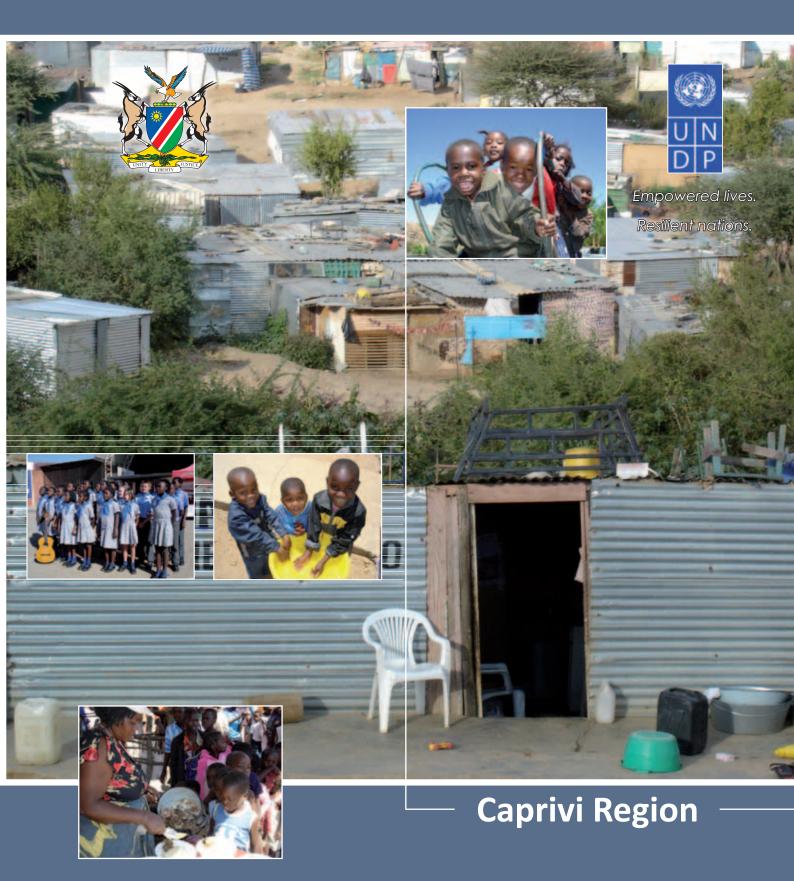
# Datazone level Namibian Index of Multiple Deprivation 2001





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ISBN: 978-99916-887-2-5

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# PREFACE

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This report is the result of collaborative work between the Government of the Republic of Namibia (GRN), the United Nations Development Programme (UNDP) and the Centre for the Analysis of South African Social Policy at the Oxford Institute of Social Policy at the University of Oxford.

In November 2009, the Khomas Regional Council requested UNDP to assist in designing an objective criterion or set of criteria, devoid of political and other considerations, which the Council could use in allocating development resources. Subsequent discussions led to an agreement that other stakeholders, especially the Central Bureau of Statistics needed to be involved and that the criterion or set of criteria needed to go beyond income poverty considerations. It was also agreed that rather than focus on Khomas region alone, the criterion or set of criteria needed to be applicable to, or cover the entire country. Specifically, it was agreed that a composite index of multiple deprivation, the Namibia Index of Multiple Deprivation (NIMD), be constructed at both national and regional levels. Since the scope and depth of analysis needed for the development of the NIMD required very detailed and reliable data and information, it was agreed that the 2001 census data, though 'outdated', be used as the source of information for preparing the NIMD. Accordingly, the NIMD being presented in this report reflects the situation in Caprivi region at the 2001 timepoint only. UNDP and the GRN recognize that the report does not speak to possible changes in relative deprivation that may have occurred in the Caprivi region since 2001. Nevertheless the 2001 NIMD could serve as a benchmark against which

change over the last decade could be measured when the 2011 Census becomes available and is subsequently used for carrying out a similar analysis.

