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PROGRAMME QUARTERLY PROGRESS REPORT


Period: Q3 2017

Project Name	Somalia Capacity Development – Strengthening Institutional Performance (SIP)
Gateway ID	00096489
Start date	1 July 2015
Planned end date (as per last approval)	31 December 2017
Focal Person	(Name): Albert Soer
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PSG	Capacity Development (Cross cutting): The objective of the SIP Project is to enable the Federal Government of Somalia (FGS) and the Puntland Government to fill critical capacity gaps in the civil service and to strengthen the capacity of key ministries and agencies to perform core government functions.
Priority	Priority 1: Improve capacity of central Government institutions to coordinate and lead structural reform and policy harmonization process Priority 2: Strengthen core public sector and civil service management functions in key domains Priority 3: Strengthen Cross Cutting and Sectoral Public-Sector Capacities through dedicated support
Milestone	1:1: Systemic review of roles of responsibilities of different government institutions completed and updated structure of key FGS institutions developed 1:2: Basic review of administrative procedures, systems and structures completed in selected government institutions and administrative reform programme formulated and agreed 2:2: Review of the civil service legal framework and institutional structures for the management of the civil service completed
Location	Federal Government of Somalia (FGS) (Mogadishu) and Puntland (Garowe)
Gender Marker	2

Total Budget as per ProDoc	US\$ 16,895,581
MPTF:	US\$ 12,130,266
Non-MPTF sources:	PBF: - n/a
	Trac: US\$ 1,885,000
	Other: - Swiss – US\$ 251,640



SOMALIA UN MPTF

	PUNO	Report approved by:	Position/Title	Signature
1.	UNDP	David Akopyan	Country Deputy Director- Programs	 <small>Digitally signed by David Akopyan DN: cn=David Akopyan, ou=MPTF Secretariat - Programs, email=d.akopyan@un.org, ou=UN</small>

Total MPTF Funds Received			Total non-MPTF Funds Received	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
UNDP	1 July - 30 September 2017	From prog. start date	1 July - 30 September 2017	From prog. start date
	-	11,722,386.80	-	1,885,000.00

JP Expenditure of MPTF Funds ¹			JP Expenditure of non-MPTF Funds	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
UNDP	1 July - 30 September 2017	From prog. start date	1 July - 30 September 2017	From prog. start date
	1,774,447.69	9,600,952.53		1,307,448.00

QUARTER HIGHLIGHTS

1. Training for key Federal Government staff on Monitoring and Evaluation systems carried out in Mogadishu by UNDP. Staff trained felt better abled and more confident of carrying out M&E tasks after the training.
2. Puntland Good Governance and Anti-Corruption Bureau was hosted by the Rwanda Ombudsman in Kigali, Rwanda, where they were participating on a South – South learning tour. Improved professional and strategic relations were created for increased bilateral cooperation with Rwanda anti-corruption institutions.
3. The Federal Civil Service Commission produced a report on the factors affecting gender integration in the Somalia public sector. The report highlights political challenges and makes recommendations towards improving the capacity and performance of the civil service.
4. ACU hosted the first Pillar Working Group (PWG) meeting under the National Development Plan as well as hosting the 26 July SDRF meeting.
5. The National Development Council approved the Monitoring and Evaluation Framework as an official document of the Federal Government of Somalia on Monitoring and Evaluation operations in the country.

¹ **Uncertified expenditures.** Certified annual expenditures can be found in the Annual Financial Report of MPTF Office (<http://mptf.undp.org/factsheet/fund/4SO00>)



SOMALIA UN MPTF

SITUATION UPDATE

The SIP project continued to support its partners in both the Federal Government of Somalia (FGS) and the Puntland State in the third quarter. At the FGS level, work continued to ensure that the Three Year National Development Plan is successfully implemented. In the reporting period, the Ministry of Planning Investments and Economic Development (MOPIED) spearheaded the drafting of a Monitoring and Evaluation Framework. The National Development Council approved the document and it is now an official Federal Government of Somalia document serving to direct and inform how monitoring and evaluation is carried out vis-à-vis its development projects, programs and activities. The project has, in the reporting period, supported the training of MOPIED staff on project management, reporting and monitoring and evaluation. Due to the training, these staff members will work with increased capacities on the duties as already assigned to them, but, more importantly, will impart their enhanced knowledge and skills to civil service staff in their own and counterpart ministries at the Federal Member State level.

On aid coordination at the FGS level, the ACU hosted two separate meetings successfully. On 24 July 2017, the new Aid Coordination Architecture was presented and discussed in a workshop in Mogadishu with representatives from the Federal and State government, the UN as well as donor agencies. The SDRF meeting was successfully hosted on 26 July in Mogadishu. The FGS Deputy Prime Minister chaired the meeting and reiterated the need for close cooperation between the FGS and Federal Member States (FMS) in coordinating the post drought coordination efforts. All the FMS attended the meeting and made presentations on the situation in their states with regards the post drought situations. The World Bank presented the latest edition of the Operations Manual for SDRF Funding Windows. The SDRF endorsed the document. DfID presented the monitoring framework that will be incorporated into the New Partnership for Somalia document.

After slow progress in 2016 and the beginning of 2017, the Ministry of Labour and Social Affairs reinvigorated the work on the Federal Civil Service Labor Law (Law #11). Under the leadership of the new Minister of Labour, the project supported an international consultant to work on addressing the challenges faced before and moving the law forward. A steering committee that was charged with coordinating these activities 2016 was revived and will be responsible for discussing any changes in the Law. The committee consists of 3 staff members from the Ministry of Labor, 1 member from the Civil Service Commission, the OPM, the Ministry of Finance, the State Solicitor General each, as well as a local advisor (supported by the project) who serves as the legal advisor. The Ministry of Labour shared the draft law with international partners for comments, which will be addressed in a joint workshop mid-October.

