SD12: KII RECORDING SHEET

Interviewer's Details		
0.1: Name	0.2: Telephone	0.3: Email

Background Information	
0.4: Community/Village Name	
0.5: Sub-location Name	
0.6: Date of KII	

SECTION 0. INTRODUCTION AND EXPLANATION

1. Welcome and thank the key informant for his/her time.

2. Provide a brief introduction and explain the overview of KII including, among others:

    - **Why conduct KII**: E.g. "In order to help people in [*assessment target area*] more effectively, it is important to identify households that are doing well generally and able to withstand shocks and crises in [*assessment target area*] without having to rely on external emergency support from the government, NGOs, etc. These households are often described as "resilient" (explain further as required). Your household has been identified as one such household."

    - **The interviewee's role in KII**: E.g. "We would like to ask you a few questions to help us understand what you and other household members are doing or benefiting from that enables you to do well in this community when so many others find it much harder to cope."

    - **How KII will help**: E.g. "The information collected from the interview is useful in helping agencies develop better plans and activities to improve the situation of communities generally and help targeted households cope with [*main shocks/crises*]."

SECTION 1: KEY INFORMANT'S HOUSEHOLD INFORMATION

Question 1.1-1.5: Obtain <u>basic information on the key informant's household (HH)</u>.

Basic Household Information		
1.1: Name of key informant		
1.2: Tel # of key informant		
1.3: Number of HH members		
1.4: Head of HH (Check one)	Male-headed	Female-headed

Question 1.5: What is the <u>highest level of education</u> attained by the member(s) in your household?

1.5: Highest education level in HH (Check the applicable one)		No formal education: illiterate
		No formal education: can read and write
		In primary school
		Completed primary school
		In secondary school
		Completed secondary school
		In tertiary education
		Completed tertiary education
		Other [*Specify*]

SECTION 2: SOURCES OF INCOME/LIVELIHOODS

Question 2.1: Please state <u>ALL sources of income in your HH</u> generated by the HH members in a year, including seasonal sources. If the key informant HH's livelihood is purely non-cash subsistence-based, please check only "subsistence." Among these, please select up to three (3) sources that particularly contribute to your HH's ability to cope with shocks/crises facing the community.

2.1a: Sources of income/livelihood activities (Check <u>ALL</u> the applicable ones)	2.1b. Priority sources (Check <u>up to three [3]</u>)
Subsistence	
Crop farming: rain-fed	
Crop farming: irrigation	
Livestock: sale of animal(s)	
Livestock: livestock products (e.g. milk, hides, manure)	
Fishing	
Self-employment/small business/petty trade	
Wage income: formal employment	
Wage income: informal casual labour	
Remittances	
Rental Income: land	
Rental Income: property	
Other [*Specify*]	
Other [*Specify*]	
Other [*Specify*]	

Question 2.2: Does your HH receive <u>social assistance/productive safety net (e.g. cash transfer) support</u> regularly? Did your HH receive any <u>relief (i.e. food/item) support</u> in the past 5 years? If so, explain how often.

External Livelihood Support			
2.2a: Social assistance/productive safety net support (Check one)	Yes	[*If yes, how often?*]	No
2.2b: Relief support (Check one)	Yes	[*If yes, how often?*]	No

SECTION 3: PATHWAY TO RESILIENCE

Question 3.1: In previous discussions with members of your community, HHs such as yours were considered 'resilient'. What do you think are the <u>key factors or characteristics that make your HH resilient</u>?

3.1: Contributing factors to HH resilience (Check <u>ALL</u> the applicable ones)
Access to finance (formal/informal)
Access to health
Cash/food support
Crop farming: techniques/technology/inputs
Crop farming: irrigation
Crop farming: subsidy
Education (academic qualification, knowledge, etc.)
Fishery income
Land ownership/access
Livestock ownership
Off-farm income (business, employment, labour, etc.)
Remittance
Other [*Specify*   ]
Other [*Specify*   ]

Question 3.2: Please explain the <u>steps or process that your HH followed</u> in becoming resilient in the past 5 years.

3.2: Steps taken by HH to be resilient

Question 3.3: Please think of past bad years or shocks/crises which hit your community. Do you think your HH coped relatively better than other HHs in the community during these periods? Why?

3.3a: Duration of HH's resilience (Check one)	Always relatively resilient
	Almost always relatively resilient
	Not always relatively resilient
3.3b: Reasons for resilience duration	

SECTION 4: PRIORITY RESILIENCE-BUILDING INTERVENTIONS

Question 4.1: Based on your HH's experiences to date, what types of interventions/services/changes/actions would best enable other HHs in your community to also cope well with shocks/crises? Please give the three (3) most important things that could happen and explain why/how these would make significant differences.

4.1a: Priority resilience-building interventions (Check three (3) applicable ones)
Business (skill development, improved business environment, etc.)
Credit/loan/saving: access to formal or informal services (village savings, micro-banks, etc.)
Education: hardware (construction/refurbishment of school facilities, etc.)
Education: software (e.g. staffing/quality improvement, scholarships, bursaries provision, etc.)
Empowerment (improved community organization/self-help group, gender equality, etc.)
Environment (natural resources management, land rehabilitation, reforestation, etc.)
Farming: labor & non-labor inputs/technology/techniques and subsidy
Farming: irrigation
Farming: improved market access
Fishing (improved market, fishing inputs, etc.)
Food and/or other relief item distribution
Governance/Peace (less/no corruption, decision-making/conflict resolution structure, etc.)
Health: hardware (construction/refurbishment of health facilities)
Health: software (e.g. improvements to health services and staffing)
Housing (e.g. support in building safe and strong shelter)
Job/Employment/Labor (e.g. increased formal/informal job opportunities)
Livestock: qualitative (production improvement through fodder, animal health, etc.)
Livestock: quantitative (increase herd size, restocking of livestock, etc.)
Livestock: improved market access
Road (construction, improvement, etc.)
Social assistance/productive safety net (social cash transfer, cash for work, etc.)
Telecommunication (e.g. mobile phone coverage extension)
WASH: improved water quality and quantity (boreholes, taps, piping, tanks, dams, etc.)
WASH: improved access to basic sanitation
Other [Specify]
Other [Specify]
Other [Specify]
4.1b: Explanation for each priority intervention of why it is important/how it helps build resilience