Completed and ongoing</p> 

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<a href="#">2017/3: Evaluation of the pilot activities carried out under the UNDP direct budget support policy</a>	FINANCIAL	BMS, BPPS	<i>Para. 4:</i> Recalls Executive Board decision 2015/1, and encourages UNDP to continue to ensure that the specific fiduciary risks associated with these modalities are assessed, existing safeguards continue to be applied and improved on, and monitoring and audit processes are undertaken on a timely basis	Update as part of next financial report or informal	Fiduciary risks will continue to be assessed and monitored.	Completed and ongoing 
	FINANCIAL	BMS, BPPS	<i>Para. 5:</i> Recommends continuation of this funding mechanism as part of the options available to UNDP, on the basis of lessons learned from the evaluations noted in paragraph 1 above and relevant audits to date, subject to regular review by the Executive Board	Update to the EB sometime in 2018	This funding mechanism will continue.	Completed 
<a href="#">2017/5: Reports of UNDP, UNFPA, and UNOPS on the implementation of the recommendations of the Board of Auditors for 2015</a>	AUDIT	BMS	<i>Para. 1 :</i> Recognizes the high-risk environments in which UNDP, UNFPA and UNOPS as well as their implementing partners operate, and requests the entities to continue to strengthen measures to prevent, detect and respond to fraud	First Regular Session 2018	Management is taking action with the Risk Management Framework Action plan. Implementation of 2017 funded actions is underway and these efforts will address some of the more pertinent issues. Additional actions will be proposed for 2018.	Completed and ongoing 


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	AUDIT	BPPS	<p><i>Para. 6</i> : Encourages UNDP to continue to strengthen programme management, oversight and reporting, including by improving programme quality and active risk management, in particular at country level, and to monitor the effectiveness of measures taken in that regard</p>	Update at next informal	<p>Quality programming has been bolstered by the introduction and institutionalization of rigorous quality standards for programming. These standards are assessed through an assurance rating completed on an annual basis for both programmes and projects. It's effectiveness is being evaluated through an independent review of the standards and ratings, which will advise on the credibility of the self-reported data by performing an in-depth spot check on 450 projects. This will inform an ongoing process of learning, decision-making, strengthening capacities, and improvement of the system and rating tools.</p>	<p>Completed and ongoing</p> 



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		BMS, BPPS	<p><i>Para. 7</i> : Further encourages UNDP to continue its efforts to enhance oversight and management of implementing partners, including ensuring compliance with UNDP policies and procedures, and assurance requirements, and urges UNDP to closely monitor implementation of the revised harmonized approach to cash transfers framework</p>	Update at next informal	<p>UNDP launched the updated HACT monitoring platform, which has been developed to provide a more robust platform for tracking and reporting of HACT implementation to better support decision making by UNDP Offices and their respective Bureaus. The platform, which includes a monitoring dashboard, can be accessed through the UNDP intranet.</p>	<p>Completed and ongoing</p> 

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		BMS	Para. 8: Encourages the continued efforts of UNDP to uncover and prevent procurement irregularities and other fraudulent practices and improve actions for recovery of funds, and underscores the importance of protecting whistle-blowers and ensuring that whistle-blower protections are both robust and well known to all staff members	Update at next informal	Under the Risk Management Framework Action plan 2 Special Projects have been initiated: 1. E-tendering project: 37 offices now implement e-tendering & this increases the compliance with bidding requirements. Compliance with the procurement requirements at each stage of the process will lead to better value for money & timely procurement which supports timely delivery of project plans. 2. Supplier of Interest Project (SOI): A flagship initiative to address the underlying vendor management issues highlighted by OAI. Cleaning up & archiving vendor database with a 48% reduction of records, reducing risk. So far 175,456 vendors of the 426,000 approved have been archived reducing the approved vendor database by 41.16%.	Completed and ongoing 



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<a href="#">2017/6: Elaboration of the UNDP strategic plan, 2018-2021</a>	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	ExO, BPPS	<i>Para. 2</i> : Requests UNDP to engage constructively with UNFPA, the United Nations Children’s Fund (UNICEF) and United Nations Entity for Gender Equality and the Empowerment of Women (UN-Women) to ensure a coherent approach of the four strategic plans (UNDP, UNFPA, UNICEF, UN-Women)	Update at next informal	The Strategic Plan for 2018-2021 was approved in November 2017 with a coherent approach of the strategic plans of UNFPA, UNICEF and UN-Women, including the incorporation of the common chapter.	Completed and ongoing 








