

Quarterly Progress Report: National Capacity Self-Assessment in Somalia (Atlas ID: 00086548)

Reporting Period	01 January to 31 March 2016
Government Counterpart	Office of Environment , Office of the Prime Minister
PSG	4: Economic Foundations
PSG priority	Priority 3: Promote the sustainable development and management of natural resources by developing legal and regulatory frameworks and building capacity in key Natural Resources Management (NRM) institutions
Focus Locations:	National
AWP Budget	USD 236,037
Available Funds for year	USD 236,037
Expenditure to date	USD 47,139

CONTRIBUTING DONORS:



ABBREVIATIONS AND ACRONYMS

ATLAS	UNDP's Enterprise Resource Planning System for Projects Management and Control
AWP	Annual Work Plan
CBO	Community Based Organization
FGS	Federal Government of Somali
GEF	Global Environment Facility
NGO	Non-government Organization
PREP	Poverty Reduction and Environment Protection Programme
UNCBD	United Nations Convention on Biodiversity
UNCCD	United Nations Convention to Combat Desertification
UNDP	United Nations Development Programme
UNFCCC	United Nations Framework Convention on Climate Change
NCSA	National Capacity Self-Assessment
GPA	Gender Plan of Action
MWHRD	Ministry of Women, Human Rights, and Development
MOWDFA	Ministry of Women Developments and Family Affairs
CSOs	Civil Society Organizations
MEA	Multilateral Environmental Agreements

SECTION 1 – KEY DEVELOPMENTS DURING THE REPORTING PERIOD

The Federal Government of Somalia (FGS) has received funding from UNDP/GEF to assist the government in articulating its capacity needs and priorities with respect to the global environment taking into account the three global conventions on biodiversity, climate change and desertification. This funding is being used to complete a National Capacity Self-Assessment (NCSA) to identify gaps and come up with priorities of national institutions to fill these gaps.

Draft NCSA report was completed during the reporting period and an NCSA Validation workshop was conducted on 1st March 2016 in the Office of the Prime Minister in Mogadishu.

During the NCSA Process insecurity remained a key challenge for on ground implementation of activities and this resulted in rescheduling of workshops.

SECTION 2 – PROGRESS AGAINST OUTPUTS & PLANNED ACTIVITIES IN ANNUAL WORK PLAN

OUTPUT 1: INSTITUTIONAL STRUCTURE FOR NCSA PREPARATION ESTABLISHED

Narrative update on Progress towards Output			
<p>The output contributes towards the overall objective of the project on taking stock of Somalia`s existing capacities and specific capacity needs and priorities, as it moves to implement Multilateral environmental conventions to which it`s a signatory. The output is to be realized over a time period of three years of project implementation. Key highlights of the activities under this output include the establishment of inter-ministerial team at federal level with clearly-defined roles and responsibilities and start of implementation of activities to achieve 2016 targets. Progress to date against annual output targets provides further details on the level of current achievement.</p>			
Output Indicators	Baseline	Annual Target	Progress to date
# of inclusive National and regional NCSA teams are in place for steering the preparation, coordination and implementation of NCSA (at least 30% gender representation)	National and regional teams are not in place	1- Inter-ministerial team established at federal level with clear roles and responsibilities	Partially achieved – NCSA Validation Workshop had representation from the key federal ministries and officials of member states institutions.
Planned Activities as per Annual Work Plan		Progress Against Activities	
Collection of sex disaggregated data from individuals and institutions Multidisciplinary integrated technical team assembled to synthesis thematic and cross-cutting reports and to provide quality assurance for the NCSA action plan systems centers		Progress will be reported in the Quarter 2 progress report.	
Sources of Evidence for Results Progress and Achievements			
Project Progress Report Government Endorsed NCSA Report			

