

**PROJECT DOCUMENT**  
**UZBEKISTAN**



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**Project Title:** Support to implementation of Charter-based and Treaty Bodies recommendations in Uzbekistan

**Project Number:** # 00103344

**Implementing Partner:** National Human Rights Centre of Uzbekistan

**Start Date:** 24.05.2018

**End Date:** 31.12.2021

**PAC Meeting date:** 23.05.2018

**Brief Description**

The overall goal of the project is to strengthen the capacities of national institutions on human rights and the rule of law to implement effectively and transparently the recommendations of UN Charter-based and Treaty Bodies in accordance with Uzbekistan's international legal obligations in the field of human rights.

The Project will also contribute to the implementation of the Action Strategy 2017-2021 of the Republic of Uzbekistan related to the drafting of new laws in compliance with the international human rights law, localisation of SDG No.16 on peace, justice and strong institutions, as well as Outcome 8 of UNDAF 2016-2020 on better protection of rights and freedoms of citizens.

The national implementing partner of the project is the National Human Rights Centre of the Republic of Uzbekistan.

The project will also partner with the Legislative Chamber and Senate of Oliy Majlis of Uzbekistan, General Prosecutor's Office, Constitutional Court, Supreme Court, MFA, Ministry of Interior, Ministry of Justice, other line ministries and agencies, universities as well as civil society institutions and the media.

UNDAF Outcome 8: Legal and judicial reforms further ensure strong protection of rights, freedoms and legitimate interests of citizens.

CPD Output 2. Capacities of human rights institutions strengthened (UPR follow-up).  
GEN1

<b>Total resources required:</b>	USD 280,000	
<b>Total resources allocated:</b>	<b>UNDP TRAC:</b>	\$160,000
	<b>UNDP IRH:</b>	\$20,000
	<b>UK FCO:</b>	\$100,000
	<b>NHRC In-Kind:</b>	Office premises, phone lines

**Agreed by:**

UNDP  
  
 Helena Fraser  
 Resident Representative  
 UNDP in Uzbekistan

National Human Rights Centre  
 of the Republic of Uzbekistan  
  
 Anvar Saidov  
 Director of National Human Rights  
 Centre of the Republic of  
 Uzbekistan

Date: 23.05.2018

Date: 23.05.2018

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## ACRONYMS

<b>AWP</b>	Annual Work Plan
<b>CDTA</b>	Capacity Development Technical Assistance
<b>CDR</b>	Combined Delivery Report
<b>CO</b>	Country Office
<b>CPD</b>	UNDP Country Programme Document
<b>NIM</b>	National Implementation Modality
<b>CGGPC</b>	UNDP Cluster of Good Governance, Policy and Communications
<b>LPAC</b>	Local Project Appraisal Committee
<b>M&amp;E</b>	Monitoring & Evaluation
<b>MFA</b>	Ministry of Foreign Affairs of the Republic of Uzbekistan
<b>MoJ</b>	Ministry of Justice
<b>NPC</b>	National Project Coordinator
<b>PAC</b>	Project Appraisal Committee
<b>PATA</b>	Policy and Advisory Technical Assistance (PATA)
<b>PM</b>	Project Manager
<b>PR</b>	Public Relations
<b>QPR</b>	Quarterly Progress Reports
<b>RIA</b>	Regulatory Impact Assessment
<b>RMG</b>	Results Management Guide
<b>RMU</b>	UNDP Resource Mobilization Unit
<b>SBAA</b>	Standard Basic Assistance Agreement
<b>TOR</b>	Terms of Reference
<b>UN</b>	United Nations
<b>UNDAF</b>	United Nations Development Assistance Framework
<b>UNDP</b>	United Nations Development Programme
<b>UPL</b>	Universal Price List

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## I. DEVELOPMENT CHALLENGE

Uzbekistan with a population of over 32 million people (two-thirds of the population is under 30 years old) is the most populous country in Central Asia with an almost equal share of people living in rural and urban areas. The Constitution of Uzbekistan covers all fundamental human rights and freedoms in accordance with the Universal Declaration of Human Rights. Legislation of Uzbekistan recognizes the priority of international law over national legislation.

Uzbekistan is a State party to more than 70 international human rights instruments, including 6 (ICERD, ICCPR, ICESCR, CEDAW, CAT, CRC) out of the nine core UN human rights conventions, as well as four optional protocols. After the ratification of these conventions, a number of legislative acts and organizational measures were adopted in Uzbekistan, taking into account the recommendations of the UN treaty bodies on human rights and the Universal Periodic Review (UPR). In particular, the Parliament of Uzbekistan has adopted more than 400 legislative acts regulating fundamental human rights and freedoms. Nevertheless, the issue of law enforcement is important for ensuring the protection of human rights in practice.

According to the final report of the 24th session of the UN Human Rights Council, Uzbekistan received 203 recommendations, 115 of which were adopted by the country during the Plenary session, 30 were identified as already implemented, and 58 were not adopted on the basis that these recommendations either did not meet international commitments taken by Uzbekistan, or did not correspond to reality.

Nowadays Uzbekistan is making reforms in public administration system, rule of law, enhancement of legal and judicial system, social economic development of society, high level of security, inter-ethnic harmony and religious tolerance, and constructive foreign policy.

These reforms are based on new relationships of government agencies and citizens, depending on the needs and complaints of population and aimed at the raising the accountability of government bodies to the civil society.

In line with Action Strategy on five priority areas of development of Uzbekistan for 2017-2021, the second priority area is to ensure rule of law and further reform of legal and judicial system aimed at the genuine independence of judiciary and guarantees for better protection of rights and freedoms of citizens, enhancement of administrative, criminal, civil and economic legislation, enhancing the efficiency of the system for countering crime and prevention of wrongdoings, full implementation of due process in court trials, and improvement of system for legal aid and legal services.

In the context of the recommendations UN HRC and Treaty Bodies as well as State Programme "Year of Dialogue with People and Citizen's Interest" aimed on implementation of Action Strategy on five priority areas of development of Uzbekistan for 2017-2021, it is essential to further strengthen the independence and capacity of the judiciary, enhancement of activities of national human rights institutions, as well as ensuring access of citizens to effective legal remedies and practical and progressive implementation of the norms and provisions of ratified treaties on human rights.

As a follow-up to the visit of High Commissioner for Human Rights to Uzbekistan in May 2017, the both Chambers of Oliy Majlis have approved Action Plan on further development of cooperation with OHCHR on human rights on 16 June 2017. Parliament has adopted a Roadmap on follow-up to the recommendations of Special Rapporteur on Freedom of Religion or Belief on 4 May 2018. President of Uzbekistan has adopted a Decree on 70<sup>th</sup> Anniversary of Universal Declaration on Human Rights on 5 May 2018.

This project will contribute to the implementation of these strategic documents of the Government of Uzbekistan upon coordination with national partners.

## **Key Challenges:**

### **1) Strengthening the harmonization of national legislation and law enforcement practices in line with international human rights treaties.**

In this regard, there is need for an integrated approach to the implementation of international agreements with the concluding recommendations of treaty bodies and their general comments, as well as international best practices and international standards.

Since ratification of core human rights conventions, such as ICERD, ICCPR, ICESCR, CEDAW, CAT, CRC, Uzbekistan has been gradually complying with normative framework of the international human rights law as observed by concluding observations of UN Treaty Bodies as well as Charter-Based bodies recommendations.

Shortcomings cover the “rule of law cycle” from legislative drafting process to implementation, enforcement and monitoring of legislation in practice. It includes the issues on improving the quality of legislation, adopting laws of direct action, limiting the discretionary space for by-laws and enabling environment for public control.

In addition to Executive, the Parliament and Judiciary play important role in harmonization of national legislation and domestic jurisdiction in line with international human rights standards. The preparation of country before and after the treaty accession, as well as systematic monitoring over the implementation of international treaties are key issues raised by the Senate Committee on International Affairs. In 2015, UNDP has supported the systemic inventory of international treaties and piloting of classification and compliance review of environmental conventions as well as creation of a depository room for the international treaties in MFA.

Besides, as a specific issue, the ratification and implementation of the UN Convention on the Rights of Persons with Disabilities (UN CRPD) signed in 2009 was highlighted as essential for bringing the national legislation and institutional arrangements in compliance with CRPD requirements.

### **2) Improving the monitoring mechanism for human rights.**

The National Monitoring Mechanism for Human Rights is coordinated by the Interdepartmental Working Group, chaired by the Minister of Justice. In addition, the NHRC coordinates the compilation of Uzbekistan's periodic national reports to the human rights treaty and Charter bodies of the United Nations. In this regard, the issue of using disaggregated data, both quantitative and qualitative, is relevant to improve the quality and transparency of national reports. Publication of national country reports and concluding recommendations of treaty bodies in the portal [www.lex.uz](http://www.lex.uz) and the use of open public data from the portal [www.data.gov.uz](http://www.data.gov.uz) can enhance transparency of the monitoring process.

### **3) Increasing the institutional capacity of national human rights institutions in accordance with the international standards remains an urgent issue.**

Bringing the powers, functions, organizational, technical, financial and human resources of national institutions for the protection of human rights in full accordance with the international standards will contribute to enhancing the role of the national institutions in the national mechanism for the protection of human rights. It is also necessary to update existing training courses and programs on human rights in higher education institutions, as well as in law enforcement training centers. Furthermore, it is necessary to significantly improve knowledge experts on the conventions on human rights and to strengthen the capacity to implement them. It is necessary to enhance the cooperation of national institutions for human rights with NGOs (e.g., Women's Committee of Uzbekistan, Trade Unions, NANNOUz etc) in the area of human rights protection.

Uzbekistan has taken a number of reforms to ensure the independence of the Judiciary and the Legislature. In particular, the Parliament has recently increased its mandate to scrutinize thoroughly bills and performance results of the Government in social and economic development issues, while the judicial system has been modernized with introduction of electronic justice tools to ensure transparency and efficiency in civil and economic trials as well as enforcement of court decisions. It is planned to introduce e-case management system in criminal courts.

To comply with international human rights law in the practice, it is important to strengthen the accountability, integrity, and transparency of duty-bearers responsible for human rights promotion and protection in the executive branch, including law enforcement agencies.

Procedures, system and existing curricula of law enforcement agencies (Police Academy, Lawyer Training Center, Academy of GPO, and Law University) and civil servants (Academy of Public Administration) need to be revised substantially from the point of international human rights law with focus on implementation practice, case studies, international best practices and action-oriented learning.

Uzbekistan's Constitution Preamble reflects the state's 'adherence to human rights', 'commitment to the ideals of democracy and social justice', and the 'priority of the generally accepted norms of the international law'. Ensuring rule of law and continuing the judicial reforms has become one of the five state current priorities of Uzbekistan – the basis for the *State Action Strategy of further development of Uzbekistan from 2017 to 2021*.

To enhance the state's international standing it is paramount for the country to translate its commitment for the progressive realization of human rights into practice. Thus, it is crucial for the state to put more effort into developing national mechanisms of protection of human rights through an improved justice system and involvement of national human rights institutions. Judicial independence, legal enforcement and legal aid mechanisms need enhancement to provide citizens with effective access to legal remedies. Knowledge of and capacity to use the UN human rights mechanisms by national human rights institutions, such as the National Human Rights Centre (NHRC), the Parliamentary Ombudsman and Business Ombudsman and others, require strengthening.

- 4) In order to form the modern and effective public administration system, Government is taking consistent measures on strengthening the public control over the performance of state authorities and to increase the public participation. These reforms will contribute to the effective model of public control on law enforcement agencies to ensure the rights of detainees, prisoners and arrested people as well as people in conflict with law.

To further promote the idea of protecting human rights, UNDP will provide consultative and technical support to national partners in developing appropriate policies and fulfilling their obligations under international conventions and treaty bodies of the United Nations. The Project in cooperation with the UNCT, OHCHR, UNDP IRH, and other UN agencies, bilateral international partners will provide its assistance to the country in measures to implement the recommendations of Charter-based and Treaty-based organizations and the National Action Plan for the implementation of the Charter-based and UN Treaty Bodies recommendations.