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	ANNUAL REPORT & STRATEGIC PLAN	ExO, BPPS	<p><i>Para. 3</i> : Also requests UNDP, in line with General Assembly resolution 71/243 of 21 December 2016 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system, to elaborate in its strategic plan on how it plans to engage in coherent and integrated support, as called for in the 2030 Agenda for Sustainable Development, and in this regard, further requests UNDP to work collaboratively with UNFPA, UNICEF and UN-Women on the development of a specific chapter in its strategic plan, outlining a common approach in supporting Member States towards the implementation of the 2030 Agenda, in accordance with each entity's mandate</p>	Update at next informal	UNDP, UNFPA, UNICEF, and UN Women now all have a Common Chapter as part of their respective Strategic Plans 2018-2021.	<p>Completed</p> 



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	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	ExO, BPPS	<i>Para. 4</i> : Encourages UNDP to collaborate with UNFPA, UNICEF and UN-Women to continue to harmonize their approaches to results reporting on their strategic plans, which, inter alia, will help to capture their contributions to collective outcomes	Update at next informal	Discussion on how to harmonize results reporting is ongoing. The harmonized results reporting on the Common Chapter was included in the Annual Report of the Administrator for 2018.	Completed and ongoing 
	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	ExO, BPPS	<i>Para. 5</i> : Requests UNDP to provide all relevant documents at least 10 days prior to any consultation	Update at next informal	Efforts ongoing to provide all relevant documents at least 10 days to any consultation.	Completed and ongoing 


## EXECUTIVE BOARD DECISION TRACKING TABLE: ANNUAL SESSION 2017




DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
<a href="#">2017/9: Special session 2017</a>	ANNUAL REPORT & STRATEGIC PLAN	N/A	None	N/A		
<a href="#">2017/10: Cumulative review of the UNDP Strategic Plan, 2014-2017 and annual report of the Administrator</a>	ANNUAL REPORT & STRATEGIC PLAN	BPPS, ExO	<i>Para. 4:</i> Urges UNDP to accelerate progress and meet the 2017 targets as set out in the integrated results and resources framework for all development and institutional effectiveness outputs	Annual Session 2018	UNDP completed all development and institutional effectiveness activities for 2017. UNDP's performance against 2017 targets have been analyzed and will be reported in the Annual Report of the Administrator for 2017.	Completed 
	ANNUAL REPORT & STRATEGIC PLAN	BPPS, ExO	<i>Para. 5:</i> Requests UNDP to incorporate the lessons learned from the relevant analyses of performance under the current Strategic Plan, including the assessment of UNDP institutional effectiveness and the evaluation of the Strategic Plan itself, in the formulation of the new strategic plan, and urges UNDP to strengthen outputs, outcome and impact indicators in consultation with programme implementing units in the organization	Second Regular Session 2017	Final SP/GP/RP Evaluation that includes Institutional Effectiveness findings and recommendations was submitted on 25 July 2017 to UNDP. The formulation of the new Strategic Plan drew lessons provided by the SP/GP/RP Evaluation.	Completed 

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	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	BPPS, ExO	<i>Para. 6:</i> Recognizes the inter-agency efforts made to harmonize the report card methodology and format, and requests UNDP to continue consultations with United Nations funds and programmes for further alignment of reporting	Update at next informal	Reporting among Funds and Programmes under the current Strategic Plan is fully aligned.	Completed 
	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	BPPS	<i>Para. 7:</i> Urges UNDP to continue to align its results architecture with the Sustainable Development Goals framework, in coordination with other United Nations funds and programmes, to help measure performance in supporting implementation of the 2030 Agenda	Update at next informal	Within the inter-agency working group that UNDP set up, Funds and Programmes completed formulation of the Common Chapter and of the Annex of indicators which will further align results reporting against the SDGs. This was included in the agency specific Strategic Plans.	Completed 
	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	BPPS	<i>Para. 8:</i> Requests UNDP to include in its future annual reports information on the development context of countries while reporting on strategic plan outputs	Annual Session 2018	The Annual Report of the Administrator incorporates information on the development context of UNDP's programme countries while reporting on results.	Completed 



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	ANNUAL REPORT & STRATEGIC PLAN	BPPS	<i>Para 10:</i> Expresses concern that UNDP is not meeting all its benchmarks for projects that have gender equality as a principal objective and encourages UNDP to take necessary corrective measures in this regard	Annual Session 2018	The new Gender Strategy (2018-2021) will provides guidance as to how gender results can be better set and achieved in programmes and projects. The gender marker methodology will be further strengthened.	Completed and ongoing 
	ANNUAL REPORT & STRATEGIC PLAN	BPPS	<i>Para. 11:</i> Notes that the inclusion of gender equality results and indicators in the present Strategic Plan and integrated results and resources framework, as well as the gender equality strategy, have been useful to advance UNDP work on gender equality and women's empowerment, and requests UNDP to incorporate lessons learned from the implementation of the current gender equality strategy in the next strategic plan and integrated results and resources framework to further advance gender equality and women's empowerment	Second Regular Session 2017	The Independent Evaluation of UNDP's contribution to Gender Equality and Women's Empowerment and the Management Response as adopted by the Executive Board as well as the SP/GP/RP Evaluation and its findings and recommendations pertaining to integration of GEWE in UNDP's work have informed the formulation of the new Strategic Plan.	Completed 