In Puntland, the aid coordination mechanism set up under the Puntland Development Forum (PDF) continues to move from one milestone to the next. On 10 August 2017, the PDF's second round of meetings saw the chairs and co-chairs of the PWGs convening. The members present discussed the challenges faced by the PDF and the proposed solutions to deal with some of the identified challenges. It is important that the PWGs members continue to meet to fulfill the role of the PDF.

After a period of negotiations on the implementation of the LOAs with the new government counterparts at the FGS, new Letters of Agreement were signed with the Office of the Prime Minister, MOPIED as well as the Ministry of Labor. These agreements will end on 31 December 2017. The LoAs in Puntland were all extended during the



SOMALIA UN MPTF

previous reporting period. The project initiated an end of project evaluation in mid-September. It is currently ongoing and the final results from the experts carrying out the exercise will be presented in mid-October 2017.

QUARTERLY & ANNUAL PROGRESS REPORT RESULTS MATRIX

OUTCOME STATEMENT

The expected project outcome is strengthened systems, processes and capabilities of the Governments to deliver on New Deal Compact.

SUB-OUTCOME 1 STATEMENT

Output 1: Capacity gaps in priority institutions filled in placing staff and advisors in priority positions.

INDICATOR	TARGET	PROGRESS ON OUTPUT INDICATOR ²	
		THIS QUARTER	CUMULATIVE 2017
Number of staff (disaggregated by sex, location, institution, position) within public institutions supported by UNDP	Federal: 26	42 ³ (10F:32M)	193 (57F:136M)
	Puntland: 8	13 ⁴ (6F:7M)	79 (20F:59M)
Percentage of direct supervisors satisfied with staff and advisors	Federal 75%	100% ⁵	100%
	Puntland 75%	100%	100%

Sources of Evidence: i) Staff Lists and Payment Schedules for every individual employed under this facility are on file with the government and project (UNDP) for both the FGS and Puntland governments; ii) See Annex 4 with lists of staff at both the FGS and Puntland levels; iii) Third Party Monitoring reports; iv) Staff contracts and CTG records for contracts with relevant staff members. Third Party Monitoring reports of interviews with the relevant supervisors of the local advisors.

Output 2: Rationalized and updated Civil Service Management policy, framework, system, processes, and guidelines developed.

# of Civil Service Laws reviewed or amendments proposed	Federal: 1 for approval	1	1
	Puntland: 1 for approval	1	1
# of HR Management Frameworks submitted	Federal: 1 for approval	0	2
	Puntland: 1 for approval	0	1
# Number HR Management instruments rolled out	Federal: 1	0	0
	Puntland: 1	0	0

³ There are 21 staff members supported by the project at the Aid Coordination Unit; 1 local advisor and 6 Graduate Interns at the National Civil Service Commission, 1 MOIFAR and 13 (10 interns and 3 local advisors for Investments; Economics and Statistics at MOPIED.

⁴ 7 local advisors are supported at the Puntland MOPIC, 2 local advisors and 1 Graduate intern at the Puntland Good Governance and Anti-Corruption Bureau, 2 local advisors at the Puntland Civil Service Commission and 1 advisor are at the Ministry of Women Development and Family Affairs.

⁵ TPM report produced by the Learning and Monitoring Program Somalia (LAMPS) supported by DfiD issued reports for Puntland and the FGS and noted that the Technical Advisory services offered by the different consultants verified had been effective, efficient and relevant. supervisor assessments from the FGS institutions (100%) (on file with the project) done by the Director Generals from the institutions mentioned in supra notes 3 and 4 shows that the supervisors were satisfied with the performance of the local advisors.



SOMALIA UN MPTF

Sources of Evidence: i) Draft civil service laws drafted for the FGS and Puntland; iii) Copy of HR Management Framework draft document from Puntland on file with the project, Recruitment and Appointment Policy Draft and Draft Young Graduate Recruitment Manual			
Output 3: Improved training policy, facilities and plans proposed.			
# of Civil Service Training / Capacity Development Policy submitted	Federal: 1 for approval	0	0
	Puntland: 1 for approval	0	1
Training standards developed	Federal: 1 for approval	0	0
	Puntland: 1 for approval	0	1
Number of Civil Service Training Institute concepts developed	Federal: 1	0	0
	Puntland: 1	0	1
Sources of Evidence: i) Puntland Draft Civil Service Training policy document on file with the project			
Output 4: Strategic guidelines developed for internal Government coordination, good governance and strategic communication			
Guidance materials for Federal Parliamentary relation management	Federal: 2 completed	0	0
Strategic Communications guidelines	Federal: 1 completed	0	0
Concept note for coordination between OOP and OPM concerning policy advice and performance management	Federal: 1	0	0
Concept note for the establishment of a performance management framework	Federal: 1	1	1
Performance management structure has been developed and is under implementation	Federal:	0	0
	Puntland:	0	0
Number of reviews made concerning progress in implementation related to key national priorities	Federal: 2	0	0
Policy development framework has been developed;	Federal:	0	0
	Puntland:	0	0
Concept note for the elaboration of federal and state level organic law has been developed;	Federal: 1 Final	0	0
	Puntland: 1 Final	0	0
Ministry of Finance strategically leading PFM system development	Federal:1	1	1
Ministry of Finance strategically leading the 'use of country systems' roadmap development and implementation.	Federal: 1	1	1
Discussion paper on modalities for public sector engagement with non-state actors is developed	Federal: 1	0	0
Concept note for engagement with non-state actors is prepared	Federal: 1	0	0
Service delivery (Citizens') Charter for Puntland	Puntland: 1 Completed	0	1
Complaints Mechanism, Puntland	Puntland: 1 Completed	0	1
Good Governance Advocacy strategy, Puntland	Puntland: 1 Completed	0	1