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## II. STRATEGY

The project aims to support the implementation of the National Action Plan for the Implementation of the Charter-based bodies Recommendations and the Treaty Bodies (hereinafter referred to as the "National Action Plan"), focused on concrete results in the implementation of the recommendations, in particular in such areas as rule of law and development of civil society, the rule of law, the reform of the judicial system, and the economic and social rights, with emphasis on vulnerable groups.

The activities mentioned in the Annex 1 to this project document will also correspond to the Outcome 8 ("By 2020, legal and judicial reforms provide more reliable protection of the rights, freedoms and legitimate interests of citizens") of the United Nations Development Assistance Framework for Uzbekistan for 2016-2020. (UNDAF).

The project will facilitate the implementation of the activities specified in the Memorandum of Understanding between UNDP and the National Center for Human Rights of the Republic of Uzbekistan on October 20, 2016. In accordance with this Memorandum, the project will organize activities to assist the monitoring mechanism to ensure periodic review of progress in the implementation of the National Action Plan.

In addition, the project will provide technical assistance to key national institutions responsible for implementing the recommendations of the Charter-based and the UN treaty bodies. To provide analytical assistance to the Government and national institutions for the protection of human rights, the Project will carry out thematic studies to identify possible solutions for the implementation of recommendations, including through learning from the experiences of other countries, and to help strengthen the capacity of key national institutions involved in implementing the recommendations.

The project will facilitate an open platform for cooperation between national institutions and international partners to support the implementation of the National Action Plan. To this end, based on the study of international experience, quantitative and qualitative indicators for assess progress and results of the implementation of the adopted recommendations. The project will organize a regular review of the implementation of the National Action Plans and extended format for the thematic discussion "Round table" of implementation and the need to make adjustments to the National Action Plan, with the participation of all interested state Institutions, representatives of civil society, the United Nations and other international organizations. Conclusions and recommendations of the round tables will be presented to NHRC and the Interdepartmental working group on monitoring of observance of rights and freedoms Human rights by law enforcement agencies and others under the Ministry of Justice of the Republic of Uzbekistan.

Through the study of international best practices, the project will provide legal, methodological and informational support of the Coordinating Committee of the National Center of the Republic of Uzbekistan on Human rights in research on selected issues of human rights mentioned in the recommendations of the UN Human Rights Council.

In particular, in the framework of socio-economic rights of vulnerable groups, the Project will learn the basic principles, forms, mechanisms of formation and functioning of the social protection system in Uzbekistan, as well as based on the study of international experience to develop proposals to improve the efficiency of social protection of the population in Uzbekistan. At the same time, the international best practices on ratification and implementation of the UN Convention on the Rights of Persons with Disabilities will be analyzed. In addition, Uzbekistan's experience in the development and implementation of organizational and legal measures aimed at ensuring the reliable protection of human rights and freedoms in accordance with generally recognized international norms in this area will be analyzed and generalized, as well as to develop proposals for increasing the effectiveness of work in this direction on the basis of national priorities and objectives of the Action Strategy of Uzbekistan for 2017-2021.

In addition, it is planned to conduct a comparative legal analysis of the creation and functioning of mechanisms for the protection of human rights in democratic countries, using the example of the activities of national human rights institutions in accordance with the international standards. In this regard, attention will be paid to the mechanisms for the development of social partnership, public control and the public participation of citizens.

The Government of Uzbekistan has invited the international community, in coordination with the UN Office in Uzbekistan to join the platform to support and monitor the implementation of UPR recommendations. In response, UN Office jointly with international and national partners, organized in October and December 2013 and in January 2014 a series of national roundtables to discuss the NAP project, contributions and comments of the international community and human rights comparability of indicators developed by OHCHR. This led to the adoption of the NAP in November 2014 and the organization in November 2015 of the first roundtable for monitoring in conjunction with national partners. Since then, the round tables for monitoring the implementation of the National Action Plan have been held regularly.

Implementing the UPR recommendations is a complex agenda for any country. This is due to the fact that many of the recommendations relate to the normative legal reforms, the involvement of several agencies and the need for changes in enforcement practices. Therefore, for an effective response to the recommendations of the UPR, the human rights issues and development programs should be addressed in a comprehensive and coordinated manner.

One UN approach: The project offers a platform of international donor support to accelerate the implementation of the recommendations in the framework of the Universal Periodic Review in Uzbekistan. The project will be implemented by UNDP in Uzbekistan in close coordination and consultation with the UN Resident Coordinator with the advice of several UN agencies, including OHCHR, to ensure an integrated and holistic approach. Finally, the project will facilitate dialogue with national partners, UN agencies and other multilateral and bilateral donor community present in Uzbekistan.

The scope of the project: the main concept and scope of the project will be determined by the volume of the Charter-based Bodies recommendations, as well as the treaty bodies and the National Action Plans. The project will specifically support these recommendations, which include specific targets and milestones, and are result-oriented. This project will complement existing projects and programs that are already supported by various thematic areas related to human rights.

The project will work with national agencies and NGOs responsible for the implementation of Charter-based and Treaty Bodies recommendations on the basis of joint work plans. The project's role is to support national efforts, including those carried out by law enforcement agencies and NHRIs, by providing analytical and technical assistance to implement key recommendations of the NAP, which significantly and transparently contributes to the progressive implementation of human rights in Uzbekistan.

The project will also provide progress reports to the Interagency Working Group on Monitoring of Human Rights in the Ministry of Justice, which would make an evidence-based constructive dialogue on the progress made on the recommendations of the UPR more meaningful with the support of disaggregation's data.

To effectively promote the implementation of the recommendations in the Charter-based and treaty bodies, the principles of human rights and the development of technical assistance measures should be applied in an integrated manner to solve the problems mentioned above, namely the strengthening of institutional capacities for coordination, implementation and monitoring of human rights. To this end, the project will focus on structural and institutional reforms, the harmonization of legislation in accordance with international treaties on human rights, the development of new draft laws in as per legislative drafting plans of national partners, as well as joint research and monitoring activities aimed at and institutional changes.

In this context, a key aspect is the modernization of public administration and the rule of law. Since the creation of a system's framework and improving institutional capacity of national partners is an important objective of ensuring adequate promotion and protection of human rights and freedoms, rights holders, the project will also cooperate with law enforcement authorities with an agreed set of governance measures. It will involve a functional analysis of duty bearers, improving the



regulatory framework and institutional mechanisms for the strengthening of bilateral ties between the police and citizens and the media, the development of open data as per OHCHR's methodology on human rights data indicators and SDGs. It will be connected to measures of adjacent areas affecting human rights protection system, such as an e- governance, an administrative review of complaints from citizens and the national system of prevention of corruption, which provide support for reforms in the sectors related to human rights.

The project will focus on constructive engagement, including through negotiation and coordination with national stakeholders on key events in the development of human rights, in order to align them with national priorities and systemic changes. In addition, a multilateral partnership with the relevant public authorities (law enforcement bodies, Parliament, the Cabinet of Ministers, the judiciary and national institutions on human rights) will achieve lasting results, and provide transparent monitoring of progress on the implementation of the recommendations of the Charter-based and Treaty Bodies.

In case of interest and approval from the Government of Uzbekistan, Project also will provide technical assistance to the visits of Special Procedures in Uzbekistan and support in the development of national and alternative reports to the fourth cycle of the UPR and the forthcoming sessions of the Treaty Bodies.

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### III. RESULTS AND PARTNERSHIPS

#### *Expected Results*

In line with MoU between UNDP and NHRC 2016, this Project will provide a coordinated technical support for key national institutions that are responsible to take recommendations forward.

The implementation of the project will enhance the role of NHRC in the enhancement of public policy on human rights, development of strategic documents on implementation of Constitution and law as well as further implementation of international human rights treaties, to which Uzbekistan is party to.

In addition, it is expected that NHRC will strengthen its efficiency on systemic monitoring of legislation and law enforcement practice on human rights and on development of proposals on enhancement of the system to organize the implementation of international human rights standards by state bodies and CSOs.

Project activities will allow to enhance the forms and coordination functions of NHRC on informational, awareness raising, educational and printing activities on human rights and conducting research activities in this area. To raise the awareness of partners, project will conduct target research studies to identify the possible solutions for recommendations, including on the basis of the best foreign practices, and capacity development of key national institutions responsible for implementation of the UPR/Treaty Bodies recommendations.

**The main output of the project: Increased capacities of national institutions on human rights to follow-up to UPR and Treaty Bodies recommendations based on the results of the review of national reports of Uzbekistan on human rights and freedoms.**

Overall strategic goal of the Project is to strengthen accountability, transparency and efficiency of national human rights and rule of law institutions for implementation and monitoring of international human rights commitments. This the coordination of public administration on human rights will be strengthened and CSOs will receive expert and consultative support on organization of public oversight and monitoring in this area.

#### **Objectives:**

1. Enhance quality of national human rights monitoring system through the widening use of the UN human rights protection system in the work of national human rights institutions
2. Develop institutional capacities of rule of law state institutions for better protection of human rights through the implementation of international human rights treaties of Uzbekistan.

## **The project has four components:**

### **1) Assistance to national partners in collaboration with OHCHR and regional organizations**

This component will assist in strengthening international cooperation with Charter and Treaty Bodies of UN, OHCHR, European and other foreign institutions on human rights and freedoms.

#### Planned activities:

- 1.1. Support in participation of the delegation of Uzbekistan at sessions of the UN Human Rights Treaty Bodies, the UPR and the Human Rights Council (HRC) High-level Segment;
- 1.2. Methodological assistance for adaptation and implementation of human rights indicators in the process of the country's national reporting;
- 1.3. The study of the methodology of gender statistics in national reports on the UPR and UN Human Rights Treaty Bodies;
- 1.4. Assistance in the organization of official visits by the Special Procedures in Uzbekistan (as agreed);
- 1.5. Translation and distribution of selected OHCHR publications in Uzbek language;
- 1.6. Organization of joint activities and studies with OHCHR, including on issues of SDGs and Outcome 8 of UNDAF 2016-2020.
- 1.7. Organization of technical consultations and video conferences with relevant offices and departments of OHCHR
- 1.8. Conduct research activities on human rights in accordance with NAP and recommendations of Charter and Treaty Bodies based on analysis of international best practices on human rights protection within the framework of SDGs.
- 1.9. Assistance in organization of international conferences on human rights in Uzbekistan.
- 1.10. Assist in ensuring the participation of the delegation of Uzbekistan in regional events (workshops, seminars, conferences) UNDP Istanbul Regional Hub and OHCHR/ROCA etc.

#### Expected Results on component 1:

- 1.1. Improving the quality of national reports using disaggregated data
- 1.2. Strengthening partnership with OHCHR, as well as with UN human rights mechanisms (including the UPR, Special Procedures and Human Rights Treaty Bodies)
- 1.3. Adaptation and application of international best practices for the protection of human rights in Uzbekistan

### **2) Support for monitoring the implementation of the recommendations from the Charter-based and the Human Rights Treaty Bodies**

#### Planned activities:

- 2.1. Joint research, referred to in the National Action Plans on the implementation of the recommendations of Charter-based and Treaty Bodies
- 2.2. Preparation of analytical information on positive experience on human rights protection in foreign countries
- 2.3. Organization of joint workshop on monitoring of implementation of NAPs.
- 2.4. Development of recommendations on open data in the area of human rights indicators and gender statistics in data.gov.uz portal
- 2.5. Monitoring on realization of social and economic rights of vulnerable groups, such as women, PWDs, elderly within the framework of national indicators for SDGs and Action Strategy;

- 2.6. Conduct review of implementation of NAPs in the form of extended and thematic discussions at roundtables regarding the progress and necessary amendments to NAPs with participation of all concerned parties.
- 2.7. Organization of a joint seminar on the implementation of the National Action Plans on the implementation of the recommendations of HRC and international UN Treaty Bodies
- 2.8. Joint publications on human rights and knowledge transfer activities
- 2.9. Preparation of PSAs, printed materials on interpretation of legislation on human rights, and regularly organize TV and radio programmes, presentations in International Press Club and mass media on human rights topics.
- 2.10. Technical assistance in development of national database on human rights in Uzbekistan (subject to resources availability):
  - Preparation of Concept note on national information database
  - Development of methodological recommendations for state bodies on collection, analysis and summarizing of quantitative and qualitative information on human rights
  - Design of indicators on implementation of each categories of civil, political, economic, social and cultural rights
  - Preparation of draft normative legal acts which would regulate data collection, analysis and assessment related to implementation of international human rights obligations of Uzbekistan.
  - Development of draft Instructions on preparation of national and alternative reports on human rights
  - Conduct awareness raising activities on collection and analysis of disaggregated data on realization of human rights and freedoms.