OUTPUT 2: NCSA STRATEGY AND ACTION PLAN PREPARED ENSURING GENDER SENSITIVITY WITH INPUTS FROM THE THEMATIC ASSESSMENTS

Narrative update on Progress towards Output			
<p>The output contributes towards the overall objective of the project on formulating an NCSA Strategy and action plan, three thematic assessments completed and one final stocktaking report finalized. In addition, this output has also created the initiation of a national Capacity Strategy and action Plan. The progress to date against annual output targets provides the level of current achievement.</p>			
Output Indicators	Baseline	Annual Target	Progress to date
# of thematic assessments reports with gender perspectives; stocktaking report and cross cutting analysis finalized.	Thematic assessments in the areas of biodiversity, climate change and desertification are not available	1 Final stocktaking report and 3 thematic assessments finalized to support NCSA strategy and action plan	1 stocktaking report finalized and 3 Thematic Assessments Completed; Crosscutting analysis, NCSA Strategy and Action Plan is in progress.
Planned Activities as per Annual Work Plan		Progress Against Activities	
<ol style="list-style-type: none"> 1. Finalize stocktaking report 2. Undertake cross-cutting analysis 3. Finalize 3 thematic assessments with gender perspective 		<ol style="list-style-type: none"> 1-Stocking taking report finalized The Capacity Development strategy and action Plan initiated. 3-Thematic assessments reports with analyzed cross cutting issues Completed 	
Sources of Evidence for Results Progress and Achievements			
<p>National stocktaking report</p> <p>National capacity development and Action Plan</p> <p>Thematic assessment reports</p>			

OUTPUT 3: STAKEHOLDERS INCLUDING WOMEN AND WOMEN CBOs/NGOs FULLY ENGAGED, AWARENESS OF KEY STAKEHOLDERS RAISED AND NCSA FINALIZED

Narrative update on Progress towards Output			
The output contributes towards the overall objective of the project on attaining an endorsed NCSA report with inclusive and equal gender representation finalized and endorsed by the key-stakeholders to be Published for broader dissemination and action. The progress to date against annual output targets provide the level of current achievement.			
Output Indicators	Baseline	Annual Target	Progress to date
Inclusive stakeholders consultations, awareness raising for NCSA preparation, implementation organized and final documents endorsed by the government of Somalia	Lack of awareness and action at the national level to meet Somalia’s obligations under the multi-lateral environmental agreements (MEAs). In particular, UN conventions on biodiversity, climate change and desertification; No capacity development initiative exist for national institutions to effectively implement provisions under UN Conventions on climate change, desertification and biodiversity.	The endorsed NCSA strategy and action plan published for broader dissemination and advocacy; 2 consultations with participation of women and women based CSOs held to sensitize key institutions about the multi-lateral environmental agreements; New initiative formulated as a follow-up to NCSA.	Draft NCSA Report presented to the stakeholders for validation Follow up project formulation ongoing and fully reflected in 2016 AWP.
Planned Activities as per Annual Work Plan		Progress Against Activities	
<ol style="list-style-type: none"> 1. Stakeholders assessment to identify key institutions for consultations and participation in the NCSA preparation and implementation process 2. National validation workshop (s) on the draft NCSA Strategy and Action Plan 3. Endorsement of NCSA Strategy and Action Plan that covers gender dimensions vis-à-vis conventions on desertification, climate change and biodiversity 4. Dissemination of NCSA document 5. Formulation of follow-up project document to implement recommendations of NCSA 		Key stakeholders were successfully identified, consulted and Participated the NCSA Preparations Held on 1 st March 2016 and NCSA Draft report finalized Endorsement of NCSA strategy and action plan with gender dimensions finalized Planned for next quarter Planned for next quarter	
Sources of Evidence for Results Progress and Achievements			
<ul style="list-style-type: none"> • Stocking Taking Report • Thematic Assessment Report • NCSA Document. • Government Approved & signed NCSA Report 			

OUTPUT 4: PROJECT EFFECTIVELY MANAGED

Narrative update on Progress towards Output

The Project commenced on 01 January 2015 and a total of 7-National personnel has been successfully recruited (3-Project Officers, 1-M& E Officer, 1-Field Engineer, 1-Finance and 1-Admin assistants). The same team will extend support to all the Environment and Energy projects. In addition, a national focal point person in the Office of the Prime Minister recruited and efforts of hiring Regional focal point persons ongoing. Similarly, both the International and National Staffs fully participated the NCSA Preparatory, workshops validation, and Project mobilizations Phases. They have also supported the hired consultants in the development of training manuals, templates and ensured its alignment to the local context.

Output Indicators	Baseline	Annual Target	Progress to date
# of Project Board meeting held	No Project board meeting held	1 Project Board held in 2016	Project Board Meeting planned during 3 rd QTR of 2016.

Planned Activities as per Annual Work Plan

1. Support from National and International staff for implementation of activities under outputs 1 through 3
2. National focal point in the Office of the Prime Minister on-board for coordination and regional support

Progress Against Activities

As of March 31, 2016 a total of 7-National staff have been successfully recruited (3-Project Officers, 1-M& E Officer, 1-Field Engineer will be on board late June. Recruitment of a communication Officer who supports all PREP Projects is planned and progress to be reported in the next Quarter

The recruitment of the national coordinator done.

Sources of Evidence for Results Progress and Achievements

Approved annual work plan for 2016
 Minutes of Project Board
 Project Organogram and staff Terms of Reference.