SOMALIA UN MPTF

Sources of Evidence: Reports from the Office of the President; Copy of the guidance materials; Copy of the Strategic Communications Guidelines; Complaints Mechanism verified to be in operation through interviews with beneficiaries and providers. Puntland Good Governance Advocacy Strategy draft document.			
Output 5: Assessments, tools, and plans developed to mainstream gender			
Number of tools developed	Federal: 2 more completed	3 ⁶	3
	Puntland: 2 more completed	0	1
Number of partners supported	Federal:3	3	4
	Puntland: 3	1	6
Number of staff trained	Federal:5	5	10
	Puntland: 5	0	20
Sources of Evidence: i) Draft Gender toolkit; ii) Partnership agreements; Draft documents for tools, plans and assessments developed in FGS and Puntland; Signed Agreements with partners; Signed attendance sheets to meetings and trainings in both Puntland and the FGS.			
Output 6: Guidance to undertake Development Planning, M&E and Aid Coordination including reporting developed.			
Number of institutional coordination arrangements for development policy drafted	Federal: 1	0	1
	Puntland: 1	0	1
Number of M&E Strategies reviewed, amended, updated or developed.	Federal: 1	1	2
	Puntland: 1	0	1
Number of processes, tools and guidelines for collection and storage of data developed	Federal: 5	1	1
	Puntland: 1	0	1
Development of a coherent M&E system for following up on the implementation of the National Development Plan;	Federal: 1	1	1
	Puntland:1	1	1
Coordination mechanism for statistics development is operational	Federal: 1	0	1
	Puntland: 1	1	1
# of Aid Coordination Architecture developed and institutionalized	Federal: 1	1	1
	Puntland: 1	1	1
Number of DAD/AIMS systems operational	Federal: 1	0	0
	Puntland: 1	0	0
Number of Quarterly reports on Aid Flows are generated through DAD/AIMS	Federal: 2	0	0
	Puntland: 2	0	0
Sources of Evidence: i) NDP guidance notes; ii) M&E strategy for federal level, the new Puntland five-year development plan and the M&E section for the NDP; iii) ACU documentation PSG Working Group meetings			
Output 7: Project management and implementation arrangement is established ensuring appropriate project implementation			
Number of Project Board meetings are convened as scheduled	Federal: 2	0	0
	Puntland: 2	0	1
Number of Project progress reports generated periodically or monitored, reviewed and evaluated	Combined (Federal and Puntland): 3	0	3

⁶ 3 draft documents were developed: Gender Mainstreaming Toolkit, Gender Assessment Tool for Ministry staff and an International Compliance Framework Assessment report



SOMALIA UN MPTF

Percentage of staff in the Project teams recruited.	Combined (Federal and Puntland): 90%	100%	100%
Sources of Evidence: <i>i) Project Board meeting reports from FGS and Puntland Staff records (Contracts and Timesheets)</i>			

NARRATIVE

Output 1: Capacity gaps in priority institutions filled in placing staff and advisors in priority positions.

The SIP project continued to support the FGS and Puntland governments with dedicated staff for short - term periods in the third quarter for selected institutions such as the MOPIED in the implementation of the National Development Plan. Government ministries in Somalia continue to lack quality and experienced labor to handle civil service work. 13 local advisors were supported in Puntland government institutions. 41 were supported at the FGS level with the majority (21) supported at the ACU. It is important to note that at the FGS level there are 16 interns (10 at MOPIED and 6 at the NCSC). Without further support for the ACU staff or converting the positions into civil service posts the SIP project will continue to fully support the activities of the ACU.

In the existing agreement between the National Civil Service Commission (NCSC) and UNDP, one local advisor and six Graduate Interns are being supported until December 2017. The local advisor was involved in drafting a document entitled “Factors Affecting Public Sector Gender Integration in Somalia”. This document was finalized in September. This document identifies the causes of gender inequality in the civil service as well as recommending possible options for gender integration in the public sector. The Graduate Interns continue to be taken through the paces of a professional work environment at the Commission with participation in such important meetings as alluded to above.

The local advisor at the Ministry of Interior and Federal Affairs (MOIFA) developed two key documents: Financial and Cash Policies Procedures Guide as well as the Procurement and Logistics Policies Guide. The MOIFA needs these guidelines to guide its staff on the business processes in the Ministry and to ensure a smooth flow of operations. In addition to these two draft documents, the local advisor developed TORs for staff in the Planning, as well as Operations and Logistics Departments of the MOIFA. The local advisors progress reports note that the MOIFA remains overstaffed after the merger with several other government institutions, and that a lack of streamlining and not having clear terms of references for all staff remains a key issue.

At the Puntland level, local advisors at the Ministry of Planning and International Cooperation (MOPIC) were involved in various activities providing expert support to administration and finance, communication, monitoring and evaluation, planning as well as aid management. The local advisors continue to give support to the Ministry’s quest to set up a fully functional Ministry with all systems in place.

Notably, the Ministry’s civil service is increasingly taking over the day to day finance, administration, reporting and statistics functions. A DfID sanctioned monitoring and verification exercise carried out by the Learning and Monitoring Program Somalia (LAMPS) observed that the Technical Advisors contribution whilst placed in the MOPIC had been relevant, effective and had increased and efficiency.

In the third quarter, the Aid Coordination Unit (ACU) housed under the FGS Office of the Prime Minister (OPM) has been supported through paying the salaries for 21 staff members/local advisors. In the reporting period, the ACU fulfilled four main functions by providing the necessary technical secretariat support for the current drought



SOMALIA UN MPTF

response. The ACU continued to provide advice to different government bodies on aid effectiveness, strengthening dialogue between donors and government.

Output 2: Rationalized and updated Civil Service Management policy, framework, system, processes, and guidelines developed.