#### Expected Results of Component 2:

- 2.1. Implementation of the Charter-based/Treaty Bodies recommendations
- 2.2. Raising awareness of beneficiaries about the UPR mechanism
- 2.3. The mobilization of donor efforts and national partners to implement the National Action Plan

### **3) The development of institutional capacity to implement the provisions of international human rights law**

#### Planned activities:

- 3.1. Assistance in improving the legislative process to incorporate the provisions of international human rights law
- 3.2. Designing a "roadmap" to prepare for the ratification of the Convention and Optional Protocol (Convention on the Rights of Persons with Disabilities, OPCAT etc)
- 3.3. Seminars and workshops for the deputies and state agencies involved in the development of draft legislative acts
- 3.4. Publication of national reports and concluding observations (with translation into state language) of HRC and Treaty Bodies in informational legal databases
- 3.5. Conduct analysis and development proposals on ratification of OPCAT.
- 3.6. Support in implementation of measures as a follow-up to Treaty Bodies recommendations (CAT, CEDAW, etc)
- 3.7. Development of organizational and legal framework and mechanisms for free legal aid:
- 3.8. Analysis and enhancement of curricula on human rights, preparation of joint publications and research works on legal topics, organize master classes with participation of international experts for educational institutions and training centers for professional development.

#### Expected Results on component 3:

- 3.1. Improving the quality of legislation in accordance with international human rights standards
- 3.2. Increase the country's capacity to prepare for the ratification and implementation of international human rights treaties, taking into account the recommendations of UN Human Rights Charter-Based and Treaty Bodies

3.3. Awareness raising and skills development for staff of courts, law enforcement agencies and teachers.

#### **4) The development of the capacity of national institutions on human rights**

##### Planned activities:

- 4.1. Study foreign practices on legal status of national institutions on human rights
- 4.2. Analysis of legal and organizational framework for activities of NHRC for compliance with Action Strategy 2017-2021 and recommendations of Charter and Treaty Bodies
- 4.3. Preparation of Concept Note on human rights educational courses under NHRC
- 4.4. Development of Regulation on Scientific and Coordination Council on human rights under NHRC
- 4.5. Preparation of Concept Note on Informational and education activities of NHRC
- 4.6. Technical modernization of Educational Resource center under NHRC on human rights education (subject to donor resources mobilization)
- 4.7. Methodological and organizational assistance in the work of the Interagency Coordination Working Group on Human Rights, study of international practices and foreign experience on certain issues of human rights as mentioned in recommendations HRC and Treaty Bodies
- 4.8. Technical assistance to institutional development of law enforcement agencies, as well as judicial sector on promotion and protection of human rights and implementation of international legal obligations
- 4.9. Organize master classes on human rights with participation of international experts of OHCHR for staff of NHRIs and law enforcement agencies, lecturers of institutions on professional development.
- 4.10. Development and publication (e-version included) of educational, methodological and scientific literature on human rights

##### Expected Results on component 4:

- 4.1. The knowledge and capacity of the NCHR staff in the area of human rights has been increased
- 4.2. Improving the quality of protection of human rights.

#### ***Resources Required to Achieve the Expected Results***

To accelerate the implementation of recommendations under the Charter-based and the relevant Treaty Bodies, the UNDP will assist Uzbekistan to involve more international partners and donor. The project will be implemented by UNDP Uzbekistan in close coordination and consultation with the UN Resident Coordinator with advisory support by several UN agencies, including OHCHR, to ensure an integrated and holistic approach. Finally, the project will facilitate dialogue with national counterparts and NGOs, and other multi-lateral and bilateral donor community present in Uzbekistan, on the basis of joint work plans.

#### ***Partnerships***

The National Center for Human Rights of the Republic of Uzbekistan will ensure the active participation of all responsible state institutions and non-governmental organizations in the implementation of the National Plan of Action to implement the recommendations. In addition, the NCHR will ensure a clear division of responsibilities between the involved government agencies and non-governmental organizations in the implementation of relevant recommendations, as well as the wide participation of responsible government institutions and non-governmental organizations in joint activities, including round tables, training and research. If necessary, the NCHR will convene responsible state bodies and public organizations to coordinate the

implementation of the recommendations of the Charter-based and the UN Treaty Bodies. Together with the Project Team, the NCHR will identify the capacity building needs of government agencies and non-governmental organizations responsible for implementing the recommendations.

If the necessary financial resources are available, the Project organizes short-term training for NCHR specialists, the secretariat of the Human Rights Commissioner of the Oliy Majlis of the Republic of Uzbekistan at the Office of the United Nations High Commissioner for Human Rights in Geneva. This internship will make it possible to get acquainted with the activities of the Office of the United Nations High Commissioner for Human Rights and other UN treaty bodies, raise awareness about the activities of international human rights bodies, exchange experience in improving the effectiveness of protection and observance of human rights.

In addition, the Project will contribute to improving the knowledge and practical skills of NCHR staff in monitoring human rights compliance, taking into account generally recognized international standards, and discussing and developing proposals for the further development of mechanisms for organizing and conducting monitoring.

Briefly, the context of anticipated work plans with key implementing partners will cover the following areas of cooperation:

**Parliament:** harmonization of legislation with UN conventions, SDGs nationalization and international legal expertise of draft laws;

**MoJ:** anti-corruption expertise, regulatory impact assessment of legislation on vulnerable groups, capacity building of Inter-Agency Working Group on Human Rights;

**General Prosecutor office:** National Action Plan on CAT, prevention of corruption in public sector, and transparency of prosecutor bodies;

**NHRIs:** joint monitoring exercise on selected human rights, UPR public monitoring sessions, capacity development of NHRIs.

**Universities and training institutions (Law University, Lawyer Training Center, Academy of GPO, Police Academy etc):** enhancing the curriculum on human rights, joint publications and research on legal subjects, moot courts and master classes with international experts.

This project involved programmatic and financial support from UNDP Istanbul Regional Hub on capacity development of NHRIs, including National Human Rights Center as well as for a number of public awareness raising activities and joint monitoring exercises on selected human rights. The regional partnership, exchange of experience and knowledge sharing on strengthening the national human rights institutions in Eastern Europe and CIS region will be the focus of this support.

The project will consult regularly with OHCHR on substantial human rights topics, human rights data indicators, joint events and capacity building of national counterparts.

### ***Risks and Assumptions***

#	Type	Description	Impact & Probability	Countermeasures / Management response
	Organizational	Difficulties in interagency coordination and delays in project activities	P=4 I=3	Coordination by NHRC of involved government bodies in accordance with MoU 2016

	Human resources	Personnel changes in the national partner institutions	P=4 I=4	Signing joint action plans with partnering organizations
	Political	Lack of engagement of government actors/ institutions and commitment to the project strategy.	P=3 I=4	Involving government officials in the work of the project as consultants
	Legal	Changes in legislation	P=4 I=5	Maintaining close contacts with the bodies involved for timely obtaining information and taking adequate measures
	Financial	Lack of co-financing by donors	P=4 I=5	Advance submission of project applications and diversification of donors
	Strategic	Providing sustainability of project results	P=3 I=5	Nailing down of developed methodologies in legal acts and departmental documents

### ***Stakeholder Engagement***

Main target groups and stakeholders of project include the following organizations:

<b>№</b>	<b>National organizations</b>
1.	National Human Rights Center of the Republic of Uzbekistan
2.	Ministry of Justice of the Republic of Uzbekistan
3.	Legislative Chamber of the Oliy Majlis of the Republic of Uzbekistan
4.	Senate of the Oliy Majlis of the Republic of Uzbekistan
5.	Constitutional Court of the Republic of Uzbekistan
6.	Supreme Court of the Republic of Uzbekistan
7.	Ombudsman for the Oliy Majlis of the Republic of Uzbekistan
8.	Authorized under the President of the Republic of Uzbekistan for the Protection of Rights and Legal Interests of Business Entities
9.	General Prosecutor's Office of the Republic of Uzbekistan
10.	Ministry of Foreign Affairs of the Republic of Uzbekistan
11.	Ministry of Internal Affairs of the Republic of Uzbekistan
12.	Ministry of Higher and Secondary Special Education of the Republic of Uzbekistan
13.	Ministry of Public Education of the Republic of Uzbekistan
14.	State Committee on Statistics
15.	Lawyers' training centre under the Ministry of Justice

16.	University of World Economy and Diplomacy
17.	Tashkent State University of Law
18.	Academy of the General Prosecutor's Office of the Republic of Uzbekistan
19.	Academy of the Ministry of Internal Affairs of the Republic of Uzbekistan
20.	Academy of Public Administration under the President of the Republic of Uzbekistan
21.	Women's Committee of Uzbekistan
22.	Chamber of Advocates of Uzbekistan
23.	Center for Development Strategy
24.	Council of Federation of Trade Unions
25.	Independent Institute for monitoring the formation of civil society (NIMFOGO)
26.	National association of non-governmental non-profit organizations of Uzbekistan (NANNOUZ)

The main tool for involving these bodies is to conduct joint monitoring of UPR implementation, research on human rights issues, preparation of analytical reports and development of draft legal acts in compliance with international human rights law. In addition, in the framework of the Project it is planned to organize human rights training courses and seminars with public servants as the main audience. International experts in different areas of human rights will be involved in order to strengthen NHRIs' and policymakers' capacity and disseminating knowledge on the best practices related to implementation of UPR and Treaty Bodies recommendations.

Finally, the project will actively participate in national and international events organized by national partners during which it is planned to exchange experience on human rights and views on the project's mandate.

### ***South-South and Triangular Cooperation (SSC/TrC)***

Project will support the participation of national partners in regional events to be organized by OHCHR/ROCA and UNDP IRH as well as other partners.

### ***Knowledge***

In addition to capacity building for government officials and other concerned parties through trainings, round tables and seminars, knowledge and experience sharing will be carried out by the project through:

- Dissemination of OHCHR publications in Uzbek;
- Research reports in accordance with NAP
- International expertise of draft laws
- Analytical reports on comparative analysis foreign practices
- National information databased on implementation and development of human rights (subject to funds availability).

All publications of the project will be available in digital format in the websites of national partners and UNDP. Limited amount of printed publications will be distributed among stakeholders.

### ***Sustainability and Scaling Up***

The analytical materials, laws, handbooks and methodologies prepared as part of the Project activities should become a solid methodological framework for further capacity development of national human rights institutions in Uzbekistan.

Upgraded curriculum and education tools for law enforcement and academia will become a platform for ensuring transparent and equal access to all stakeholders for knowledge on human rights.

From organizational standpoint, after the project completion, the tools (methods, programs, and information exchanges, infographics, brochures and other literature) created with the Project's support and intellectual contributions of experts will remain with the national partners for their use.

The success of the project is highly interconnected with the human resource capacity of the NHRIs, ministries and agencies, the level of knowledge and the availability of appropriate skills to apply new methodologies. To ensure sustainability and scale-up of project results the Project will maintain a close relationship with NHRC and educational institutions in preparing training materials, textbooks and training of trainers, who could continue training and ensure wide dissemination of knowledge products on human rights produced during the project implementation. Activities aimed to enhance the implementation of UPR/Treaty Bodies recommendations and international treaties on human rights will be reinforced by scientific, research and practical workshops, consultations and discourses, training courses, and analytical materials, methodologies etc.