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<a href="#">2017/11: Cumulative review of the UNDP integrated budget, 2014-2017</a>	FINANCIAL	BMS	<p><i>Para. 4:</i> Emphasizes the need for UNDP to continue to improve transparency in the use of resources to achieve programme results and to enhance organizational effectiveness and efficiency and requests UNDP to present in its future financial planning and reports a higher level of detail on the way regular (core) resources are attributed and used, inter alia with regard to staff costs, programming arrangements, programmatic budget lines, oversight functions, development effectiveness and the special purpose activities budget line</p>	Annual Session 2018	This information was provided as part of the Integrated Budget 2018-2021.	Completed and ongoing 
	FINANCIAL	BMS	<p><i>Para. 5:</i> Acknowledges progress made by UNDP in cost alignment through implementation of the cost-recovery policy, notes that UNDP should make further progress and encourages UNDP contributors to adhere to the aspects of the cost-recovery policy approved by the Executive Board in decision 2013/9</p>	Update at next informal	Progress continues to be made on cost-alignment.	Completed and ongoing 


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	FINANCIAL	BMS	<p><i>Para. 6:</i> Recalls decision 2013/9 in which the Executive Board requested UNDP to recommend adjustments to the approved cost-recovery rates, as required, to be presented at the 2016 annual session of the Executive Board, notes that this process has been delayed and requests UNDP, together with UNFPA, UN-Women and UNICEF, to continue the consultations with Member States with regard to the cost-recovery policy and to present evidence-based proposals for harmonized cost-recovery policies of UNDP, UNFPA, UN-Women and UNICEF, with adjustments if required, for consideration by the respective Executive Boards no later than their annual sessions in 2018</p>	Annual Session 2018	The joint EB paper on cost-recovery was presented at the 2nd regular session of the EB in 2018.	Completed 


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	FINANCIAL	BMS	<i>Para. 7:</i> Recalls decision 2016/5 and requests UNDP to incorporate relevant lessons learned from the integrated budget, 2014-2017, inter alia with regard to results-based budgeting, in the preparation of the integrated budget for 2018-2021	Second Regular Session 2017	This was incorporated in conjunction with the Integrated Budget 2018-2021.	Completed and ongoing 
	FINANCIAL	BMS	<i>Para. 8:</i> Requests that UNDP provide details on all relevant funding sources, including regular resources and, for informational purposes, other resources, in the development of the next integrated budget	Second Regular Session 2017	IB presented for November 2017 decision provides budget breakdown by source for all regular and other resources.	Completed 
<a href="#">2017/12: UNDP evaluation</a>	EVALUATION	BPPS	<i>Para. 2 :</i> Requests UNDP to address the issues raised in the annual report and its UNDP management response	Annual Session 2018	The management commentaries to the Annual Report on Evaluation presented to the EB during the annual session in 2018 contained information on measures taken to improve the decentralized evaluation function.	Completed 





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<i>With regard to the annual report on evaluation, 2016 (document DP/2017/20):</i>	<b>EVALUATION</b>	ExO, BMS	<i>Para. 3</i> : Approves the 2017 proposed budget, requests that the Independent Evaluation Office budget reach 0.2 per cent of the UNDP budget, as stipulated in the UNDP evaluation policy, and approves the workplan for the Independent Evaluation Office for 2017	Annual Session 2018	This request was reflected in the IB with an allocation to IEO.	Completed 
	<b>EVALUATION</b>	IEO, BPPS	<i>Para. 4</i> : Requests UNDP to further advance collaboration and joint evaluation work with other United Nations entities, especially United Nations funds and programmes	Annual Session 2018	Evaluation of the Common Chapter has started, with a 'baseline study' TOR drafted, for implementation in 2019. The IEO has also agreed to join with the GEF IEO to jointly evaluate the GEF Small Grants Programme, commencing 4th quarter of 2019.	Completed and ongoing 

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<p><i>With regard to the joint assessment of the institutional effectiveness of UNDP (document DP/2017/21) and the management response thereto (document DP/2017/22)</i></p>	<p><b>EVALUATION</b></p>	<p>BPPS</p>	<p><i>Para. 8</i> : Requests UNDP to implement the recommendations of the assessment, taking into account its findings and conclusions, and to draw lessons learned when developing the strategic plan, 2018-2021, and to include effectiveness and efficiency results indicators in the plan and the integrated results and resources framework, 2018-2021, to ensure adequate monitoring and evaluation of programmes, especially at country level</p>	<p>Second Regular Session 2017</p>	<p>The recommendations and conclusions of the Assessment have provided critical guidance to UNDP in the selection of its future priorities, business model and processes, and in the drafting of the new strategic plan and IRRF. The new UNDP quality standards for programming are designed to facilitate learning and decision-making to improve quality over time. The new strategic plan highlights how the integration of standards and monitoring of quality from design to implementation and closure of our programmes and projects further informs the analysis of UNDP's comparative and collaborative advantages on the ground.</p>	<p>Completed</p> 