This report presents, using tables, charts and digital maps, a profile of multiple deprivation in Caprivi region at data zone level, which is a relatively new statistical geography developed for purposes of measuring deprivation at a small area level. This technique of profiling deprivation at datazone level, each with approximately 1000 people only, enables the identification and targeting of pockets of deprivation within Caprivi region for possible use in panning for and implementation of development interventions. The aim of the exercise was to produce a profile of relative deprivation across Caprivi region in order for the most deprived areas to be identified and clearly delineated. In this way, it would be possible for regional and constituency level policy and decision makers, as well development practitioners, to consider a particular domain of deprivation, or to refer to the overarching NIMD for each constituency or datazone, in inter alia, allocating and applying development resources and interventions. The NIMD can also be used as a platform for effecting a paradigm shift in development planning towards increased focus on and targeting of deprived areas and sectors;

as well as interrogating the causes of inequality in access to basic services within the region. The NIMD at datazone level should be viewed as adding to the existing body of information and knowledge, including local knowledge systems, about poverty and deprivation in Caprivi region and the large family of existing planning and resource allocation tools and methodologies already in use at the regional and constituency levels.

This project was undertaken by Professor Michael Noble, Dr Gemma Wright, Ms Joanna Davies, Dr Helen Barnes and Dr Phakama Ntshongwana of the Centre for the Analysis of South African Social Policy at the Oxford Institute of Social Policy at the University of Oxford, under the leadership and guidance a national steering committee chaired by Mr Sylvester Mbangu, Director of the Central Bureau of Statistics, with the participation of representatives of the thirteen Regional Councils. In addition to providing the funds for carrying out the project, UNDP provided overall oversight and technical backstopping to the project through Ojijo Odhiambo, Senior Economist and Johannes Ashipala, National Economist. David Avenell is thanked for his assistance with producing the datazones.

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# **SECTION 1: INTRODUCTION**

# This report presents the datazone level Namibian Index of Multiple Deprivation 2001 (NIMD 2001) for Caprivi region.

The NIMD is a composite index reflecting five dimensions of deprivation: income and material deprivation; employment deprivation; education deprivation; health deprivation; and living environment deprivation. The NIMD and the component domains of deprivation were produced at datazone level using data from the 2001 Population Census. Datazones are small areas containing approximately the same number of people (average 1,000). The datazone level NIMD therefore provides a fine-grained picture of deprivation and enables pockets of deprivation to be identified in Caprivi region.

The report is structured as follows: The background information and the conceptual framework which underpins the model of multiple deprivation is described in this introductory section. In Section 2 the rationale for and process of constructing datazones are described. Section 3 introduces the domains and indicators that were included in the NIMD and summarises the methodological approach that was used in constructing the NIMD. In Section 4 datazone level results for Caprivi region are presented, while conclusions and some general policy recommendations are presented in Section 5.

# 1.1 Background

Initially a NIMD was created at constituency level for the Khomas Regional, but applicable to other regions of the country as well, using data from the 2001 Population Census at constituency level after a two-day consultative process on the domains and indicators with members of the Central Bureau of Statistics, civil servants from the Council and staff members of UNDP. The objective of this phase of the project was to construct measures of multiple deprivation at constituency level in order to provide a more detailed analysis of deprivation which would enable Khomas Regional Council, and other regional councils across Namibia, to rank their areas in order of deprivation, and also to set them in the context of all other areas in Namibia. The datazone level index presented in this report draws from the previous constituency index, and covers, in detail, the entire country including Caprivi region. In constructing the NIMD at datazone level however, it became necessary to make some small changes to some of the domains and indicators initially used in the constituency level study. These changes are explained in detail in Section 3 of this report. As such, the constituency level index has also been revised to give a comparable measure. The initial

results of the work at the datazone level were presented to, and validated by, representatives of all the 13 Regional Councils at a workshop held in Ondangwa in November 2011.

# 1.2 Defining poverty and deprivation

Townsend (1979) sets out the case for defining poverty in terms of relative deprivation as follows: 'Individuals, families and groups can be said to be in poverty if they lack the resources to obtain the types of diet, participate in the activities and have the living conditions and amenities which are customary or at least widely encouraged or approved in the societies to which they belong' (Townsend, 1979, p31).

Though 'poverty' and 'deprivation' have often been used interchangeably, many have argued that a clear distinction should be made between them (see for example the discussion in Nolan and Whelan, 1996). Based on this line of thought, it can be argued that the condition of poverty means not having enough financial resources to meet a need, whereas deprivation refers to an unmet need, which is caused by a lack of resources of all kinds, not just financial.

# 1.3 The concept of multiple deprivation

The starting point for the NIMD is a conceptual model of multiple deprivation. The model of multiple deprivation is underpinned by the idea that there exists separate dimensions of deprivation which can be recognised and measured, and are experienced by individuals living in an area. Multiple deprivation is therefore conceptualised as a weighted combination of distinct dimensions or domains of deprivation. An area level score for each domain is produced and these are then combined to form an overall Index of Multiple Deprivation.