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## **IV. PROJECT MANAGEMENT**

### ***Cost Efficiency and Effectiveness***

- The project activities will be identified and endorsed by NHRC in consultation with relevant government agencies and UNDP in Uzbekistan.
- UNDP and NHRC jointly will mobilize other donors and international partners to cost-share the project activities, especially, with regard to study-tours and visiting experts, as well as preparation of knowledge products.
- Regarding all handbooks and analytical papers prepared by this project and to be published, NHRC will take all necessary measures to clear necessary endorsements and permits in line with national legislation of Uzbekistan, and with respect to copyright laws, including international copyrights and principles of academic integrity.
- UNDP will partner UNV as well as other international organizations in order to involve international volunteers on fully or partially cost-shared basis. In addition, UNDP will collaborate with multi-lateral and bilateral donors to share the costs of study tours and visiting international experts.

In-House, Multi-Disciplinary Approach will be used, which envisages UNDP's ability to leverage a range of in-house expertise within its Good Governance Unit diminishes the need to bring in international consultants, resulting in a significant reduction in overall project costs.

Cost-effectiveness has been factored into all planned project activities. Project will work to maximize the impact of each spent unit funds and to get quality results at the lowest possible cost.

### ***Project Management***

The project will be implemented under National Implementation Modality (NIM). NHRC will be the Implementing Partner. NHRC shall retain overall responsibility for this nationally managed project and will appoint the National Project Coordinator (NPC). Direct responsibility of the NPC will be provision of strategic advice and coordination of the project activity taking into account interests of NHRC.



NHRC and UNDP will be represented in the Project Board. The overall guidance and strategic decisions related to the project implementation will be made by the Project Board. The Project Board will be responsible for making by consensus management decisions for a project when guidance is required by the Project Manager, including recommendations for UNDP/ NHRC approval of project plans and revisions. All other Project management roles are presented in ANNEX II. Project Management Roles.

Project Board contains three roles, including:

- 1) An Executive: individual (National Project Coordinator from NHRC) representing the project ownership to chair the group.
- 2) Senior Supplier: UNDP (1 representative): The Senior Supplier's primary function within the Board is to provide guidance regarding the technical feasibility of the project.
- 3) Senior Beneficiary: NHRC (1 representative) representing the interests of those who will ultimately benefit from the project. The Senior Beneficiary's primary function within the Board is to ensure the realization of project results from the perspective of project beneficiaries as listed in the Annex 1 to the project document.

In accordance with provisions of MoU signed in October 2016, approved Country Programme Document 2016-2020, as well as Letter of Agreement between UNDP and NHRC, the UNDP Country Office shall provide services for the Project as described below.

The NHRC will provide office premises for the project team as well as telephone communication lines, and the required expertise and services of their corresponding staff.

Direct UNDP Country office Support Services to the Programme Implementation

In accordance with signed Letter of Agreement, the UNDP and NHRC have agreed that the UNDP Country Office will provide the following support services for the project activities at the request of the NHRC:

- (a) Identification and/or recruitment & solution of administrative issues related to the project personnel;
- (b) Procurement of commodities, labor and services;
- (c) Identification and facilitation of training activities, seminars and workshops;
- (d) Financial monitoring and reporting;
- (e) Processing of direct payments;
- (f) Supervision of project implementation, monitoring and assistance in project assessment.

The UNDP country office may provide support services for assistance with reporting requirements and direct payment. In providing such support services, the UNDP country office shall ensure that the capacity of the NHRC is strengthened to enable it to carry out such activities directly.

When providing the above support services, the UNDP Country Office will recover the costs for providing Implementation Support Services on the basis of actual costs and transaction fee based on the latest Universal Price List. According to the corporate guidelines, these costs are an integral part of project delivery and, hence, will be charged to the same budget line (account in AWP) as the project input itself.

The procurement of goods and services and the recruitment of project personnel by the UNDP Country Office shall be in accordance with the UNDP regulations, rules, policies and procedures. If the requirements for support services by the country office change during the life of a project, the list UNDP Country Office support services is revised with the mutual agreement of the UNDP Resident Representative and the NHRC.

Any claim or dispute arising under or in connection with the provision of support services by the UNDP country office in accordance with this document shall be handled pursuant to the relevant provisions of the SBAA.

Audit Arrangements: The Audit will be conducted in accordance with the established UNDP procedures set out in the Programming and Finance manuals by the legally recognized auditor.

Use of institutional logos on project deliverables: In order to accord proper acknowledgement to UNDP for providing funding, UNDP should appear on all relevant project publications, including among others, project hardware purchased with UNDP funds. The UNDP logo should be more prominent - and separated from any other logo, if possible, as UN visibility is important for security purposes. Only NHRC and UNDP's logo will be used in events and publications to be funded under this Project. In case of co-hosting of public events by other partner organizations, their official logo will also be listed in agenda, informational and promotional materials related to the event.

The Project will not develop its own logo.

## V. RESULTS FRAMEWORK

<b>Intended Outcome as stated in the UNDAF 2016-2020/UNDP CPD Programme Results and Resource Framework:</b> UNDAF OUTCOME 8/ CPD OUTCOME 2: Legal and judicial reforms further ensure strong protection of rights, freedoms and legitimate interests										
<b>Outcome indicators as stated in the Country Programme Results and Resources Framework, including baseline and targets:</b> Indicator 8.3: number of implemented universal Periodic review (UPR) recommendations. Baseline: 115 UPR recommendations were accepted by the government in 2013. Target: 115 UPR recommendations implemented through transparent and participatory process by 2020										
<b>Applicable Output from the UNDP Strategic Plan:</b> Output 2.3. Indicator 2.3.1.										
<b>Project title and Atlas Project Number:</b> Support to implementation of Charter-based and Treaty Bodies recommendations in Uzbekistan, #00103344										
EXPECTED OUTPUT 1.	OUTPUT INDICATORS	DATA SOURCE	BASELINE		TARGETS (by frequency of data collection)					DATA COLLECTION METHODS & RISKS
			Value	Year 2017	Year 2018	Year 2019	Year 2020	Year 2021	FINAL	
<b>Increased capacities of national human rights institutions to follow-up to UPR and Treaty Bodies recommendations based on the results of the review of national reports on human rights and freedoms.</b>	<b>1.1</b> Number of indicators on human rights, suggested by OHCHR, used in the preparation of national reports to UN Charter and Treaty Bodies.	National reports, concluding observation of UN bodies	Quantity	4	6	8	14	20	20	Comparison with OHCHR methodology Concluding observations of Charter and Treaty Bodies Risk: updating of indicators, lack of statistics on indicators
	<b>1.2</b> Availability of Concept Note along with draft normative legal acts on enhancing the organizational and legal status of NHRC in line with international standards	Government reports, legislation	Yes/No	No	No (Study international experience)	No (Draft Regulation is prepared)	Yes (Package of draft NLAs are drafted)	Yes (Discussion and submission of draft NLA)	Yes	Study of foreign models Analysis of requirements of international conventions Risk: delay in endorsement by government agencies

	<b>1.3. Number of human rights monitoring reports on follow-up to UPR/Treaty Bodies recommendations</b>	<i>National reports</i>	<i>Quantity</i>	3	4	6	8	10	10	<i>Analysis of national and alternative reports to UPR and Treaty Bodies</i> <i>Analysis of monitoring methodologies</i> <i>Risk: quality of reports, lack of data, inconsistency between scope and objectives of monitoring and recommendations of Charter and Treaty Bodies</i>
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## VI. MONITORING AND EVALUATION

In accordance with UNDP's programming policies and procedures, the project will be monitored through the following monitoring and evaluation plans:

### Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
<b>Track results progress</b>	Progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs.	Quarterly, or in the frequency required for each indicator.	Slower than expected progress will be addressed by project management.	NHRC	\$3000
<b>Monitor and Manage Risk</b>	Identify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.	Quarterly	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.	NHRC, project team	\$2000
<b>Learn</b>	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	At least annually	Relevant lessons are captured by the project team and used to inform management decisions.	Project team, NHRC staff	\$2000
<b>Annual Project Quality Assurance</b>	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Annually	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.	Project Board	0
<b>Review and Make Course Corrections</b>	Internal review of data and evidence from all monitoring actions to inform decision making.	At least annually	Performance data, risks, lessons and quality will be discussed by the project board and used to make course corrections.	Project manager	0
<b>Project Report</b>	A progress report will be presented to the Project Board and key stakeholders,	Annually, and at the end of the		Project Manager	0

	consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk long with mitigation measures, and any evaluation or review reports prepared over the period.	project (final report)			
<b>Project Review (Project Board)</b>	The project's governance mechanism (i.e., project board) will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences.	Specify frequency (i.e., at least annually)	Any quality concerns or slower than expected progress should be discussed by the project board and management actions agreed to address the issues identified.	Project Manager	0

### Evaluation Plan

<b>Evaluation Title</b>	<b>Partners (if joint)</b>	<b>Related Strategic Plan Output</b>	<b>UNDAF/CPD Outcome</b>	<b>Planned Completion Date</b>	<b>Key Evaluation Stakeholders</b>	<b>Cost and Source of Funding</b>
Final Project Evaluation	NHRC	2.3	Outcome 8/2	30.12.2021	NHRC, UNDP, other national partners	USD 15,000 Project budget

## VII. MULTI-YEAR WORK PLAN

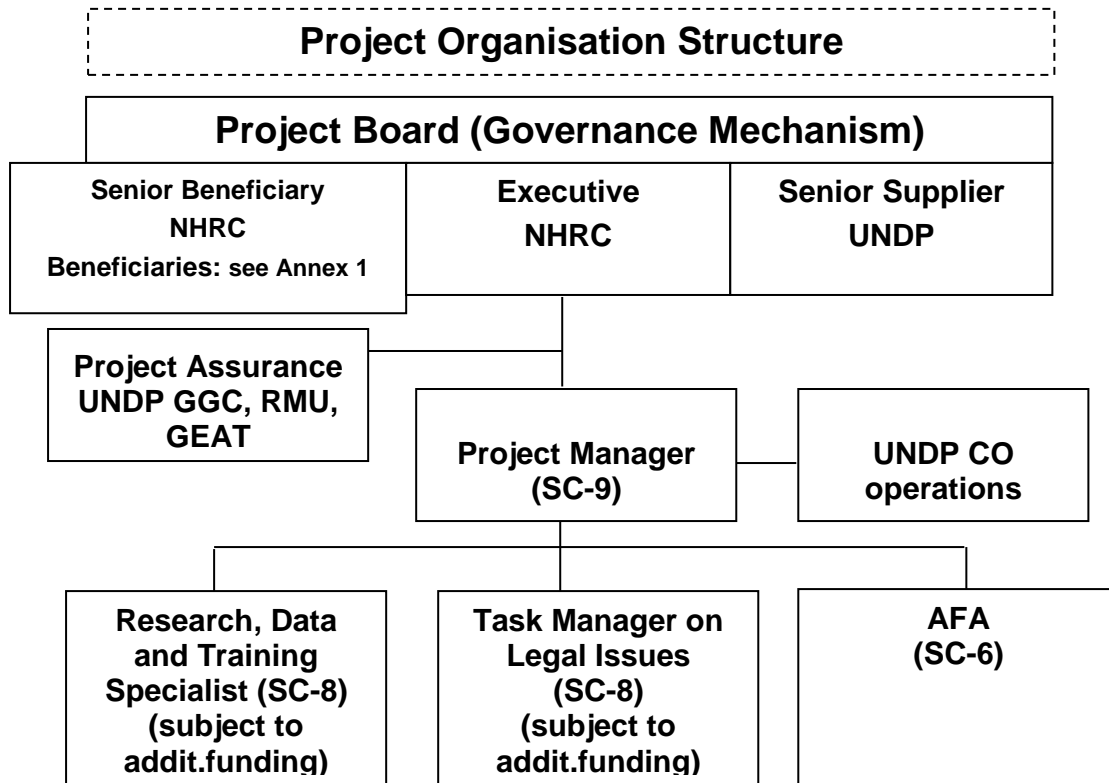
Output	Planned activities	Planned budget by year				Responsible parties	Planned budget		
		Y1 2018	Y2 2019	Y3 2020	Y4 2021		Funding Source	Budget Description	Amount
<b>Output 1:</b> <i>Increased capacities of national human rights institutions to follow-up to UPR and Treaty Bodies recommendations based on the results of the review of national reports of Uzbekistan on human rights and freedoms.</i>  <i>Gender marker: GEN1</i>	<b>Component 1.</b> 1.1 National reports and follow-up based on disaggregated data, is improved. 1.2 Partnership of the NHRIs with OHCHR, as well as with other UN human rights protection mechanisms is strengthened. 1.3 Adaptation and use of the best international practices on human rights protection in Uzbekistan	10000				NHRC, UNDP	UNDP	Consultants, contract services, travel, publication, direct costs	25000
		10000	10000	No data	No data		UK Embassy		25000
	MONITORING		1000			UNDP	UNDP	Consultant	1000
	<b>Component 2.</b> 2.1. Implementation of accepted recommendations of Charter and Treaty Bodies; 2.2. Raising awareness of beneficiaries on UPR mechanism; 2.3. Mobilization of donors and national partners to implement NAPs	10000				NHRC, UNDP	UNDP	Consultants, contract services, travel, publication, direct costs	15000
		20000	10000	No data	No data		UK Embassy		25000
	MONITORING		1000			UNDP	UNDP	Consultant	1000