Civil service laws and regulations

The project is supporting the two responsible Ministries of Labor in the FGS and Puntland to ensure that legislation is in line with modern day expectations. While the process of redrafting the FGS Labor Law has made limited progress during 2016 and the beginning of 2017, in the third quarter, work on the Civil Service Law #11 resumed after the inception of the new Federal Government of Somalia. The project supported an international consultant who worked on the recommendations that were received from the Cabinet. Several processes were initiated during the reporting period. The international consultant held consultative meetings with the new Minister of Labor and they discussed the challenges faced before and proposals for moving the law forward. A steering committee that had been set aside in 2016 was revived in the reporting period. The committee which will be responsible for discussing any changes and making representations on behalf of key government institutions consists of 3 staff members from the Ministry of Labor, 1 member from the Civil Service Commission, the OPM, the Ministry of Finance, the State Solicitor General as well as a local advisor who serves as the legal advisor. This advisor is supported by the project. The Ministry of Labor also shared the draft law with international partners, who have provided comments and suggestions including need to harmonize terminology in the draft law as well as ensure that the Federal Member states were fully consulted.

One of the key issues noted during the reporting period was how effectively the Council of Ministers and Parliament could be engaged so that they can review and pass the Civil Service Law in the FGS. Drafting the Civil Service Law and its eventual passage through Parliament is only the first step in a longer-term process, which entail the enabling of the legal and regulatory system, strengthening the HRM institutional framework and the training of staff. While the drafting and passing of the law is an important step, it is important that the law operates in the context of a well-defined broader labor legal and regulatory framework and is well adapted to the labor market. Discussions at the Puntland level on the labor law have not gained much traction and no further progress was recorded in this reporting period for Puntland.

The Puntland Civil Service Commission carried out trainings on data protection, data analysis, ICT policy framework and on the Data Policy Implementation Strategy during the reporting period. The PCSC does not have all these policies in place and the staff expressed satisfaction with the training sessions. The staff members trained noted that they were more confident on executing IT security techniques imparted to them. The staff also noted that the training helped them understand the importance of handling confidential Human Resources data for civil servants.

Output 3: Improved training policy, facilities and plans proposed.

The training systems for civil service servants in Somalia remain weak or not institutionalized. Currently, there are no specific capacity development policies or dedicated Civil Service Training Institutes (CSI) in Puntland and on the Federal level.



SOMALIA UN MPTF

As is the case for the Civil Service Law, the Federal Ministry of Labor reinvigorated previous work done on training policy and requested the project to engage consultancy services to review the present training set-up and formulate recommendations etc. for the subsequent development of a national training policy. Recruitment of these consultancy services started and are expected to be delivered in the 4th quarter.

Output 4: Strategic guidelines developed for internal Government coordination, good governance and strategic communication

Parliament support:

While the Office of the Prime Minister maintains relations with the FGS Parliament, the efficiency and effectiveness of these relations can be improved. To improve strategic communications and strengthen good governance guidance, the project finalized an agreement with the OPM from 01 September until 31 December 2017 to cover areas such as communications, production of a good governance guide and other related matters. By the end of the reporting period, the OPM was recruiting the local advisors to carry out the work.

Good governance support:

The project supported the Puntland Good Governance and Anti-Corruption Bureau to carry out a South – South cooperation study tour in Rwanda hosted by the Rwanda Ombudsman. The Director of the PGGAB and one of the local advisers supported by the project met with officials from the Rwanda Ombudsman's office; Rwanda National Police Service; Rwanda Public Procurement Authority; Rwanda Public Prosecuting Authority; Rwanda Governance Board as well as civic society group Transparency International Rwanda.

The PGGAB drafted and finalized three key documents namely: The Service Delivery Charter for Puntland, a Public Complaints Mechanism as well as a Good Governance and Advocacy Strategy document. The meetings in Kigali with the different government institutions deliberated on ways that the tenets of these documents can be put into action. The Rwanda government institutions pledged support to the PGGAB in any future activities. After the Kigali visit, a training on the Public Service Charter was held for all Director Generals in Garowe.

The PGGAB continued with the implementation of its implementing its Three Year Strategic Plan, which is aligned to the Puntland revised Development Plan. Activities supported by the project include media interviews and programs on Puntland state television and radio networks to discuss good governance and corruption matters in Puntland. The 'phone-in' programs by the public in Puntland have attracted attention and broadened the views of the Puntland citizens on issues of good governance and anti-corruption. The project is also supporting the PGGAB with one local advisor and one graduate intern to assist in the implementation of its Three Year Strategic Plan. Extensive consultations through different stakeholders and publics such as youth, women and government institutions have been conducted in the reporting period. While the Three-Year Strategy is implementable it requires more financial and human resources. The PGGAB proposed in the reporting quarter last quarter hosting a national anti-corruption conference in Garowe bringing together various national and international stakeholders. This has not yet been undertaken. Discussions on this matter remain on the table.

Output 5: Assessments, tools, and plans developed to mainstream gender

In Somalia, gender-mainstreaming capacities are insufficient to warrant sufficient attention to gender in government policies, strategies and operational processes. The SIP project supports the Federal Ministry of



SOMALIA UN MPTF

Women Human Rights and Development (MOWDHR) and Puntland's Ministry of Women Development and Family Affairs (MOWDAFA) on gender mainstreaming. The Ministries are charged with ensuring that government policies, for example on recruitment of civil servants, gender based violence and on the economic front, have gender sensitive components. The project helps both Ministries in ensuring that this is done.

The LOA for the FGS MOWDHR expired at the end of the second quarter. With the new government, new agreements and arrangements for cooperation are being agreed with upon UNDP. The agreement is scheduled for finalization in the fourth quarter. Furthermore, the project supported one local adviser at the Puntland MOWDAFA. He was responsible for carrying out advocacy meetings on gender mainstreaming with the civil service and government Ministries as well civil society groups across Puntland. With the Gender Mainstreaming Toolkit finalized in the first quarter, the MOWDAFA continued with the dissemination of information to wider sections of Puntland's key populations in the reporting period. In the reporting period MOWDAFA dispatched two teams of three staff members to observe activities in Puntland's six regions on how far gender mainstreaming was being incorporated into government programs. Reports from these activities will be made available in the next quarter.

Output 6: Guidance to undertake Development Planning, M&E and Aid Coordination including reporting developed.