Although the area itself is not deprived, it can nonetheless be characterised as deprived relative to other areas, in a particular dimension of deprivation, on the basis of the proportion of people in the area experiencing the type of deprivation in question. In other words, the experiences of the people in an area give the area its deprivation characteristics. It is important to emphasize that the area itself is not deprived, though the presence of a concentration of people experiencing deprivation in an area may give rise to a compounding deprivation effect, but this is still measured by reference to those individuals. Having attributed the aggregate of individual experience of deprivation to the area however, it is possible to say that an area is deprived in that particular dimension. And having measured specific dimensions of deprivation, these can be understood as domains of multiple deprivation. In his article 'Deprivation' Townsend also lays down the foundation for articulating multiple deprivation as an aggregation of several types of deprivation (Townsend, 1987). Townsend's formulation of multiple deprivation is the starting point for the model of small area deprivation which is presented in this report.

# **SECTION 2: DATAZONES**



Datazones are a new statistical geography for Namibia created especially for this version of the NIMD 2001. This section provides a non-technical overview of the process of creating the datazones and summarises their characteristics.

The methodology adopted is based on a similar process undertaken in South Africa (Avenell et al., 2009) which in turn was adapted from techniques developed in the United Kingdom (see, for example, Martin et al., 2001). Datazones were built up from Census Enumeration Areas (EAs) to create a standard uniform geography across Caprivi region based on the existing EA geography which nest within the six constituency boundaries. Though a datazone may be created from a single EA, it is usually created by merging one or more contiguous EAs which share common characteristics in accordance with a set of pre-defined rules. The actual creation of datazones was undertaken using a variety of geographical programming techniques (see Avenell et al., 2009). A set of rules governing the merging process was drawn up to ensure that the datazones had, as close as was possible, the following characteristics:

Population size: Datazones are designed to have a similar resident population size - this allows comparability across the region. The target population size was 1,000 with a minimum of 500 and maximum of 1,500. A total 84 datazones were created for the Caprivi region.

Population density: Datazones should comprise EAs of similar population density. This is important to ensure that urban areas become distinct from rural areas. The datazone algorithm incorporated thresholds to ensure that, wherever possible, urban areas became tightly bounded. Internal homogeneity: It is important that datazones comprise EAs of similar characteristics. This helps to ensure that the datazone geography created is 'meaningful' in that, for example, in urban areas housing of a similar type are grouped together within one datazone and that those living in EAs within a single datazone share similar socioeconomic characteristics. In order to achieve this all EAs were analysed using a technique known as cluster analysis. This technique groups EAs across the country and the region into a small number of 'families' based on a variety of relevant characteristics. The datazones were checked and validated by obtaining aerial photography underlays for the mapping software and visually inspecting boundary positions.

The NIMD and the component domains of deprivation were produced at datazone level using data from the 2001 Population Census.

# **SECTION 3: METHODOLOGY**

# 3.1 An introduction to the domains and indicators

# Domains

The NIMD was produced using the 2001 Namibian Population Census which was supplied by the Namibian Central Bureau of Statistics for the purposes of this project. Whilst the intention should always to be concept-led rather than 'datadriven', the project team was restricted to selecting indicators from the range of questions included within the 2001 Census. The NIMD was produced at datazone level (and also at constituency level on a comparable basis). There are 84 datazones and 6 constituencies in Caprivi region.

The NIMD contains five domains of deprivation:

- Material Deprivation
- Employment Deprivation
- Health Deprivation
- Education Deprivation
- Living Environment Deprivation

Each domain is presented as a separate domain index reflecting a particular aspect of deprivation. Each domain seeks to measure only one dimension of deprivation, avoiding overlaps between the domains and providing a direct measure of the deprivation in question. Individuals can however, experience more than one type of deprivation at any given time and it is therefore conceivable that the same person can be captured in more than one domain. So, for example, if someone was unemployed, had no qualifications and had no access to basic material goods they would be captured in the Employment Deprivation, Education Deprivation and Material Deprivation domains. The indicators were chosen following an extensive consultation process with representatives of the Central Bureau of Statistics, Khomas Regional Council and UNDP.

> The NIMD was produced using the 2001 Namibian Population Census which was supplied by the Namibian Central Bureau of Statistics for the purposes of this project.