	<b>Component 3.</b> 3.1. Increasing the quality of legislation in line with international human rights law 3.2. Capacity development of the country to prepare for ratification and implementation of international treaties taking into account recommendations of Charter and Treaty Bodies 3.3. Capacity development of MPs on human rights	10000					UNDP	Consultants, contract services, travel, publication, direct costs	20000
		10000	5000	No data	No data	NHRC, UNDP	UK Embassy		25000
		20000							
	MONITORING		1000			UNDP	UNDP	Consultant	1000
	<b>Component 4.</b> 4.1. Capacity development of NHRC staff 4.2. Increasing the quality of human rights protection	10000					UNDP	Consultants, contract services, travel, publication, direct costs	10000
		20000	5000	No data	No data	NHRC, UNDP	UK Embassy		25000
MONITORING		0	1000			UNDP	UNDP	Consultant	1000
<b>Subtotal on output 1</b>									
<b>Final evaluation</b>	Evaluation (independent international expert)	0	0	No data	No data	UNDP	UNDP	Consultant	
<b>Project management support</b>	Direct project costs, administrative costs, including salaries of project team and office costs	10000	26000	40000	40000	UNDP	UNDP	Administrative costs, GMS, DPC	116000
<b>TOTAL in USD</b>									280000



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## VIII. GOVERNANCE AND MANAGEMENT ARRANGEMENTS



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## IX. LEGAL CONTEXT

Legal Context:

- Country has signed the Standard Basic Assistance Agreement (SBAA)
- Country has signed UNDAF Legal Annex in 2016

2. Implementing Partner:

- National Human Rights Centre of the Republic of Uzbekistan, Government Entity (NIM)

This project document shall be the instrument referred to as such in Article 1 of the Standard Basic Assistance Agreement between the Government of Uzbekistan and UNDP, signed on 1993. All references in the SBAA to “Executing Agency” shall be deemed to refer to “Implementing Partner.”

This project will be implemented by NHRC (“Implementing Partner”) in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

In accordance with the Letter of Agreement between UNDP and NHRC for the provision of support services, the UNDP Country Office in Uzbekistan may provide, at the request of the implementing partner, following support services:

- (a) Identification and/or recruitment of project and programme personnel and consultants;
- (b) Identification and facilitation of training activities, seminars and workshops;
- (c) Procurement of goods and services;
- (d) Processing of payments, disbursements and other financial transactions;
- (e) Administrative services including travel authorization, visa requests and other arrangements;

Detailed description of services is provided in the Annex-6 of this project document.

The goods procured within the framework of the Project and necessary for the implementation of its activities, in particular IT equipment, software & office furniture shall be transferred to the ownership of the NHRC, unless the Project Board decides otherwise or the goods have been procured from the funds provided by third parties and the agreements with them stipulate other arrangements. The relevant provisions of the Standard Basic Assistance Agreement (SBAA) between the Government of Uzbekistan & the UNDP, signed by Parties on 10 June 1993, incl. the provisions on liability and privileges & immunities, shall apply to the provision of such support services.

Consistent with the Article III of the SBAA, the responsibility for the safety and security of the executing agency and its personnel and property, and of UNDP’s property in the Executing Agency’s custody, rests with the Executing Agency.

The executing agency shall:

- a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
- b) assume all risks and liabilities related to the executing agency’s security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this agreement.

The executing agency agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999).

The list can be accessed via <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

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## X. RISK MANAGEMENT

1. Consistent with the Article III of the SBAA *[for the Supplemental Provisions to the Project Document]*, the responsibility for the safety and security of the Implementing Partner and its personnel and property, and of UNDP's property in the Implementing Partner's custody, rests with the Implementing Partner. To this end, the Implementing Partner shall:
  - a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
  - b) assume all risks and liabilities related to the Implementing Partner's security, and the full implementation of the security plan.
2. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the Implementing Partner's obligations under this Project Document.
3. The Implementing Partner agrees to undertake all reasonable efforts to ensure that no UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via [http://www.un.org/sc/committees/1267/aq\\_sanctions\\_list.shtml](http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml).
4. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (<http://www.undp.org/ses>) and related Accountability Mechanism (<http://www.undp.org/secu-srm>).
5. The Implementing Partner shall: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.
6. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.
7. The Implementing Partner will take appropriate steps to prevent misuse of funds, fraud or corruption, by its officials, consultants, responsible parties, subcontractors and sub-recipients in implementing the project or using UNDP funds. The Implementing Partner will ensure that its financial management, anti-corruption and anti-fraud policies are in place and enforced for all funding received from or through UNDP.
8. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to the Implementing Partner: (a) UNDP Policy on Fraud and other Corrupt Practices and (b) UNDP Office of Audit and Investigations Investigation Guidelines. The Implementing Partner agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at [www.undp.org](http://www.undp.org).
9. In the event that an investigation is required, UNDP has the obligation to conduct investigations relating to any aspect of UNDP projects and programmes. The Implementing Partner shall provide its full cooperation, including making available personnel, relevant documentation, and granting access to the Implementing Partner's (and its consultants', responsible parties', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with the Implementing Partner to find a solution.
10. The signatories to this Project Document will promptly inform one another in case of any incidence of inappropriate use of funds, or credible allegation of fraud or corruption with due confidentiality.

Where the Implementing Partner becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, the Implementing Partner will inform the UNDP

Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). The Implementing Partner shall provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

11. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.

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## **XI. ANNEXES**

### **ANNEX 1. PLAN OF ACTIVITIES WITHIN THE FRAMEWORK OF THE UNDP PROJECT DOCUMENT “SUPPORT TO THE IMPLEMENTATION OF RECOMMENDATIONS OF THE UN CHARTER AND TREATY BODIES IN UZBEKISTAN”**

№	Activity	Implementation Mechanism	Implementati on Terms	Responsible Parties	Expected Results
<b>Component 1. Assistance to national partners in cooperation with OHCHR and regional organizations</b>					
1.1.	Ensuring the participation of the delegation of Uzbekistan at the sessions of the UN Human Rights Council, the High-level Segment, the Universal Periodic Review and the UN Human Rights treaty bodies.	<ol style="list-style-type: none"> <li>1. Participation of the representatives of the Republic of Uzbekistan at the sessions of the UN Human Rights Council, meetings of human rights treaty bodies, events organized by OHCHR and its Regional Office.</li> <li>2. Preparing the program of the visit.</li> <li>3. Organization of the visit.</li> </ol>	<p style="text-align: center;">upon organization of the visit</p> <p style="text-align: center;">two weeks before the visit</p> <p style="text-align: center;">by agreement</p>	National Human Rights Center (NHRC), Ministry of Foreign Affairs (MFA), Chambers of Oliy Majlis Ombudsman Office, Ministry of Justice, General Prosecutor 's Office (GPO), Ministry of Internal Affairs (MIA), Supreme Court	Strengthened partnership with OHCHR, as well as with the UN Human Rights Council and the UN Human Rights treaty bodies.
1.2.	Methodological assistance on the adaptation and implementation of human rights indicators in the country's national reporting process.	<ol style="list-style-type: none"> <li>1. Studying best practices in the development and implementation of indicators.</li> <li>2. Developing national indicators.</li> <li>3. Conducting seminars and trainings for the representatives of state bodies on the use of indicators in the preparation of national reports.</li> <li>4. Implementing the practice of using human rights indicators in the process of preparation and submission of national reports.</li> </ol>	<p style="text-align: center;">July 2018</p> <p style="text-align: center;">July-August 2018</p> <p style="text-align: center;">July-November 2018</p> <p style="text-align: center;">January 2018</p>	NHRC, Interested ministries and agencies	Improved quality of national reports including disaggregated data.

1.3.	Study of the methodology of gender statistics in the preparation of national reports on the Universal Periodic Review and international human rights treaties.	<ol style="list-style-type: none"> <li>1. Analyzing international practices for the development of the methodology for gender statistics in the preparation of national reports on the Universal Periodic Review and international human rights treaties.</li> <li>2. Conducting seminars and trainings for the representatives of state bodies on the practice of using gender statistics in the preparation of reports.</li> <li>3. Introducing the methodology of gender statistics in the activities of state bodies.</li> <li>4. Using gender-sensitive statistics in the preparation of national reports on the Universal Periodic Review and international human rights treaties.</li> </ol>	<p>May 2018</p> <p>June 2018</p> <p>November 2019</p> <p>December 2020</p>	NHRC, Women's Committee, Interested ministries and agencies	Improved quality of national reports using disaggregated data.
1.4.	Assistance in organizing official visits of the Special Procedures of the UN Human Rights Council to Uzbekistan (as agreed).	<ol style="list-style-type: none"> <li>1. Studying the mandate of the Special Procedures of the UN Human Rights Council.</li> <li>2. Sending an invitation to the Special Procedures of the UN Human Rights Council.</li> <li>3. Arranging a set of organizational issues on the eve of visits.</li> <li>4. Preparation of the draft program of the visit, agenda of meetings and negotiations.</li> <li>5. Organizing the visit in accordance with the established procedure.</li> <li>6. Preparing information on the results of the</li> </ol>	<p>May 2018</p> <p>June 2018</p> <p>within 2 months prior to the visit</p> <p>within a month prior to the visit</p> <p>by agreement</p> <p>within a month</p>	NHRC, MFA, Interested ministries and agencies	Strengthened partnership with OHCHR, as well as with the Special Procedures of the UN Human Rights Council.