While at the start of the project in 2015, ad hoc arrangements for development planning standards and M&E existed in Somalia they were at varying levels of capacity and functionality. In 2017, the NDP has been approved, the M&E structures are strongly emerging, and the Aid coordination structures exist (SDRF and its Pillar Working Groups in the FGS and Puntland Development Forum at the Puntland level).

Development Planning

While the NDP is now a FGS endorsed document since December 2016, more work needs to be done to break down the document into measurable and implementable annual workplans. Since the first quarter, the project has been supporting the MOPIED with an international consultant who is advising them on how to carry this work forward. The work has progressed well in the reporting period. In this regard, the consultant has worked on a revised organizational structure for the MOPIED considering the added functions in Investment Promotion and Economic Development. The structure has been proposed to Minister Gamal for implementation by the international Planning and SDG consultant.

An implementation plan for the National Development Plan was presented to the Minister of MOPIED who in turn presented it to the Prime Minister of the FGS as well as Cabinet on 27 April 2017. The implementation plan contains logical frameworks which were translated into annual deliverables linked to implementation arrangements. Data for the baselines, targets and data sources was also updated in this round of editing. Reports from the MOPIED Permanent Secretary note that the work presented to the Cabinet and Prime Minister was deemed satisfactory and at that occasion, the Prime Minister instructed all line Ministries to prepare their Action Plans in accordance with the NDP highlighted goals and outcomes as well as to conform to the proposed Gant Chart model proposed by the MOPIED Minister. In the reporting period, the MOPIED staff supported by the project delivered presentations on the NDP to an Upper House Committee that informed members about the NDP, its structure, how it operates and how Parliament can assist in its implementation.



SOMALIA UN MPTF

While the Puntland Revised Three Year Development Plan was approved in 2016, more work was carried out in the reporting period to align line Ministries annual plans as well as to ensure that the implementation plans are in sync with the document. The project supports six local advisors who assisted the MOPIC and line ministries with aligning their activities to the National Development priorities as well as ensuring their Annual Work plans are in place to implement the NDP.

Monitoring & Evaluation, and SGD mainstreaming

Building on the project support to set up a Monitoring and Evaluation Unit at the MOPIED in 2016, work is continuing to build M&E systems for the government in Somalia. An international consultant supported by the project worked with the MOPIED Directorate of Monitoring and Evaluation to come up with a new draft Monitoring and Evaluation policy. The new policy seeks to establish common structures and standards across the entire public sector for tracking progress in the implementation and evaluation of all government policies, programs and projects. The M&E Framework was adopted as an official government document by the National Development Council on 19 September 2017.

An international consultant attached to the MOPIED continues to work on mainstreaming the SDGs to the NDP. Ministerial workplans for 2017 in the FGS were developed with the guidance of the international Planning and SDG advisor supported by the project. Support was also given to assist the FMS (Galmudug, Hirshabelle, South West State, Jubaland) in aligning their annual implementation plans with SDG indicators.

In the reporting period, the project signed an agreement with the SIDRA Institute based in Puntland in support of a project entitled “Localizing SDGs in Somalia”. The project seeks to address the process of localizing SDGs in Somalia and will lead to the development of an efficient and inclusive process of localizing SDGs in Somalia. Although the study will focus on Puntland and one SDG (Goal 7), the results will be applicable to the rest of Somalia and experience gained will be applicable in localizing other SDGs in Somalia.

Aid Coordination

The project provides since its inception has provided substantial support to the Aid Coordination Unit (OPM) with staff, operational funds as well as technical advice. The ACU operates appropriately and organizes the HLPF meetings, SRDF Steering Committees and support the various Pillar Working Groups. On 24 July, the ACU the ACU successfully convened the first Pillar Working Group meeting on the National Development Plan. On 26 July, an SDRF Steering Committee meeting was held in Mogadishu again with the support of the ACU who are the secretariat.

The bidding process for the first Aid Management Information Service was carried out at the end of September with the involvement of UNDP, MOPIED and the ACU. This is a move in the right direction and it is planned that when this system is acquired and set up, the aid management process in Somalia will be better managed. The new AIMS is expected to become operational during 2018.

The Puntland Development Forum was set up in December 2016. One of its key outputs was setting up 6 Sector Working Groups where different government institutions, civil society and the international donor community could interact to spearhead the implementation of the Three-Year Development Plan. In Puntland, the aid coordination mechanism set up under the Puntland Development Forum continues to work. On 10 August 2017,



SOMALIA UN MPTF

the PDF's second meeting saw the chairs and co-chairs of all the PWGs convening. The members present discussed the challenges faced by the PDF and the proposed solutions to deal with some of the identified challenges.

Output 7: Project management and implementation arrangement is established ensuring appropriate project implementation

In the reporting period, three new LOAs were signed with the OPM, MOLSA and MOPIED adding to the already active LOA with the National Civil Service Commission. The Ministry of Women Human Rights and Development LOA will be finalized in the next quarter. In Puntland, the MOPIC, MOWDAFA, PGGAB and the CSC had active LOAs. The Ministries have managed to submit their financial and narrative reports where it shows activities planned were implemented successfully.

No board or steering committee meetings either at the Federal Government of Somalia nor Puntland government level were scheduled in the reporting period for the project.

Other Key Achievements

- Federal Member states representatives met in Mogadishu to deliberate on monitoring and evaluation for the NDP on 25 July 2017.

Challenges (incl: Delays or Deviations) and Lessons Learnt:

Failure to have draft policies and legislation adopted by government: While several draft policy documents and legislation have been proposed to the FGS and Puntland government Ministries, there limited success in translating these documents into official government documents. This challenge has not yet been addressed in Puntland in relation to Civil Service Law #11. It has taken nearly six months since the first drafts of the Civil Service Law #5 and #11 at the FGS and Puntland levels to be presented before Parliament. The process seems to have stagnated.

Lesson learnt: Turnaround of draft policy and legislation in both the FGS and Puntland governments takes time. However, it might be essential to adjust the strategy for presenting these documents and advocating for the adoption of such policies to parliament and the cabinet in future.