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	EVALUATION	BPPS, BMS	<p><i>Para. 9</i> : Requests that UNDP continue its efforts to improve organizational effectiveness and efficiency by: (a) utilizing theories of change to underpin programming; strengthening results-based reporting; strengthening learning and knowledge management; strengthening a culture of learning; and improving transparency and accountability throughout the organization at all levels; and (b) assessing staffing, capacities and resources at regional centres and hubs, including their financial sustainability, to optimize the working model and knowledge management between headquarters, regional and country levels</p>	Annual Session 2018	<p>UNDP has launched a complete re-write of its Programme and Project Management policy and guidance, with the objective to improve the effectiveness and efficiency of its programming tools for SDG achievement. The theory of change underpinning the UNDAF/CPD is critical for UNDP programming, and helps inform UNDP priorities and approaches. A new customised project Management Certification will be rolled out in 2019 to, among other things, strengthen the M&amp;E capacities.</p> <p>Organizational transparency has been further enhanced as evidenced by the 2018 Aid Transparency Index, where UNDP was rated as one of the world most transparent aid organizations.</p>	Completed and ongoing 

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<p><i>With regard to the evaluation of the strategic plan to be presented to the Executive Board at its second regular session 2017</i></p>	<p><b>EVALUATION</b></p>	<p>IEO</p>	<p><i>Para. 11</i> : Requests the Independent Evaluation Office to ensure the timely publication of the evaluation of the UNDP Strategic Plan, Global Programme and Regional Programmes, 2014-2017, in order for the evaluation to be considered by the Executive Board at the second regular session in September 2017, in the context of developing the new strategic plan</p>	<p>Second Regular Session 2017 (but report to be delivered in July)</p>	<p>The final evaluation of the UNDP Strategic Plan, global, and regional programmes was presented to the Executive Board during its Second Regular Session 2017.</p>	<p>Completed</p> 


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	EVALUATION	BPPS	<p><i>Para. 12</i> : Requests that UNDP build on lessons learned from the current Strategic Plan and Integrated Results and Resources Framework, 2014-2017, and the findings, conclusions and recommendations of relevant evaluations and the joint assessment of the institutional effectiveness of UNDP when developing the strategic plan and integrated results and resources framework, 2018 – 2021</p>	Second Regular Session 2017	<p>The strategic plan and IRRF have been informed by results achieved and performance analyses conducted in the current plan period. UNDP takes an evidence-based approach in planning and programming drawing on evaluations and results analyses in charting the organization’s course moving forward. Findings, conclusions and recommendations of relevant independent and decentralized evaluations have informed UNDP's programmatic and institutional perspective, and provided critical guidance to UNDP in the development of the new strategic plan and IRRF.</p>	<p>Completed</p> 
<a href="#">2017/13: United Nations Capital Development Fund: integrated annual report on results for 2016 and cumulative report on the strategic framework, 2014-2017</a>	UNCDF	Member States	<p><i>Para. 6</i> : Calls on Member States in a position to do so to contribute to UNCDF regular resources to ensure that it can reach the target of \$25 million per year in regular resources</p>	Annual Session 2018		



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	UNCDF	UNCDF	<p><i>Para. 7</i> : Recognizes the strategic positioning of UNCDF in the current financing for development landscape and the strong relevance of UNCDF innovations and 'last mile' finance models in catalysing additional resources into LDCs, and to this end encourages UNCDF to continue optimizing its financial toolbox through its effective use of grants, loans, and guarantees</p>	Update at next informal	<p>The Strategic Framework 2018-2021 notes that UNCDF's LDC investment platform provides the vehicle to expand deployment of capital instruments to a growing pipeline of projects in the last mile. The platform rests on the UNCDF enterprise risk management and loans and guarantees policies; strengthened due diligence processes for vetting and risk assessment of potential loans or guarantees; and dedicated and growing capacities to manage and oversee non-grant capital investments as a portfolio and ensure robust accountability. The deployment of those instruments at scale will be dependent upon adequate funding as reflected in the funding scenarios contained in the SF.</p>	Completed and ongoing 