		visit of the Special Procedures and the OHCHR expert to the Republic of Uzbekistan.	after receiving recommendations		
<b>1.5.</b>	Translation and distribution of OHCHR publications in Uzbek and other languages, replenishment the information and resource centers of national human rights institutions, universities, training centers with the OHCHR publications, issuing publications on human rights issues.	<ol style="list-style-type: none"> <li>1. Forming a list of relevant literature in the field of human rights protection, published by OHCHR.</li> <li>2. Translating the publication of OHCHR into Uzbek language.</li> <li>3. Publishing the literature on human rights in Uzbek language.</li> <li>4. Conducting the presentation of publications.</li> <li>5. Ensuring the publication of educational methodological and scientific literature on human rights.</li> </ol>	<p>August 2018</p> <p>September-October 2018</p> <p>November-December 2018</p> <p>December 2018</p> <p>January-March 2018</p>	NHRC, University of World Economy and Diplomacy (UWED), Tashkent State University of Law (TSUL), Lawyers' Training Center, PGO Higher Training Courses, MIA Academy, Academy of Public Administration (APA)	Updated existing training courses and programs on human rights in higher education institutions and Training centers for law enforcement officers, improved knowledge of human rights specialists and strengthened capacity in their implementation.
<b>1.6.</b>	Organization of joint activities with OHCHR, including on the implementation of the Development Strategy of the Republic of Uzbekistan and the UN Sustainable Development Goals.	<ol style="list-style-type: none"> <li>1. Approving with the OHCHR the list of activities.</li> <li>2. Identifying the participants of activities.</li> <li>3. Arranging organizational and technical issues on participation of OHCHR representatives at events.</li> <li>4. Organizing the visit in accordance with the established procedure as well as developing of information on its results.</li> </ol>	by agreement (2018-2020)	NHRC, MFA, Interested ministries and agencies	Strengthened partnership with OHCHR and UN Human Rights charter, and treaty bodies.
<b>1.7.</b>	Organization of video	1. Approving with OHCHR the timelines and	May 2018	Ministry of Higher	Increased legal culture



	conferences (webinars) with the relevant OHCHR departments and units on human rights education.	<p>agenda of the webinar.</p> <p>2. Preparing the questions for the United Nations High Commissioner for Human Rights.</p> <p>3. Conducting the webinar with the participation of the United Nations High Commissioner for Human Rights.</p> <p>4. Covering in mass media the results of the webinar.</p>	<p>June 2018</p> <p>by agreement</p> <p>after the event</p>	<p>Education, NHRC, UWED, TSUL, PGO Higher Training Courses, MIA Academy</p>	<p>and established culture of human rights among students.</p>
<b>1.8.</b>	Conducting research on human rights in accordance with the National Plan of Actions and the recommendations of the charter and treaty bodies on the basis of an analysis of international best practices on the protection of human rights within the framework of the UN Sustainable Development Goals.	<p>1. Identifying the research topic.</p> <p>2. Preparing the composition of the working group and the concept of research.</p> <p>3. Preparing the questionnaires and sending them to the relevant organizations.</p> <p>4. Compiling the answers received from organizations and analytical materials, as well as preparation of the final document.</p> <p>5. Developing the recommendations on the results of the study and sending them to the relevant organizations.</p>	<p>July 2018</p> <p>August 2018</p> <p>September 2018</p> <p>October 2018</p> <p>November 2018</p>	<p>NHRC, Interested ministries and agencies</p>	<p>Adapted and applied international best practices on human rights protection in Uzbekistan.</p>
<b>1.9.</b>	Assistance in holding international conferences on human rights in Uzbekistan.	<p>1. Developing and coordinating the concept, final document of the Asian Forum on Human Rights, dedicated to the 70th anniversary of the adoption of the Universal Declaration of Human Rights in the city of Samarkand.</p>	<p>May 2018</p>	<p>NHRC, Ombudsman Office, Ministry of Justice, MIA, PGO, Supreme Court</p>	<p>Deepened international cooperation with the United Nations charter and treaty bodies, OHCHR, European and other foreign structures in the field of human rights and freedoms.</p>

		<p>2. Defining the agenda, specific dates and venue of the Forum.</p> <p>3. Inviting foreign experts and representatives of international and regional organizations to the event.</p> <p>4. Conducting the Forum.</p>			
1.10.	Assistance in ensuring the participation of the delegation of Uzbekistan in regional events (trainings, seminars, conferences) of the UNDP Istanbul Office and the OHCHR Regional Office for Central Asia.	<p>1. Studying the participation of the delegation of Uzbekistan at regional events.</p> <p>2. Determining the composition of the participants of the delegation in agreement with the interested ministries and departments.</p> <p>3. Participation of the delegation at the events.</p> <p>4. Preparing proposals as the result of participation at regional events.</p>	<p>upon receipt of invitations</p> <p>within 1 week after receiving the invitation</p> <p>by agreement</p> <p>within 3 days following the event</p>	NHRC, MFA, Ombudsman Office, Interested ministries and agencies	Strengthened human resources of national human rights institutions in Uzbekistan
<b>Component 2. Support in monitoring the implementation of the recommendations of charter and treaty bodies and follow-up actions</b>					
2.1.	Conducting the joint researches, indicated in the National Plans of Actions for the implementation of the recommendations of the charter and treaty bodies.	<p>1. Defining the list of researches.</p> <p>2. Preparing the composition of the working group and the concept of the research.</p> <p>3. Preparing the questionnaires and sending them to the relevant organizations.</p> <p>4. Compiling the answers received from organizations, analytical materials, as well</p>	<p>June 2018</p> <p>July 2018</p> <p>August 2018</p> <p>May 2019</p>	NHRC, Ombudsman Office, Interested ministries and agencies	Strengthened harmonization of national legislation and law enforcement practices with international human rights treaties.

		as preparation of the final document.  5. Developing the recommendations on the results of the research and sending them to the relevant organizations.	May 2019		
<b>2.2.</b>	Preparation of analytical materials on the experience of other countries in protecting human rights.	1. Defining the research subjects. 2. Preparing the composition of the working group and the concept of research. 3. Collecting materials on the experience of other countries in protecting human rights. 4. Reviewing and analyzing materials. 5. Publication of materials.	February 2019 March 2019 March 2019 April 2019 May 2019	NHRC, Interested ministries and agencies	Determined best practices for the implementation of an effective human rights protection mechanism
<b>2.3.</b>	Organization of the joint seminar on monitoring the implementation of the National Plans of Actions to implement the recommendations of the UN charter and treaty bodies.	1. Developing the concept of the joint seminar. 2. Preparing the agenda and list of participants of the joint seminar. 3. Determining the date and venue of the training seminar. 4. Sending invitation letters to participants of the training seminar. 5. Holding the joint seminar.	May 2018 June 2018 June 2018 July 2018 August 2018	NHRC, Ministry of Justice, Interested ministries and agencies	Improved human rights monitoring mechanism

2.4.	Development of recommendations on open data on human rights and gender statistics for data.gov.uz portal.	<ol style="list-style-type: none"> <li>1. Developing a list of the necessary disaggregated data (by sex, age, region, etc.), taking into account human rights indicators.</li> <li>2. Developing recommendations on open data on human rights and gender statistics.</li> <li>3. Sending recommendations to relevant ministries and agencies.</li> </ol>	<p>April 2019</p> <p>May 2019</p> <p>June 2019</p>	NHRC, Ministry of Justice, State Statistics Committee, Interested ministries and agencies	Use of disaggregated data both qualitative and quantitative to improve the quality of national reports, increase the transparency of the monitoring of the implementation of international obligations.
2.5.	Monitoring the implementation of socio-economic rights of vulnerable segments of the population - women, persons with disabilities, the elderly in the framework of the implementation of the national indicators of the UN Sustainable Development Goals and the Development Strategy of the Republic of Uzbekistan for 2017-2021.	<ol style="list-style-type: none"> <li>1. Defining the monitoring subjects.</li> <li>2. Preparing the working group composition and monitoring concept.</li> <li>3. Preparing the questionnaires and sending them to the relevant organizations.</li> <li>4. Compiling the answers received from organizations, analytical materials and preparation of the final document.</li> <li>5. Developing the recommendations and sending them to the relevant organizations.</li> </ol>	<p>June 2018</p> <p>July 2018</p> <p>August 2018</p> <p>September 2018</p> <p>October 2018</p>	NHRC, Interested ministries and agencies	Determined situation with ensuring the implementation of socio-economic rights of vulnerable segments of the population and developed proposals for the practical implementation of the UN Sustainable Development Goals.
2.6.	Conducting reviews of the implementation of the National Plans of Actions to implement the recommendations of the UN charter and treaty bodies in the format of extended and thematic discussions.	<ol style="list-style-type: none"> <li>1. Preparing the concept, draft agenda and list of participants of the round table.</li> <li>2. Determining the venue of the round table.</li> <li>3. Sending invitation letters to the participants of the round table.</li> <li>4. Holding the round table.</li> </ol>	<p>as national plans of actions are adopted</p> <p>1 month before the event</p> <p>3 weeks before the event</p>	NHRC, Ministry of Justice, Interested ministries and agencies	Established open platform for cooperation between national human rights institutions and international partners to support the implementation of national plans of actions.

			every half-year		
<b>2.7.</b>	Conducting a series of training seminars on monitoring National Plans of Actions for Human Rights and coordinating the process of national reporting for staff of national human rights institutions and law enforcement agencies.	<ol style="list-style-type: none"> <li>1. Determining the timelines of the training.</li> <li>2. Preparing the agenda and the list of participants of the training.</li> <li>3. Preparing and sending letters to participants of the training.</li> <li>4. Holding the event.</li> </ol>	<p>March 2019</p> <p>March 2019</p> <p>April 2019</p> <p>April-May 2019</p>	NHRC, Ombudsman Office, Interested ministries and agencies	Increased transparency of the process of monitoring the fulfillment of obligations of the Republic of Uzbekistan in accordance with international human rights treaties.
<b>2.8.</b>	Joint publications on human rights, including electronic versions.	<ol style="list-style-type: none"> <li>1. Reviewing the publications of international organizations devoted to the issues of human rights protection.</li> <li>2. Ensuring the publication of educational methodological and scientific literature on human rights.</li> </ol>	In 2018-2020	NHRC, Ministry of Justice, Ministry of Public Education, Ministry of Higher Education, MIA, educational institutions	Increased level of awareness among government officials on the issues of human rights protection.
<b>2.9.</b>	Preparation of thematic social videos and printed materials aimed at explaining the essence and significance of human rights legislation, as well as the regular organization of TV and radio programs, speeches in the media and on the site of the International Press Club on human rights issues.	<ol style="list-style-type: none"> <li>1. Defining the subject of TV and radio programs.</li> <li>2. Preparing analytical information materials on the processes of democratic reforms in the field of human rights.</li> <li>3. Determining the timelines and periodicity of the broadcast.</li> <li>4. Preparing the script and broadcast.</li> <li>5. Organizing and conducting the briefings on human rights issues.</li> </ol>	In 2018-2020	NHRC, Women's Committee, "Development Strategy" Center, Interested ministries and agencies	Increased level of awareness of the population on human rights protection issues at the international and national level.

2.10.	Technical assistance in creating the national information database on the implementation and development of human rights and freedoms in the Republic of Uzbekistan (subject to additional funding).	<p>1. Preparing the Concept of the National Information Database.</p> <p>2. Developing the methodological recommendations for state bodies on collecting, analyzing and summarizing quantitative and qualitative information on human rights, the provision of which is within the competence of the relevant bodies and structures.</p> <p>3. Preparing the indicators for the implementation of each category of personal, political, economic, social and cultural human rights.</p> <p>4. Preparing draft normative and legal acts regulating the issues of timely collection, analysis, compilation and evaluation of data on the fulfillment of the international human rights obligations of the Republic of Uzbekistan</p> <p>5. Developing draft instructions for the preparation of national and alternative reports on human rights.</p> <p>6. Conducting information and educational activities on the collection and analysis of disaggregated data on the realization of human rights and freedoms.</p>	<p>June 2018</p> <p>July 2018</p> <p>August 2018</p> <p>September 2018</p> <p>August 2019</p> <p>November 2019</p>	NHRC, Interested ministries and agencies	Further strengthening the system of accountability, level of efficiency and transparency of state bodies in the implementation and monitoring of the fulfilment of Uzbekistan's international obligations.
<b>Component 3. Institutional capacity development for the implementation of the norms of international human rights treaties</b>					
3.1.	Promoting the improvement of the legislative process with the aim of incorporating the norms of international	1. Preparing analytical information on the state of affairs of the process of implementation of international human rights instruments in the legislation of the Republic of Uzbekistan.	January 2019	NHRC, Ministry of Justice, Interested ministries and	Harmonization of the norms of national legislation and law enforcement practices in