#### Indicators

Each domain index contains a number of indicators. There are 11 indicators in total in the NIMD. The aim for each domain was to include a parsimonious (i.e. economical in number) collection of indicators that comprehensively captured the deprivation for each domain, but within the constraints of the data available from the 2001 Census. When identifying

During the consultation process a number of other domains were discussed. These included: access to recreation facilities, level of participation in community activities, crime, food security, provision of emergency services, and availability of affordable transport. Unfortunately data relating to these issues were not available within the Census. These issues could be incorporated into further iterations of the NIMD if appropriate administrative or geographical data becomes available. Because the direct method of standardisation makes use of individual aee/sender death rates it is often associated with small numbers. An empirical Baves or 'shrinkaee' technique is therefore used to smooth the individual aee/sender death rates in order to

reduce the impact of small number problems on the YPLL.

This refers to material goods, that is, assets or possessions.

indicators for the domains, it was important to ensure that they are direct measures of the domain of deprivation in question and specific to that domain.

In the construction of that index the indicators were discussed at length during the consultation process and every effort was made to ensure that they were appropriate for the Namibian context. The domains need to allow different geographical areas to be distinguished from one another; therefore it would be unhelpful to identify a deprivation which is experienced by most people in most areas as this would not enable the areas to be ranked relative to each other in terms of deprivation.

In the following sub-sections the domains and indicators which make up the NIMD 2001 are described.

# 3.2 Material Deprivation Domain

In any event, the 2001 Census did not have an income question and so an income poverty indicator, if included, would need to be modelled from a different data source such as the Namibian Household Income and Expenditure Survey

## Purpose of the domain

This domain measures the proportion of the population experiencing material deprivation in an area by reference to the percentage of the population who are deprived of access to basic material possessions.

# Background

In other indices that have followed this model (e.g. UK indices), an Income Deprivation Domain was created. However, there is an argument that such a domain is inappropriate within an Index of Multiple Deprivation, because - as explained above - deprivation can be regarded as the outcome of lack of income rather than the lack of income itself. To follow Townsend, within a multiple deprivation measure, only the deprivations resulting from a low income would be included so low income itself would not be a component, but lack of material possessions would be included. In any event, the 2001 Census did not have an income question and so an income poverty indicator, if included, would need to be modelled from a different data source such as the Namibian Household Income and Expenditure Survey. Such modelling work is being undertaken separately for the Central Bureau of Statistics (now Namibia Statistics Agency) by Lux Development and will provide a complementary small area measure of income poverty. For these reasons, a material deprivation domain was produced. A lack of access to basic material goods can be understood as a proxy for low income. The 2001 Census included questions about access to material goods (e.g. television, radio, newspaper, telephone and computer) which are internationally accepted and widely used as measures of variations in living standards.

Of the possible material goods that could be included as indicators, access to a television/radio and telephone/cell phone were selected as they represent important modes of communication and a means of accessing information crucial to one's life and livelihood. The quality of the services provided however, were not be taken into account.

## Indicators

- Number of people living in a household with no access to a television or a radio; or
- Number of people living in a household with no access to a telephone/cell phone.

#### **Combining the indicators**

A simple proportion of people living in households experiencing either one or both of the deprivations was calculated (i.e. the number of people living in a household with no access to a television/radio and/or with no access to a telephone/cell phone divided by the total population).

# **3.3 Employment Deprivation Domain**

# Purpose of the domain

This domain measures employment deprivation conceptualised as involuntary exclusion of the working age population from the world of work by reference to the percentage of the working age population who are unemployed.

## Background

The 2001 Census recorded employment status in line with the International Labour Organisation (ILO) 'labour force framework' and the 'priority rules' which give precedence to employment over

all other activities 'regardless of the amount of time devoted to it, which in extreme cases may be only one hour' (Hussmanns, 2007, p6). Therefore a person was considered to be employed if during the seven days prior to the Census night they worked for at least one hour for pay, profit or family gain. It follows that unemployment was defined as a situation of a total lack of work. The definition of unemployment adopted by the 13th International Conference of Labour Statistics (ICLS) stipulates three criteria which must be simultaneously met for a person to be considered unemployed. According to this official definition, the unemployed are those persons within the economically active population (aged 15-65 inclusive) who during the reference period (for the 2001 Census this is the seven days prior to Census night) were:

- Without work, i.e. in a situation of total lack of work; and
- Currently available for work, i.e. not a student or homemaker or otherwise unavailable for work; and
- 3. Seeking work, i.e. taking steps to seek employment or self-employment.