SECTION 3 – CROSS-CUTTING ISSUES (GENDER, HIV/AIDS, PEACE AND CONFLICT, HUMAN RIGHTS)

The Promotion of gender equality and empowerment of women is central to the Mandate of UNDP and intrinsic to its development approach. In this regard the NCSA Project has a significant objective of gender equality and has Gender Marker Score description of 2. The Project implementation has also ensured an inclusive, participatory approach involving all key stakeholders including women and youth and an equitable distribution of benefits.

NCSA's main objective has fully taken into account an equal gender recognition and meaningful participation with a key priority to engage stakeholders including women & youth in all the project phases in order to generate and develop a national wide capacity assessment report as 10 out of the 50 participants in the NCSA validation workshop were women.

SECTION 4 – CHALLENGES/LESSONS LEARNT

- To enhance and achieve a dynamic approach to poverty alleviation which is a National objective, there is a need to coordinate the role of all Stakeholders during PSG4 meetings.
- Capacity development is crucial and must be given a priority attention in the entire project cycle to achieve a successful implementation, coordination, planning, monitoring and evaluation of any environmental initiatives.
- To meet the preparation and submission of national reports in compliance with the convention obligations there's a need to coordinate the negotiations and implementation of the three conventions.
- There's a need for a political support for the intent of the conventions, and resources made readily available, and in a timely manner, for meeting the goals, objectives and activities of outline in the conventions.
- Raising funds from donors to co-finance NCSA activities is critical for the implementation phase of the project. NCSA follow-up project formulation will have to include getting donors commitment to co-finance for additionality to the GEF resources.

SECTION 5 – RISK MANAGEMENT

This section can be used to update or use the risk logs developed during the project development stage and provide any mitigation measures being undertaken by the project.

Type of Risk 1	Description of Risk	Mitigating Measures
Operational, Political	A low level of cooperation between executing institutions due to political divisions and the existence of distinct zones of Federal Somalia, new/emerging states, Puntland and Somaliland makes the coordination of policy development challenging.	<p>Management arrangements include one clear focal point for implementation, the GEF operational focal point. Each zone will have a dedicated Project Officer in charge of activity implementation on a day to day basis. Programme outcomes will be maximized by having three clear Regional Committees (led by the zonal Project Officer) which will include relevant government representatives, district officers and NGO/CBO representatives for each zone.</p> <p>To unify National adaptation responses and facilitate fund mobilization for National Capacity Self-Assessment, one national NCSA Report will be generated with inclusive participation and involvement of all regional member states.</p> <p>With new projects under implementation through global financing the level of engagement of key stakeholders is going to be substantive to establish linkages between the conventions and national actions.</p>
Operational	The project could encounter delays due to the lack of nationally-available expertise and human resources.	The Government of Somalia has sufficient incentive to design funds earmarked to support the environment management targeted towards long-term capacity development activities in a transparent manner with appropriate financial management. Relevant Ministries have an interest in fully integrating proposed recommendations into their long-term planning.
Strategic	Somalia`s huge governance and capacity gaps resulting absence of comprehensive and coherent governance structures currently represents a major constraint for the sustainable management of Somalia`s natural resources.	<p>the NCSA will, through a country-driven process, analyze capacity gaps and capacity development needs for implementation of the UN Convention on Biological Diversity (CBD), the UN Framework Convention on Climate Change (FCCC), and the UN Convention to Combat Desertification (CCD). Capacity needs will be assessed at the individual, institutional and systemic (policy and legislative framework) levels, including by assessing vertical (local & regional authorities –national government) and horizontal (government – NGOs/CBOs – private sector) coordination structures for planning and executing activities.</p> <p>The NCSA places a strong emphasis on identifying capacity needs and priorities to ensure synergies between efforts to realize the country`s obligations under the said Environmental Conventions, and is intended to act as a catalyst for national actions to meet those needs in a coordinated and planned manner.</p>

¹ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.

SECTION 6 – MONITORING AND OVERSIGHT ACTIVITIES

No Monitoring and Oversight activities carried out on the period under review.

Monitoring Activity	Date	Description & Comments	Key Findings / Recommendations

SECTION 7 – FINANCIAL REPORT

Donor	Total funds committed	Available resources for the year	Contribution as % of AWP	Expenditure	Balance ²	% Delivery	Comments
GEF	176,856	176,856	75	42,498	134,358	18%	
UNDP	59,181	59,181	25	4,641	54,540	2%	
TOTAL	236,037	236,037	100	47,139	188,898	20%	

ANNEX 1: TRAINING DATA

No Training conducted over reporting Period

#	Target Group		Dates	# of participants		Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F			
1.								

² Available resource for the year minus funds disbursed till now.