	human rights instruments.	<p>2. Conducting research on international experience in incorporating the norms of international human rights instruments into national legislation.</p> <p>3. Developing, if necessary, proposals for introducing changes and amendments to the current legislation.</p>	<p>February 2019</p> <p>March 2019</p>	agencies	accordance with international human rights treaties.
<b>3.2</b>	Developing the Roadmap for the preparation to ratify the UN Convention on the Rights of Persons with Disabilities.	<p>1. Studying international experience in the implementation of the Convention on the Rights of Persons with Disabilities.</p> <p>2. Conducting analysis and development of proposals on the feasibility of accession to these international documents.</p> <p>3. Developing a set of measures aimed at preparing the Republic of Uzbekistan to ratify the Convention.</p> <p>4. Elaborating proposals on ratification of the UN Convention on the Rights of Persons with Disabilities.</p>	<p>May 2018</p> <p>June 2018</p> <p>July 2018</p> <p>July 2018</p>	NHRC, MFA, Ministry of Justice, Legislative Chamber of Oliy Majlis, Interested ministries and agencies	Harmonized norms of the national legislation of the Republic of Uzbekistan in accordance with international human rights standards
<b>3.3.</b>	Organizing seminars and trainings for the members of parliament and representatives of state bodies involved in drafting legislative acts.	<p>1. Developing the concept of a series of training seminars for the members of parliament and government officials.</p> <p>2. Preparing agenda and list of participants of the training seminar.</p> <p>3. Determining the date and venue of the training seminar.</p> <p>4. Sending invitation letters to participants of</p>	<p>March 2020</p> <p>April 2020</p> <p>May 2020</p> <p>May 2020</p>	Ministry of Justice, NHRC, Legislative Chamber of Oliy Majlis	Improved quality of the law-making process, adoption of laws of direct action

		the training seminar.  5. Holding seminars and trainings for the members of parliament.	June-December 2020		
3.4.	Publication of national reports and concluding observations (with translation into the official language) of the UN Human Rights Council and treaty bodies in legal data systems.	1. Translating into Uzbek the national reports of Uzbekistan, recommendations and concluding observations of the UN Human Rights Council and UN treaty bodies.  2. Publishing national reports and concluding observations of the UN Human Rights Council and the UN treaty bodies on "Norma", "Lex.uz" and others legal data systems.	February-April 2019  May-July 2019	NHRC, Ministry of Justice, Interested ministries and agencies	Improved quality of the national human rights monitoring system.
3.5.	Analyzing and developing proposals on the accession to the Optional Protocol to the UN Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment.	1. Studying the expediency of Uzbekistan's accession to the Optional Protocol to the UN Convention against Torture based on the analysis of international practices and the experience of foreign countries.  2. Preparing proposals on the expediency of accession to the Optional Protocol to the UN Convention against Torture.	May 2018  July-August 2018	NHRC, Ombudsman Office, Interested ministries and agencies	Provisions of national legislation and institutional mechanisms are in line with the requirements of the UN Convention Against Torture.
3.6.	Supporting the implementation of the follow-up activities on the recommendations of the UN treaty bodies (the Committee Against Torture, the Committee on the Elimination of All Forms of Discrimination	1. Establishing a working group on the preparation of the national plan of actions for the implementation of the recommendations of the UN treaty bodies.  2. Requesting and receiving proposals from relevant government bodies and non-governmental non-profit organizations for	In 2018-2020  as the periodic reports are considered in	NHRC, MFA, Interested ministries and agencies	Increased capacity of Uzbekistan's national human rights institutions to implement the recommendations of the UN Human Rights Council and the UN international treaty



	against Women, etc.).	inclusion in national action plans.  3. Preparing the draft national plan of actions (NPA) and sending it to the Ministry of Justice of the Republic of Uzbekistan.	the UN treaty bodies		bodies.
3.7.	Development and improvement of organizational and legal bases and mechanisms for the realization of the right to free legal aid.	1. Studying national and foreign experience.  2. Holding a round table for a broad discussion of the Draft Concept with the participation of foreign experts.  3. Developing the Concept and the draft law "On Free Legal Aid in the Republic of Uzbekistan" aimed at regulating public relations arising in the provision of free qualified legal assistance, establishing the list of persons entitled to receive it, the system of bodies and organizations called upon to provide it.  4. Conducting national and international legal expertise of the draft law, finalizing the draft law and discussing it at a practical seminar.  5. Approbation (legal experiment) of certain provisions of the draft law and models of free legal aid at the Legal Clinics of the universities of the country (University of World Economy and Diplomacy, Tashkent State University of Law), as well as the Chamber of Advocates of Uzbekistan.  6. Holding a public Internet forum on this issue and analyzing the costs and impact of this law on vulnerable groups of the population.	May 2018  May 2018  June 2018  August 2018  September 2018  October 2018  November 2018	Chamber of Advocates, Ministry of Justice, Supreme Court, GPO, MIA	Effective mechanisms to provide for population access to legal protection are created

		7. Developing the final draft of the law and submission to the competent state bodies for consideration.  8. Briefing on the results of drafting the bill.	December 2018		
3.8.	Conducting analysis and improvement of training programs on human rights, preparation of joint publications and research on legal issues, organization of master classes with the participation of international experts for professional development training centers.	1. Studying the teaching methodology of human rights courses on in foreign universities  2. Conducting comparative analysis of human rights training courses and programs in national and foreign universities.  3. Preparing the recommendations for the improvement of human rights courses and programs.  4. Inventory of normative and legal acts in the sphere of human rights.  5. Preparing and publishing information and analytical materials that constitute foreign and national experience, as well as development of proposals for improving legislation.	May 2018  June 2018  July 2018  September-December 2018  September-December 2018	Ministry of Higher Education, NHRC, TSUL, UWED, MIA Academy, GPO Higher Training Courses	Strengthened knowledge and implementation capacities of specialists on international human rights instruments.
<b>Component 4. Capacity development of national human rights institutions</b>					
4.1.	Studying the experience of legal regulation of the status of national human rights institutions of foreign countries.	1. Studying the legal basis of the activities of the national human rights institutions of foreign countries.  2. Organizing study visits to two foreign countries to study the experience of the activities of national human rights	October 2018  November-December 2018	NHRC, Ombudsman Office	Identified best practices for enhancing the capacity of national human rights institutions.

		institutions.			
4.2.	Conducting in-depth analysis of the legal and organizational bases of the activities of the National Human Rights Center of the Republic of Uzbekistan on the conformity of the Uzbekistan's Development Strategy for 2017-2021 and the recommendations of the United Nations charter and treaty bodies.	<ol style="list-style-type: none"> <li>1. Developing a scientific concept for enhancing the organizational and legal status of the National Human Rights Center.</li> <li>2. Preparing the Concept of the activities of the Public Advisory Council under the National Human Rights Center.</li> <li>3. Developing the Regulation on the Public Advisory Council under the National Human Rights Center.</li> </ol>	<p>June 2018</p> <p>July 2018</p> <p>August 2018</p>	NHRC, Interested ministries and agencies	Enhanced institutional capacity of national human rights institutions in accordance with the Paris Principles.
4.3.	Preparation of the Concept of Human Rights Training Courses at the National Human Rights Center of the Republic of Uzbekistan.	<ol style="list-style-type: none"> <li>1. Developing the Concept of Human Rights Training Courses.</li> <li>2. Introducing the Human Rights Training Courses at the National Human Rights Center.</li> </ol>	<p>December 2018</p> <p>January 2019</p>	NHRC, GPO Higher Training Courses, Lawyers' Training Center, MIA Academy	Increased level of knowledge of specialists on international human rights documents.
4.4.	Development of the Regulations on the Scientific Coordination Council for Scientific Research in the Sphere of Human Rights and Freedoms under the National Human Rights Center.	<ol style="list-style-type: none"> <li>1. Developing a set of measures aimed at supporting the Scientific Coordination Council under the National Human Rights Center.</li> <li>2. Approving a set of measures with interested ministries and departments.</li> <li>3. Developing proposals regarding a set of measures to support the Scientific Coordination Council under the National Human Rights Center.</li> </ol>	<p>July 2018</p> <p>August 2018</p> <p>August-September 2018</p>	NHRC	Enhanced role of national human rights institutions in the national human rights protection mechanism

4.5.	Preparation of the Concept of information and educational activities of the National Human Rights Center of the Republic of Uzbekistan.	<ol style="list-style-type: none"> <li>1. Developing the Concept of information and educational activities of the National Human Rights Center.</li> <li>2. Implementing the Concept of the National Human Rights Center.</li> </ol>	<p>December 2018</p> <p>January 2019</p>	<p>NHRC, PGO Higher Training Courses, Lawyers' Training Center, MIA Academy</p>	<p>Enhanced role of national human rights institutions in the national human rights protection mechanism</p>
4.6.	Equipping the Training and Resource Center at the National Human Rights Center of the Republic of Uzbekistan for education on human rights (subject to additional funding).	<ol style="list-style-type: none"> <li>1. Determining the needs of the Training and Resource Center at the National Human Rights Center.</li> <li>2. Developing proposals for technical assistance.</li> <li>3. Purchasing and transferring to beneficiaries.</li> </ol>	<p>June 2018</p> <p>September 2018</p> <p>December 2018</p>	<p>NHRC</p>	<p>Modernized Training and Resource Center at the NHRC to ensure transparency and effectiveness of their activities.</p>
4.7.	Methodical and organizational assistance in the work of the Interdepartmental Working Group on the study of the state of observance of human rights and freedoms by law enforcement and other state bodies, including the study of international practice and the experience of foreign countries on selected issues of ensuring human rights specified in the recommendations of the HRO and treaty bodies.	<ol style="list-style-type: none"> <li>1. Conducting research on selected issues of ensuring human rights, as specified in the recommendations of the HROs and treaty bodies.</li> <li>2. Providing methodological and organizational assistance to the IWG.</li> </ol>	<p>as requests are received</p>	<p>Ministry of Justice, NHRC</p>	<p>Assistance provided to the work of the Interdepartmental Working Group on studying the state of observance of human rights and freedoms by law enforcement and other state bodies.</p>
4.8.	Technical assistance in the institutional development of law enforcement agencies, as well as the judicial system in the field of the	<ol style="list-style-type: none"> <li>1. Determining the needs of law enforcement agencies and courts in technical assistance.</li> <li>2. Developing proposals for technical assistance.</li> </ol>	<p>June 2018</p> <p>September 2018</p>	<p>Ministry of Justice, MIA, GPO, Supreme Court, GPO Higher Training</p>	<p>Modernized judicial system and law enforcement bodies to ensure transparency and effectiveness of their</p>

	promotion and protection of human rights and the fulfillment of international legal obligations.	3. Purchasing and transferring to beneficiaries.	December 2018	Courses, Lawyers' Training Center, MIA Academy	activities.
4.9.	Conducting master classes on human rights with the participation of international experts from OHCHR for staff of national human rights institutions and law enforcement agencies, teachers of professional development training centers.	<ol style="list-style-type: none"> <li>1. Developing the topics of master classes with participation of representative offices of international organizations in Uzbekistan.</li> <li>2. Determining the timelines.</li> <li>3. Preparing the agenda and list of participants.</li> <li>4. Holding the event.</li> </ol>	<p>June 2018</p> <p>June 2018</p> <p>by agreement based on the timelines of activities</p>	NHRC, Ombudsman Office, Interested ministries and agencies	Strengthened accountability, integrity and transparency of persons responsible for the promotion and protection of human rights in law enforcement bodies.
4.10.	Development of educational methodological and scientific literature on human rights.	<ol style="list-style-type: none"> <li>1. Reviewing the publications of international organizations devoted to the important issues of human rights protection.</li> <li>2. Analyzing the concluding observations of the UN Human Rights treaty bodies.</li> <li>3. Ensuring the publication of educational methodological and scientific literature on human rights.</li> </ol>	In 2019-2020	NHRC, TSUL, Ministry of Public Education, Ministry of Higher Education, MIA, educational institutions	Increased awareness of representatives of state bodies, law enforcement agencies on the issues of human rights protection.

## Annex 2. Project Board Terms of Reference

### I. PROJECT BOARD

#### Overall responsibilities

The Project Board is the group responsible for making by consensus management decisions for a project when guidance is required by the Project Manager, including recommendation for UNDP/NHRC approval of project plans and revisions. In order to ensure UNDP's ultimate accountability, Project Board decisions should be made in accordance to standards that shall ensure best value to money, fairness, integrity transparency and effective international competition. In case a consensus cannot be reached, final decision shall rest with the UNDP Resident Representative. Project reviews by this group are made at designated decision points during the running of a project, or as necessary when raised by the Project Manager. This group is consulted by the Project Manager for decisions when (Project Manager tolerances (normally in terms of time and budget) have been exceeded.