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<a href="#">2017/17: Reports of UNDP, UNFPA, and UNOPS on internal audit and investigations</a>	AUDIT	BMS	<p><i>Para. 3</i> : Recalls decision 2016/13, in which the Board expressed concern about recurring weaknesses for both UNDP and UNFPA related to programme management, procurement, governance and financial management, notes that similar challenges have been identified in the 2016 reports, and underscores the urgent need to intensify efforts to address these issues</p>	Annual Session 2018	UNDP is continuing to emphasize the responsibility of all personnel in the prevention and reporting of suspected occurrence of fraud and corruption.	Completed and ongoing ●
	AUDIT	BMS	<p><i>Para. 4</i> : Takes note with concern of the 'partially satisfactory' rating for 2016 in the opinions on the governance, risk management and control frameworks of UNDP and UNFPA, and urges UNDP and UNFPA to prioritize action to address the findings and recommendations on their respective governance, risk management and control frameworks</p>	Annual Session 2018	OAI's opinion in its 2017 report on GRC was 'satisfactory' and findings and recommendations continue to be prioritized. In addition, UNDP now has anonymous fraud reporting channels which staff and non-staff personnel can use to report concerns and suspected occurrence of fraud and corruption.	Completed and ongoing ●

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	AUDIT	BMS	<p><i>Para. 7</i> : Requests management to continue to strengthen the internal audit and investigation oversight of UNDP in all areas, including procurement, programme management, financial management and fraud detection</p>	Annual Session 2018	<p>Ensuring strong internal audit and investigation oversight of UNDP in all areas will continue to be a priority of the organization, and there is a new Policy on Protection Against Retaliation for staff and non-staff personnel who report suspected incidents of fraud.</p>	<p>Completed and ongoing </p>







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	AUDIT	BMS	<p><i>Para. 8</i> : Expresses particular concern that weaknesses in procurement management have become a recurring issue in the audit reports, and expects UNDP to rectify this in a more thorough and strategic manner</p>	Annual Session 2018	<p>UNDP will continue to support organizational-wide efforts to manage audit findings and risks, including through the Top 7 Audit priorities as approved by the Board. With regard to strengthening procurement management, the following measures have been put in place: Continual monitoring of compliance through the Procurement Dashboard &amp; ACP online; Finalization &amp; roll out of a new Contract Management Policy; Rollout of e-Tendering in 37 CO &amp; rollout of TALEO implementation of ICs; Launch of PROMPT, a new tool for the creation &amp; continuous updating of procurement plans by Country Offices &amp; HQ units; Clustering, Automation of Contract &amp; LTA management through an E-Commerce platform coming Q4, 2017; Dedicated project to clean up vendor database to reduce risk; Reactivation of vendor sanctions committee and active debarring of unethical vendors.</p>	Completed and ongoing 


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	AUDIT	BMS	<p><i>Para. 9</i> : Welcomes the establishment by UNDP of a cross-functional task force to increase the rate of recovery of defrauded assets and the improvement in recovery of funds, and urges UNDP to further its efforts in this matter</p>	Annual Session 2018	<p>The UNDP Organizational Performance Group has approved the creation of a centralized tracking and monitoring system for asset recovery (both cash and physical assets) and has endorsed the creation of a Standing Board (SB) on asset recovery chaired by the Director of OFRM/Chief Financial Officer. Based on work of the Standing Board, the findings will be reflected in the Management response to the OAI report which is presented at the 2018 Annual Session of the Board.</p>	Completed and ongoing 
	AUDIT	BMS	<p><i>Para. 10</i> : Requests management to provide regular updates on recovery of assets, including recovery trends, to the Executive Board at its annual session</p>	Annual Session 2018	<p>UNDP has a senior group which meets regularly on this topic and a status update was provided to the Board as requested.</p>	Completed and ongoing 


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<a href="#">2017/18: Reports of the ethics offices of UNDP, UNFPA and UNOPS</a>	ETHICS	ExO, BMS	<i>Para. 2</i> : Urges UNDP, UNFPA and UNOPS to continue to provide adequate resources to their ethics offices to ensure that they can carry out their work effectively	Annual Session 2018	For 2019, UNDP actually increased the Ethics budget and added a P-3 post, recruitment for which is at the interview stage.	Completed 




## EXECUTIVE BOARD DECISION TRACKING TABLE: SECOND REGULAR SESSION 2017

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
<a href="#">2017/20: Structured Funding Dialogue</a>	FINANCIAL	BERA, BMS	<i>Para. 2</i> : Emphasizes that regular resources, because of their untied nature, are the bedrock of UNDP and essential to maintaining the multilateral, neutral and universal nature of its mandate and to carry out its work, and in this regard, reiterates the need for UNDP to address, on a continuous basis, the imbalance between core/regular and non-core/other resources, while continuing to mobilize other resources to respond to the needs of all programme countries, particularly the poorest and most vulnerable;	Ongoing	UNDP is continuing to address the imbalance between core and non-core resources, while continuing resource mobilization efforts.	Completed and ongoing 