High staff turnover in government institutions: The change of government following the successful elections led to a huge staff turnover in most Ministries. Several institutions working with the project have had wide sweeping changes of staff leading to situations where project implementation has been delayed in some instances.

Lesson learnt: It might be better to encourage the incoming government to keep the old staff as well as adjusting project timelines in anticipation of such disruptions in future.

Catalytic effects

The resumption of the work to enact the FGS Civil Service Law #11 is important and has the potential to create more stability and sustainability in the civil service. With a new, modern and up to date civil service law there is the likelihood that more positions that are currently filled by "consultants" will now be filled by civil servants. This situation will create more predictability with regards to longer term serving staff members as opposed to "contract



SOMALIA UN MPTF

staff”. The salary bill for the government is bound to become more manageable therefore ensuring the recruitment of more capable and sufficient civil servants in the FGS.

The bidding process for the first Aid Management Information Service was carried out at the end of October with the involvement of UNDP, the MOOIED and the ACU. This is a move in the right direction and it is hoped that when this system is acquired and set up, the aid management process of data processing, storage and coordination will be better managed.

Gender

The FGS Ministry of Women Human Rights and Development proposed a gender mainstreaming toolkit. This toolkit will be used to direct and guide government when implementing activities, projects and programs related to the National Development Plan. The project supported a local advisor who has been facilitating and organizing the meetings with government Ministries as well as civil society in Puntland.

In the third quarter, the National Civil Service Commission spearheaded meetings with Ministries and FMS supported by the MOWHRD and OPM. Issues discussed include gender mainstreaming in the civil service, raising the minimum quota of women in the civil service as well as other matters that affect women to turn away jobs in the public service. The implementation of the gender mainstreaming toolkit in Puntland continues in earnest. The MOWDAFA dispatched two teams of their staff members to six different regions in Puntland to observe how the government institutions are putting into practice the lessons on gender mainstreaming they have learnt from the MOWDAFA.

The project continued to incorporate a UNDP directive that every LOA signed with a partner must be supported by a Female Graduate Intern as this has the effect of increasing the chances of female Somalia graduates in getting professional experience. The project supported 3 Graduate Female Interns at MOWDAFA, the CSC and MOPIC in Puntland

Proportion of gender specific outputs in Joint Programme ⁷	Total no. of Outputs	Total no. of gender specific Outputs
	5	2
Proportion of Joint Programme staff with responsibility for gender issues (as of end of 2016) ⁸	Total no. of Staff	Total no. of staff with responsibility for gender issues
	10	5

⁷ Gender Specific Outputs are those that are specifically designed to directly and explicitly contribute to the promotion of Gender Equality and Women’s Empowerment.

⁸ Staff members are those contracted to undertaken work for the Joint Programme including full time staff, consultants, advisors, interns, etc. Staff members with responsibility for gender issues are those who have gender related activities included in their Terms of Reference.



SOMALIA UN MPTF

Human Rights	
Has the Joint Programme included a protection risk assessment in its context analysis, including on gender issues, and taken measures to mitigate these risks to ensure they are not exacerbated or new risks created?	Result (Yes/No)
	<i>No</i>
No. of Joint Programme outputs specifically designed to address specific protection concerns.	Result (No.)
	<i>0</i>
No. of Joint Programme outputs designed to build capacity of duty bearers to fulfil their human rights obligations towards rights holders.	Result (No.)
	<i>0</i>
Other	
Does the Joint Programmes have a national cost – sharing component (i.e. funds and /or other resources provided by the FGS and/or FMS (including in – kind contributions)? (if “Yes”, describe below).	Result (Yes/No)
	<i>No</i>
Have FMS(s) been engaged in one or more of the following: design, planning, implementation, coordination and/or monitoring of the Joint Programme.	Result (Yes/No)
	<i>Yes</i>
Describe nature of cost sharing: N/A	
Support to Drought response – <i>In bullet points, briefly describe how the Joint Programme has contributed to the ongoing drought response (including re orienting of activities and/or inclusions of new activities to response to crisis).</i>	
Communications and Visibility	
<p>Website use: The FGS Minister of Planning, Investment and Economic Development uses the following website for all its updates and it has recently been revamped: http://www.mopic.gov.so/ . and the Puntland MOPIC uses the following: mopicpl.gov.net. The Ministry of Women Development and Family Affairs (MOWDAFA) tweets from this handle: @MOWDAFA</p> <p>Social Media Use:</p> <p>✓ For all information about the NDP in Somalia – the MOPIC has a twitter account, which is constantly updated with pictures from meetings, press releases and any other details. Its twitter handle is: @MOPIED_Somalia. And the Puntland MOPIC is @MopicP</p> <p>Pictures: The project in collaboration with the different government partners collects pictures from meetings, workshops, trainings, banners etc. To ensure visibility of the UN and cooperating partners, the pictures taken should have the UN logo and logos from the different donors. A collage of pictures is available in Annex 5 of this report.</p>	
Looking Forward	
The major highlights for the fourth quarter of 2017 include:	



SOMALIA UN MPTF

- Final consultation meeting on the draft Civil Service Law to be attended by Donors, Government representatives (both FGS and FMS), and the UN and other stakeholders. Tentative Date: 17th October 2017 at the AMISOM VIP
- Support to the development of realistic workplans for all Pillar Working Groups,
- Implementation of the new Monitoring and Evaluation framework in the FGS as well as the reporting arrangements, which should be leading to the production of the first progress report on the NDP early 2018.
- Support the establishment of aligned working arrangements between the Cabinet and Parliament, particularly vis-à-vis the legal agenda.
- In the fourth quarter, the project will pay specific attention to strengthening the ‘use of national systems’ predominantly through the management arrangements of the Letters of Agreement (LOA), which will be further aligned with the national systems. Several LOAs are due for renewal at the FGS level and these will be signed before the end of the quarter.
- Finalization of the program evaluation by the external evaluators.
- Presentation of Findings and Recommendations from the evaluation of the SIP/STeFs projects to be attended by Donors, Government representatives (both FGS and FMS), and the UN and other stakeholders. Tentative Date: 18th October 2017 at the AMISOM VIP.
- Conduct of the second Federal Government of Somalia Cabinet Retreat to be attended Cabinet members of the Government of Somalia but supported by CD-SIP. Tentative date: 29th - 30th October 2017.