Based on the approved annual work plan (AWP), the Project Board may review and approve project quarterly plans when required and authorizes any major deviation from these agreed quarterly plans. It is the authority that signs off the completion of each quarterly plan as well as authorizes the start of the next quarterly plan. It ensures that required resources are committed and arbitrates on any conflicts within the project or negotiates a solution to any problems between the project and external bodies. In addition, it approves the appointment and responsibilities of the Project Manager and any delegation of its Project Assurance responsibilities.

#### Composition and organization

This group contains three roles, including:

- 1) **An Executive:** individual representing the project ownership to chair the group.
- 2) **Senior Supplier:** individual or group representing the interests of the parties concerned which provide funding and/or technical expertise to the project. The Senior Supplier's primary function within the Board is to provide guidance regarding the technical feasibility of the project.
- 3) **Senior Beneficiary:** individual or group of individuals representing the interests of those who will ultimately benefit from the project. The Senior Beneficiary's primary function within the Board is to ensure the realization of project results from the perspective of project beneficiaries.

Potential members of the Project Board are reviewed and recommended for approval during the LPAC meeting. Efforts should be made to extent possible to ensure gender balance among the members of the Project Board.

#### Specific responsibilities:

##### *Initiating a project*

- Agree on Project Manager's responsibilities, as well as the responsibilities of the other members of the Project Management team;
- Delegate any Project Assurance function as appropriate;
- Review and appraise detailed Project Plan and AWP, including Atlas reports covering activity definition, quality criteria, issue log, updated risk log and the monitoring and communication plan.

##### *Running a project*

- Provide overall guidance and direction to the project, ensuring it remains within any specified constraints;
- Address project issues as raised by the Project Manager;

- Provide guidance and agree on possible countermeasures/management actions to address specific risks;
- Agree on Project Manager's tolerances in the AWP and quarterly plans when required;
- Conduct regular meetings to review the Project Quarterly Progress Report and provide direction and recommendations to ensure that the agreed deliverables are produced satisfactorily according to plans.
- Review Combined Delivery Reports (CDR) prior to certification by the UNDP;
- Appraise the Project Annual Review Report, make recommendations for the next AWP, and inform the Project Board about the results of the review;
- Review and approve end project report, make recommendations for follow-on actions;
- Provide ad-hoc direction and advice for exception situations when project manager's tolerances are exceeded;
- Assess and decide on project changes through revisions.

#### *Closing a project*

- Assure that all Project deliverables have been produced satisfactorily;
- Review and approve the Final Project Review Report, including Lessons-learned;
- Make recommendations for follow-on actions to be submitted to the Outcome Board.

## **II. EXECUTIVE**

The Executive is ultimately responsible for the project, supported by the Senior Beneficiary and Senior Supplier. The Executive's role is to ensure that the project is focused throughout its life cycle on achieving its objectives and delivering outputs that will contribute to higher level outcomes. The Executive has to ensure that the project gives value for money, ensuring a cost-conscious approach to the project, balancing the demands of beneficiary and supplier.

**Specific responsibilities** (as part of the above responsibilities for the Project Board):

- Ensure that there is a coherent project organization structure and logical set of plans;
- Set tolerances in the AWP and other plans as required for the Project Manager;
- Monitor and control the progress of the project at a strategic level;
- Ensure that risks are being tracked and mitigated as effectively as possible;
- Organize and chair Project Board meetings.

If the project warrants it, the Executive may delegate some responsibility for the project assurance functions.

## **III. SENIOR BENEFICIARY**

The Senior Beneficiary is responsible for validating the needs and for monitoring that the solution will meet those needs within the constraints of the project. The role represents the interests of all those who will benefit from the project, or those for whom the deliverables resulting from activities will achieve specific output targets. The Senior Beneficiary role monitors progress against targets and quality criteria. This role may require more than one person to cover all the beneficiary interests. For the sake of effectiveness, the role should not be split between too many people.

**Specific responsibilities** (as part of the above responsibilities for the Project Board):

- Ensure the expected outputs and related activities of the project are well defined;
- Make sure that progress towards the outputs required by the beneficiaries remains consistent from the beneficiary perspective;
- Promote and maintain focus on the expected project output;
- Prioritize and contribute beneficiaries' opinions on Project Board decisions on whether to implement recommendations on proposed changes;

- Resolve priority conflicts.

The assurance responsibilities of the Senior Beneficiary are to check that:

- Specification of the Beneficiary's needs is accurate, complete and unambiguous;
- Implementation of activities at all stages is monitored to ensure that they will meet the beneficiary's needs and are progressing towards that target;
- Impact of potential changes is evaluated from the beneficiary point of view;
- Risks to the beneficiaries are frequently monitored.

Where the project's size, complexity or importance warrants it, the Senior Beneficiary may delegate the responsibility and authority for some of the assurance responsibilities.

#### **IV. SENIOR SUPPLIER**

The Senior Supplier represents the interests of the parties that provide funding and/or technical expertise to the project (designing, developing, facilitating, procuring, implementing). The Senior Supplier's primary function within the Board is to provide guidance regarding the technical feasibility of the project. The Senior Supplier role must have the authority to commit or acquire supplier resources required.

**Specific responsibilities** (as part of the above responsibilities for the Project Board)

- Make sure that progress towards the outputs remains consistent from the supplier perspective;
- Promote and maintain focus on the expected project output from the point of view of supplier management;
- Ensure that the supplier resources required for the project are made available;
- Contribute supplier opinions on Project Board decisions on whether to implement recommendations on proposed changes;
- Arbitrate on, and ensure resolution of, any supplier priority or resource conflicts.

The assurance responsibilities of the Senior Supplier are to:

- Advise on the selection of strategy, design and methods to carry out project activities;
- Ensure that any standards defined for the project are met and used to good effect;
- Monitor potential changes and their impact on the quality of deliverables from a supplier perspective;
- Monitor any risks in the implementation aspects of the project.

If warranted, some of this assurance responsibility may be delegated.

#### **V. PROJECT MANAGER**

##### **Overall responsibilities**

The Project Manager has the authority to run the project on a day-to-day basis on behalf of the Project Board within the constraints laid down by the Board. The Project Manager is responsible for day-to-day management and decision-making for the project. Project Manager's prime responsibility is to ensure that the project produces the results specified in the project document, to the required standard of quality and within the specified constraints of time and cost.

Prior to the approval of the project, the Project Developer role is the UNDP staff member responsible for project management functions during formulation until the PM is in place.

**Specific responsibilities** would include:

*Overall project management:*

- Manage the realization of project outputs through activities;
- Provide direction and guidance to project team/responsible parties;



- Liaise with the Project Board or its appointed Project Assurance roles to assure the overall direction and integrity of the project;
- Identify and obtain any support and advice required for the management, planning and control of the project;
- Responsible for project administration;
- Liaise with any suppliers;
- May also perform Team Manager and Project Support roles.

#### *Running a project*

- Plan the activities of the project and monitor progress against the initial quality criteria.
- Mobilize goods and services to initiative activities, including drafting TORs and work specifications;
- Monitor events as determined in the Monitoring & Communication Plan, and update the plan as required;
- Manage requests for the provision of financial resources by UNDP, using advance of funds, direct payments, or reimbursement using the FACE (Fund Authorization and Certificate of Expenditures);
- Monitor financial resources and accounting to ensure accuracy and reliability of financial reports;
- Manage and monitor the project risks as initially identified in the Project Brief appraised by the LPAC, submit new risks to the Project Board for consideration and decision on possible actions if required; update the status of these risks by maintaining the Project Risks Log;
- Be responsible for managing issues and requests for change by maintaining an Issues Log.
- Prepare the Project Quarterly Progress Report (progress against planned activities, update on Risks and Issues, expenditures) and submit the report to the Project Board and Project Assurance;
- Prepare the Annual Review Report, and submit the report to the Project Board and the Outcome Board;
- Based on the review, prepare the AWP for the following year, as well as Quarterly Plans if required.

#### *Closing a Project*

- Prepare Final Project Review Reports to be submitted to the Project Board and the Outcome Board;
- Identify follow-on actions and submit them for consideration to the Project Board;
- Manage the transfer of project deliverables, documents, files, equipment and materials to national beneficiaries;
- Prepare final CDR/FACE for signature by UNDP and the Implementing Partner.

## **VI. PROJECT ASSURANCE**

### **Overall responsibility**

Project Assurance is the responsibility of each Project Board member, however the role can be delegated. The Project Assurance role supports the Project Board by carrying out objective and independent project oversight and monitoring functions. This role ensures appropriate project management milestones are managed and completed. Project Assurance has to be independent of the Project Manager, therefore, the Project Board cannot delegate any of its assurance responsibilities to the Project Manager.

The following list includes the key suggested aspects that need to be checked by the Project Assurance throughout the project as part of ensuring that it remains relevant, follows the approved plans and continues to meet the planned targets with quality.

- Maintenance of thorough liaison throughout the project between the members of the Project Board;
- Beneficiary needs and expectations are being met or managed;
- Risks are being controlled;
- Adherence to the Project Justification (Business Case);
- Projects fit with the overall Country Programme;
- The right people are being involved;
- An acceptable solution is being developed;
- The project remains viable;
- The scope of the project is not “creeping upwards” unnoticed;
- Internal and external communications are working;
- Applicable UNDP rules and regulations are being observed;
- Gender mainstreaming policy embedded into project and implemented;
- Any legislative constraints are being observed;
- Adherence to RMG monitoring and reporting requirements and standards;
- Quality management procedures are properly followed;
- Project Board’s decisions are followed and revisions are managed in line with the required procedures.

**Specific responsibilities** would include:

*Initiating a project*

- Ensure that project outputs definitions and activity definition including description and quality criteria have been properly recorded in the Atlas Project Management module to facilitate monitoring and reporting;
- Ensure that people concerned are fully informed about the project;
- Ensure that all preparatory activities, including training for project staff, logistic supports are timely carried out.

*Running a project*

- Ensure that funds are made available to the project;
- Ensure that risks and issues are properly managed, and that the logs in Atlas are regularly updated;
- Ensure that critical project information is monitored and updated in Atlas, using the Activity Quality log in particular;
- Ensure that Project Quarterly Progress Reports are prepared and submitted on time, and according to standards in terms of format and content quality;
- Ensure that CDRs and FACE are prepared and submitted to the Project Board and Outcome Board;
- Perform oversight activities, such as periodic monitoring visits and “spot checks”;
- Ensure that the Project Data Quality Dashboard remains “green”.

*Closing a project*

- Ensure that the project is operationally closed in Atlas;
- Ensure that all financial transactions are in Atlas based on final accounting of expenditures;
- Ensure that project accounts are closed and status set in Atlas accordingly.

## **VII. PROJECT SUPPORT**

### **Overall responsibilities**

The Project Support role provides project administration, management and technical support to the Project Manager as required by the needs of the individual project or Project Manager.

**Specific responsibilities** would include:

*Provision of administrative services:*

- Set up and maintain project files;
- Collect project related information data;
- Update plans;
- Administer the quality review process;
- Administer Project Board meetings.

*Project documentation management:*

- Administer project revision control;
- Establish document control procedures;
- Compile, copy and distribute all project reports.

*Financial Management, Monitoring and reporting*

- Assist in the financial management tasks under the responsibility of the Project Manager;
- Provide support in the use of Atlas for monitoring and reporting.

*Provision of technical support services*

- Provide technical advices;
- Review technical reports;
- Monitor technical activities carried out by responsible parties.

### **Annex 3. TORs of Project team**

- (1) Project Manager (SC-9)
- (2) Task Manager on research and training (SC-8)
- (3) Task Manager on Legal Issues (SC-8)
- (4) AFA (SC-6)