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	FINANCIAL	BERA, BMS	<i>Para. 3</i> : Requests that UNDP improve future structured funding dialogues in line with decision 2017/11 and in that regard, requests UNDP to present a proposal to the Board no later than the second regular session of 2018 on how it plans to use structured funding dialogues as a tool to improve the quality and transparency of funding and better match resources to the outcomes of the strategic plan 2018-2021	Second Regular Session 2018	A draft proposal on improving the quality of the structured funding dialogue was submitted to EB members in advance of an informal session on this topic on 4 Dec 2018. There are also on-going discussions with UN funds & programmes regarding the format and content of the annual report on contributions by Member States.	Completed 
	FINANCIAL	BERA, BMS	<i>Para. 4</i> : Encourages UNDP to present to the Board, for the structured funding dialogues, an overview of funding gaps and an analysis of their impact on the implementation of the strategic plan, taking into account both regular and other resources	Second Regular Session 2018	UNDP will continue to work with Member States in improving the structured funding dialogues, including having this requested information.	Completed and ongoing 
	FINANCIAL	BMS	<i>Para. 9</i> : Requests UNDP to continue the dialogue with programme countries with respect to fulfilling their contributions to government local office costs	Ongoing	Follow up on contributions to government local office costs (GLOC) continues.	Completed and ongoing 



DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	<b>FINANCIAL</b>	ExO, BMS	<i>Para. 10</i> : Urges UNDP to consider the importance of greater efficiency and effectiveness as part of the dialogue related to the strategic plan and integrated budget for 2018-2021, without negatively affecting programme delivery	Special Session 28 Nov. 2017	UNDP considered greater efficiency and effectiveness as part of the dialogue related to the strategic plan and integrated budget for 2018-2021.	Completed and ongoing 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	All Bureaux	<p><i>Para. 11</i> : Urges UNDP to take a more a proactive role by engaging in strategic financing dialogues directly with Member States and other funding partners, in full respect of General Assembly decision 70/224 of 23 February 2016 on global partnerships, with a view to diversifying potential sources of funding, especially core funding, in alignment with the core principles of the United Nations development system and General Assembly resolution 71/243 of 21 December 2016 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system, with full respect for the national priorities of programme countries</p>	Ongoing	Discussions on funding issues such as this with Member States and other funding partners are ongoing with UNDP aiming to take a more proactive role.	Completed and ongoing 


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	BMS	<i>Para. 12</i> : Notes the negative impact of currency fluctuations on both regular and other resources, welcomes the efforts taken to manage exchange rate fluctuations through hedging and urges UNDP to further develop its response to currency fluctuations	Update at next informal	Efforts towards managing exchange rate fluctuations continue with hedging and other measures.	Completed and ongoing 
	FINANCIAL	BMS	<i>Para. 13</i> : Encourages UNDP, in collaboration with UNFPA, UNICEF and UN-Women, to find effective and practicable ways to harmonize administrative and financial systems to facilitate joint programming and implementation, and to present information on progress made in this regard to the Executive Board	Update during next joint briefing	UNDP is exploring feasibility of financial system integration and will update the Board.	In Progress 
<a href="#">2017/21: Evaluation: Evaluation of the UNDP Strategic Plan, Global, and Regional Programmes, 2014-2017, and management response</a>	EVALUATION	IEO	<i>Para. 2</i> : Requests the Independent Evaluation Office to ensure adequate and comprehensive coverage of all aspects of the UNDP mandate, in particular focusing on UNDP work on poverty reduction, as provided for in decision 2016/17, in future evaluations of the strategic plans	Future evaluations	IEO multi year work plan 2018-2021 was approved by the Board at its 1st regular session of 2018. The Plan includes an evaluation of UNDP poverty programming in LDCs, which has been launched and will come to the Board at its 1st Regular Session in 2019.	Completed 





DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	EVALUATION	ExO, BPPS	<i>Para. 4:</i> Requests UNDP to take into account, as appropriate, the recommendations of all relevant evaluations when formulating the next strategic plan for 2018-2021, and related global and regional programmes	Special Session 28 Nov. 2017	These past evaluations were taken into account when formulating the next Strategic Plan 2018-2021.	Completed 
	EVALUATION	BPPS	<i>Para. 5:</i> Requests UNDP leadership to continue to enhance efforts to create an environment that welcomes critical reflection and continuous organizational learning for improved results and institutional effectiveness, and to make significant progress towards results-based budgeting and assessing programmes' value for money	Update at next informal	The new strategic plan for 2018-2021 was developed based on lessons learned from the implementation of the previous strategic plan as well as various evaluations, including the SP/GP/RP evaluation. In the new strategic plan and integrated budget, steps are being taken to advance results-based budgeting by improving costing methods, reviewing project pipelines, analysing demand by programme countries, using past spending patterns as a guide and income projections by sources of fund for 2018-21.	Completed 