SOMALIA UN MPTF

ANNEX 1. RISK MANAGEMENT

Type of Risk ⁹	Description of Risk	Mitigating Measures
Financial		
Risk assessment as per Pro Doc	Limited capacity of partners to comply with appropriate finance and procurement policies and procedures in managing, tracking and reporting on expenditures.	HACT Assessments are carried out on each partner and UNDP Finance procedures are observed for all transactions. Trainings for partner staff members are also implemented
Risk reporting for July–September 2017	NTR ¹⁰	NTR ALL: Mogadishu and Puntland: The project is under the financial control of an International Project Management Specialist in charge of the day to day financial accounting for the two projects.
Operational		
Risk assessment as per Pro Doc	Delay in recruitment of project management and international and national full time technical staff	Recruitment will be planned well in advance, and engaging HR contractors will be explored to ensure timely deployment of required staff- both national and international. All the staff required for the entire project will be assessed in advance, and a special recruitment drive will be conducted to attract better and required talents, and deployed.
Risk reporting for July–September 2017	ii) Puntland: Logistical problems: such as visa approvals for meetings to be held outside Somalia continue to dog some Government institutions linked to the project.	ii) Puntland: Logistical problems: In future, several options will be put on the table for consideration such that if one especially the Nairobi one fails the meetings can be transferred to a regional country such as Ethiopia and Uganda subject to resource availability and other logistical arrangements.
Organizational		
Risk assessment as per Pro Doc	Lack of agreement on coordination and cooperation arrangements	The Steering Committee and the Component Boards will address emerging issues and evolving circumstances and may proceed with amendments to project work plans or operating processes to allow an appropriate response.
Risk reporting for July–September 2017	NTR	While the Board Meetings were held, it is intended to increase the frequency to 4 times per year.
Political		

⁹ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.

¹⁰ NTR – Nothing to report



SOMALIA UN MPTF

Risk assessment as per Pro Doc	Change of Ministers and Senior staff in the Ministries.	Recent history has shown that on Federal level the government changes regularly and the impact is high, although changes since early 2016 have been very limited. The situation in Puntland is more stable. Broad involvement of senior staff in the Ministries improves understanding, agreements and reduces the dependency of the project on a limited number of staff.
Risk reporting for July–September 2017	The project had planned to carry out Third Party Monitoring activities in the FGS and Puntland. This largely failed in several institutions such as the MOIFA, OOP and OPM because the local advisors had left their positions after the change of government.	In future, it would be necessary to ensure that reviews are carried out before the end of the government tenure and to also ensure that the Government is equipped with expertise on staff retention for continuity purposes.
Regulatory		
Risk assessment as per Pro Doc	Absence of clear regulatory frameworks	Establishment of sound networks on the different levels of implementation and oversight to ensure appropriate flows of information and review of relevance and ‘best fit’ of the project with on the ground realities
Risk reporting for July–September 2017	NTR	NTR
Security		
Risk assessment as per Pro Doc	Serious deterioration of the security situation.	The situation is closely monitored, and instructions by DSS are followed.
Risk reporting for July–September 2017		<p>More meetings are planned for in pre-vetted hotels as well as the MIA.</p> <p>FGS: The project has tried in certain instance to host meetings for government officials at the protected Mogadishu International Airport to ensure their participation as well as other international stakeholders.</p> <p>Puntland: Adherence to UN security advice and employing flexible working arrangements, including remote support of IPs to implement project activities through LOAs. The security level for Puntland has since been reviewed to PC2 which means that programming can continue without interference albeit taking all necessary precautions as dictated by UNDSS.</p>
Strategic		



SOMALIA UN MPTF

Risk assessment as per Pro Doc	Absence of qualified consultants to implement the assignments	<p>UNDP uses its rosters for the present assignment as well as a recruitment company. The combined resources make it unlikely that suitable consultants cannot be located. The internal UNDP recruitment mechanisms will be deployed and external recruitment capacity will be engaged to mitigate this risk further.</p> <p>Close communication and involvement of the partners in the process will avoid misunderstanding and undue expectations.</p>
Risk reporting for July–September 2017	NTR	ALL: Mogadishu and Puntland: The main strategy is to retain consultants who have executed their initial assignments effectively. This is to ensure continuity in the implementation of project activities with the added value of avoiding the long learning curve that often comes with new consultants
Social and Environmental Risks (SESR)		
SESR Screening completed in 2016	Duty-bearers do not have the capacity to meet their obligations in the Project	The partners receive hands-on support and training to implement their obligations.
Risk reporting for July–September 2017	NTR	NTR
SESR Screening completed in 2016	Risk 2: Rights-holders do not have the capacity to claim their rights	While understanding that rights can be claimed there, the procedural environment to do so is underdeveloped. The project supports the partners to develop that procedural environment
Risk reporting for July–September 2017	NTR	NTR
SESR Screening completed in 2016	Project would have adverse impacts on gender equality and/or the situation of women and girls	The project is actively promoting equal treatment of women. The policy and procedural environment the project is promoting normally should help in this. However, active and passive resistance delays implementation and ‘urgency’ in development action pushes towards neglect of issues not deemed the most important, which includes gender.
Risk reporting for July–September 2017	NTR	NTR



SOMALIA UN MPTF

ANNEX 2. MONITORING AND OVERSIGHT ACTIVITIES

Monitoring Activity	Date	Description & Comments	Key Findings / Recommendations
[I.e. Programme oversight field monitoring visit]			
[I.e. Project Board Meeting]			
[I.e. DIM Audit]		[Should take place annually above certain financial thresholds; organized by CO.]	NTR
[I.e. Independent Evaluation]		The independent evaluation is currently underway and the final report will be presented on 18 October 2017.	The government partners have largely cooperated with the exercise and it is hoped that the results will be presented when the exercise is finalized in the next quarter.
[I.e. Engineering site visit.]		NTR	NTR
[I.e. Stakeholder Review Consultation]			
Third Party Monitoring Exercise	01 – 30 September 2017	Reports for the Verification exercises carried out by the DFID sanctioned LAMPS project on the MOIFA, MOPIED, MOWDHR and Puntland MOPIC.	<p>The exercise sought to verify and evaluate the contribution made by the local advisors supported by the project. The reports for the respective Ministries noted that the local advisors had been effective and efficient in their work. In all the partners, it was observed that the technical advisory services had been relevant to the needs of the government institutions’ needs. However, it was only with the MOIFA where a flag was raised on the delay in implementing one activity. It was noted however that the activity had been implemented on time but the advisor had moved on to another job before he had completed his tasks.</p> <p>The issue of sustainability with the Technical Advisors positions was raised in all the ministries. The issue is contentious. The technical advisors advocate for longer contracts yet the project document and the project donors are of the view that the TAs should be short and to the point. The project is aware of these differences and will stick to the original concept that the TAs will be short term missions to help government institutions fill capacity gaps.</p> <p>Updated versions of the verification exercises were concluded and shared with the project team. The project team has taken note of the issues raised around late contracting, delayed reports by Local advisors and the need to have deliverables issued on time.</p>



SOMALIA UN MPTF

ANNEX 3. TRAINING DATA

#	Target Group		Dates	# of participants		Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F			
1.	FGS: MOPIED,	ACU, MOWHDR, CSC, OPM	16 – 19 July	32	8	Project Cycle Management course	Mogadishu	UNDP Consultant
2.	FGS: MOPIED		22 August	10	9	Monitoring and Evaluation Training	Mogadishu	UNDP Consultant
3.	Puntland: PGGAB	Government Ministries	15 July	20	3	DGs Training on the Public Service Charter	Garowe	PGGAB Director
4.	Puntland: PGGAB	Government Ministries	August	30	12	Training on Complaints Mechanisms in the public service	Garowe	UNDP Consultant
5.	Puntland MOPIC		May-July 2017	20	10	Business English	Garowe	Kalinki Lawrence
6.	Puntland MOPIC		9 -11 September 2017	10	5	Training on how to improve organizational behavior and enhance communication and departmental collaboration	Garowe	Abdisamad Hashi (Local Advisor)
7.	Puntland MOPIC		12-14 September 2017	10	5	Orientation training on mandates, departmental and organizational functions	Garowe	Abdisamad Hashi (Local Advisor)
8.	Puntland MOPIC		16-18 September 2017	10	5	Training on how to improve individual performance and knowledge	Garowe	Abdisamad Hashi (Local Advisor)
9.	Puntland MOPIC		19-21 September 2017	10	5	Training on confidentiality and avoidance of workplace politics	Garowe	Abdisamad Hashi (Local Advisor)
10.	Puntland MOPIC		23-25 September 2017	09	6	Training on information recording, archiving and retrieval processes	Garowe	Abdisamad Hashi (Local Advisor)
11.	Puntland Civil Service Commission		01/07/2017 – 08/07/2015	04	03	ICT Troubleshooting. Hardware and Software standardization training, data protection training, and data analysis training.	Garowe	Ahmed A Said (Local Advisor)



SOMALIA UN MPTF

#	Target Group		Dates	# of participants		Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F			
			to 10/08/2017 16/08/2017					
12.	Puntland Service Commission	Civil	01/09/17– 15/09/17	04	03	Advance IT Trainings and Data Management Trainings <ul style="list-style-type: none"> - Data analysis to protect electronic data - ICT Policy Framework - Anti-Virus standardization - Ms Windows 10 standardization PC vendor hardware training	Garowe	local Advisor
	Total:			169	74			



SOMALIA UN MPTF

ANNEX 4: TABLE OF TOTAL NUMBER OF ADVISORY POSITIONS SUPPORTED PER GOVERNMENT INSTITUTION IN 2017

FEDERAL GOVERNMENT OF SOMALIA		PUNTLAND GOVERNMENT OF SOMALIA	
MINISTRY	NUMBER OF POSITIONS SUPPORTED	MINISTRY	NUMBER OF POSITIONS SUPPORTED
MOPIED	13	PUNTLAND GOOD GOVERNANCE AND ANTI CORRUPTION BUREAU	2
Ministry of Labor and Social Affairs	1	MOPIC	7
Civil Service Commission	7	MINISTRY OF WOMEN DEVELOPMENT AND FAMILY AFFAIRS	1
AID COORDINATION UNIT	21	CIVIL SERVICE COMMISSION	2
TOTAL	42	TOTAL	12



SOMALIA UN MPTF

ANNEX 5: PICTURES

<p>24 July 2017, Kigali, Rwanda: The Rwanda Ombudsman with her staff and members of the Puntland Good Governance and Anti-Corruption.</p>	<p>26 July 2017, Kigali, Rwanda: The Puntland Good Governance and Anti-Corruption after a briefing by the Rwanda National Police Command. .:</p>	<p>28 July 2017, Kigali, Rwanda: The Puntland Good Governance and Anti-Corruption meeting with the Director of Transparency International Rwanda.</p>
<p>24 July 2017 - Mogadishu: The first Pillar Working Group meeting for the NDP hosted by the ACU and MOPIED</p>	<p>01 Aug 2017, Mogadishu: The Director of M&E at MOPIED takes an Upper House Senate Committee through the structure of the NDP.</p>	<p>05 Sep, Mogadishu: MOPIED Perm Sec, Abdi Dirshe addresses a meeting to discuss the FGS aid architecture to international partners.</p>