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	EVALUATION	IEO	<i>Para. 6:</i> Requests that the UNDP Independent Evaluation Office provide at the first regular session 2018 a new multi-year evaluation plan that includes a comprehensive evaluation of the next UNDP strategic plan, to be carried out at its conclusion in four years' time	First Regular Session 2018	The IEO provided the multi-year evaluation plan for Board consideration at the 1st regular session, as requested.	Completed 
<a href="#">2017/22: UNCDF: Review of UNCDF Operational Reserve</a>	FINANCIAL	UNCDF, BMS	<i>Para. 4:</i> Requests inclusion of the results arising from the revised methodology in the UNCDF budget and financial statements commencing in 2017	Annual Session 2018	The results from the revised methodology were considered during preparation of the 2018 budgets. This will also be reflected in the 2017 Financial Statements which are currently being prepared.	Completed 



## EXECUTIVE BOARD DECISION TRACKING TABLE: SPECIAL SESSION 2017


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
<a href="#">2017/30: UNDP Strategic Plan 2018-2021</a>	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	ExO, BPPS	<i>Para. 2</i> : Endorses the UNDP Strategic Plan, 2018-2021 (DP/2017/38), takes note of its annexes and encourages UNDP to provide details on its implementation in its annual reporting and, when applicable and as appropriate, at the joint meeting of the Executive Boards of UNDP/UNFPA/UNOPS, UNICEF, UN-Women and WFP;	Annual Session 2018	The Annual Report of the Administrator for 2018 is completed with details on the status of the Strategic Plan implementation.	Completed and ongoing 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	<p><b>ANNUAL REPORT &amp; STRATEGIC PLAN</b></p>	<p>ExO, BPPS</p>	<p><i>Para. 5</i> : Notes the efforts undertaken by UNDP to align its Strategic Plan, 2018-2021 with General Assembly resolution 70/1 of 25 September 2015 on Transforming our world: the 2030 Agenda for Sustainable Development, and with General Assembly resolution 71/243 of 21 December 2016 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system, as well as its efforts to focus its areas of work and outcomes towards achieving its vision for the Strategic Plan, and requests UNDP to implement the Strategic Plan, 2018-2021, in accordance with these resolutions and with full respect for the principle of national ownership and leadership and recognizing the different contexts and particular characteristics of each programme country</p>	<p>Annual Session 2018</p>	<p>The Strategic Plan will be implemented in accordance with these resolutions.</p>	<p>Completed and ongoing</p> 


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	ANNUAL REPORT & STRATEGIC PLAN	ExO	<p><i>Para. 6:</i> Requests UNDP to present to the Executive Board at the first regular session 2018, for its consideration at the annual session 2018, a working plan for engagement with the Board with respect to the implementation of the Strategic Plan, 2018-2021, integrated resources plan and integrated budget, 2018-2021 and their annexes, including a schedule of updates from the Administrator on progress made in this regard, including on its work on partnerships, the alignment of results to resources, the development of the business model and its linkages to staff capacities, as well as performance management, the institutional set-up, structured funding dialogues, collaboration and work with other United Nations agencies and various thematic strategies, including the gender equality strategy</p>	First Regular Session 2018 and Annual Session 2018	An update on the working plan for engagement with the Board was presented at the First Regular Session 2018 and an updated plan was presented during the Annual Session 2018.	Completed 


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	ANNUAL REPORT & STRATEGIC PLAN	ExO, BPPS	<p><i>Para. 7</i> : Requests UNDP to provide baselines and targets for the integrated results and resources framework (DP/2017/38, annex 1) as well as theories of change for the Strategic Plan to the Executive Board at its annual session 2018, and in the lead-up to that annual session, requests that UNDP engage with the Executive Board on progress with the methodologies underpinning the indicators in the integrated results and resources framework (DP/2017/38, annex 1) and how the outputs in that framework will feed into the common chapter outcomes in the Strategic Plan, 2018-2021</p>	Annual Session 2018	<p>The populated IRRF and ToC paper were included as the annexes of the Annual Report of the Administrator for 2017. UNDP has engaged the EB through informal consultations.</p>	<p>Completed</p> 


DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	<b>ANNUAL REPORT &amp; STRATEGIC PLAN</b>	ExO, BPPS	<i>Para. 8</i> : Requests UNDP to present to the Executive Board at its annual session 2020 a thorough midterm review of the Strategic Plan, 2018-2021 and its annexes, including if required updates to the Plan and its annexes, taking into account any potential decisions by Member States on the Secretary-General's proposals responding to General Assembly resolution 71/243	Annual Session 2020	The Midterm Review of the Strategic Plan will be delivered by the Annual Session 2020.	In Progress 
<a href="#">2017/31: UNDP Integrated Resources Plan and Integrated Budget, 2018-2021</a>	<b>FINANCIAL</b>	BMS	<i>Para. 4</i> : Takes note of the report of the Advisory Committee on Administrative and Budgetary Questions (DP/2017/40) on the institutional components of the UNDP integrated budget estimates and the recommendations of the Advisory Committee, and requests UNDP to provide updates on implementation of these recommendations at the annual session 2018 and thereafter as appropriate	Annual Session 2018	Update was provided at the Annual Session 2018.	Completed 



DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	<b>FINANCIAL</b>	ExO, BMS	<p><i>Para. 8:</i> Welcomes the budget allocations to the United Nations Capital Development Fund and United Nations Volunteers programme and the ongoing contribution to these organizations from the UNDP core budget, particularly in view of their importance for least developed countries, and calls on UNDP to continue to provide contributions to these entities, and to report to the Board if UNDP funding allocations to these entities change over the lifetime of this integrated budget</p>	As needed	UNDP will report to the Board if UNDP funding allocations to these entities change over the lifetime of this integrated budget.	<p>Completed and ongoing</p> 




DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	BERA, BMS	<p><i>Para. 10:</i> Emphasizes that regular resources, because of their untied nature, are the bedrock of UNDP and essential to maintaining the multilateral, neutral and universal nature of its mandate and to carry out its work, and in this regard, reiterates the need for UNDP to address, on a continuous basis, the imbalance between core/regular and non-core/other resources, while continuing to mobilize other resources to respond to the needs of all programme countries, particularly the poorest and most vulnerable</p>	Update at next informal	UNDP will continue to address the imbalance between core and non-core resources while continuing its resource mobilization efforts.	<p>Completed and ongoing</p> 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	ExO, BMS	<p><i>Para. 12:</i> Requests the Administrator, in conjunction with the midterm review of the Strategic Plan, 2018-2021, to conduct a thorough midterm review of the integrated resources plan and integrated budget that includes, inter alia, an analysis of budget methodology approaches, resource mobilization efforts and the impact of the implementation of the tiered approach for the shielding of development activities, to be presented to Executive Board in 2020</p>	Annual Session 2020	The Midterm Review of the Integrated Resources Plan and Integrated Budget will be delivered by the Annual Session 2020.	<p>In Progress</p> 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	ExO	<p><i>Para. 13:</i> Requests UNDP to present to the Executive Board at the first regular session 2018, for its consideration at the annual session 2018, a working plan for engagement with the Board with respect to the implementation of the Strategic Plan, 2018-2021, integrated resources plan and integrated budget, 2018-2021 and their annexes, including a schedule of updates from the Administrator on progress made in this regard, including on its work on partnerships, the alignment of results to resources, the development of the business model and its linkages to staff capacities, as well as performance management, the institutional set-up, structured funding dialogues, collaboration and work with other United Nations agencies and various thematic strategies, including the gender equality strategy</p>	First Regular Session 2018 and Annual Session 2018	An update on the working plan for engagement with the Board was presented at the First Regular Session 2018 and an updated plan was presented during the Annual Session 2018.	Completed 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	BMS	<i>Para. 14:</i> Requests UNDP to continue consultations on cost recovery with the Executive Board in line with decision 2017/11	Annual Session 2018	The joint report on cost-recovery was presented at the 2nd regular session of 2018	Completed 
	FINANCIAL	BMS	<i>Para. 15:</i> Recognizes that UNDP has made progress in diminishing cross-subsidization from regular (core) to other (non-core) resources; notes the UNDP request for additional resources of \$49 million for the institutional budget for management activities; encourages UNDP, if possible, to use less than \$49 million and eliminate any cross-subsidization as soon as possible; and requests UNDP to present a proposal on a transparent role of regular (core) resources for the integrated budget 2018-2021	Second Regular Session 2018? (tbc)	This was done through the Integrated Budget and Integrated Resources Plan 2018 - 2021	Completed 

DECISION	CATEGORY	RESPONSIBLE DIVISIONS	REQUEST	DEADLINE	PROGRESS AND FOLLOW UP ACTIONS	STATUS
	FINANCIAL	ExO, BMS	<p><i>Para. 16:</i> Recognizes that government cost sharing constitutes a voluntary funding mechanism that strengthens national ownership as well as contributes to the achievement of country programmes and in that regard, underlines the need to take the special characteristics of government cost sharing into account if considering organizational adjustments through the business models initiative</p>	As needed	The special characteristics of government cost sharing will be taken into account.	<p>Completed and ongoing</p> 
	FINANCIAL	BMS	<p><i>Para. 17:</i> Requests UNDP to present information on government cost sharing as part of the documentation on harmonized cost recovery to the Executive Board at the annual session 2018.</p>	Annual Session 2018	This was in the joint EB paper on cost-recovery that was presented to the EB at the 2nd regular session of 2018	<p>Completed</p> 