Using the 2001 Census however, it was not possible to measure whether unemployed people were available for work and seeking work. Though other indices have also included people of working age who cannot work because of illness or disability, as they are involuntarily excluded from the world of work and internationally are regarded as the 'hidden unemployed' (Beatty et al., 2000), the consultation group wanted to limit this domain to the economically active population and therefore disabled or long-term sick people were not included. The age band was modified to 15-59 inclusive to reflect a concept of working age relevant to Namibia.

#### Indicator

• Number of people aged 15-59 inclusive who are unemployed.

# **Combining the indicators**

The domain was calculated as those identified as unemployed and aged 15 to 59 inclusive divided by the number of people who are economically active in that age group.

# 3.4 Health Deprivation Domain

# Purpose of the domain

This domain identifies areas with relatively high rates of people who die prematurely. The domain measures premature mortality but not aspects of behaviour or environment that may be predictive of forthcoming health deprivation.

## Background

Although the consultation process raised the importance of measuring people's health status; and access to health facilities and healthcare, these issues could not be measured using the 2001 Census data. It was therefore not possible to include any measures of morbidity or access to health services. Instead a form of standardised mortality ratio known as Years of Potential Life Lost (YPLL) was used. An internationally recognised measure of poor health, the YPLL measure is the level of unexpected mortality weighted by the age of the individual who has died (for details about how this indicator was constructed see Blane and Drever, 1998). An area with a relatively high death rate in a young age group (including areas with high levels

of infant mortality) will therefore ceteris paribus, have a higher overall YPLL score than an area with

The YPLL measure is related to life expectancy in an area. Areas with low life expectancy will have YPLL scores

a similarly relatively high death rate for an older age group.

The YPLL indicator is a directly age and gender standardised measure of premature death (i.e. death under the age of 75). The YPLL measure is related to life expectancy in an area. Areas with low life expectancy will have high YPLL scores. Equally high levels of infant mortality and perinatal mortality as well as high levels of serious illness such as HIV/AIDS and tuberculosis will all contribute to reduced life expectancy in an area and therefore high YPLL scores. Thus, although the YPLL is a mortality measure, it does, implicitly, reflect the extent of serious ill-health in an area. And although it would have been possible to use infant mortality, under-five mortality, and life expectancy as indicators, YPLL in effect combines all these issues into a single indicator and is therefore a broader and more useful overview of health deprivation in an area.

## Indicator

Years of potential life lost

# 3.5 Education Deprivation Domain

## Purpose of the domain

This domain measures deprivation in educational attainment for people aged 15 to 59 inclusive.

#### Background

Elsewhere in the Southern Africa Development Community (SADC) region it has been shown that the level of educational attainment in the working age adult population is closely linked to an individual's employment status and future opportunities for those individuals and their dependants (Bhorat et al., 2004).

The 2001 Census includes a record of the level of education completed and a record of illiteracy. These two questions provide the best available measures of educational attainment and make up the indicators for this domain. The consultation process additionally raised the importance of affordable education and availability of tertiary education opportunities, but again, these could not be adequately captured using the 2001 Census.

#### Indicators

- Number of 15-59 year olds inclusive with no schooling completed at secondary level or above; or
- Number of 15-59 year olds inclusive who are illiterate.

## **Combining the indicators**

A simple proportion of the working age population (aged 15 to 59 years old inclusive) who had not completed schooling at secondary level or who are illiterate was calculated (i.e. the number of people with no schooling completed at secondary level or above or who are illiterate divided by the population aged 15 to 59 inclusive).

# 3.6 Living Environment Deprivation Domain

#### Purpose of the domain

This domain measures both inadequacy in housing conditions and a lack of basic services to the home.

#### Background

The 2001 Census questionnaire provides indicators on households' access to basic amenities. These aspects of the immediate environment in which people live impact on the quality of their life and provide good measures of deprivation in terms of access to services.

Measuring access to electricity as a basic amenity is a useful indicator of living environment deprivation. Three Census indicators were considered: main source of energy for cooking, lighting and heating. Although cost, availability and effectiveness are factors in the consumption of all energy supplies, it has been argued that in certain instances, the choice of fuel for cooking may be influenced by cultural preference rather than availability alone, whereas the use of electricity for lighting would generally be the preferred choice, if available, and therefore provides a more valid measure of deprivation in terms of access to energy for lighting (Bhorat et al., 2004). This was the measure used in the previous constituency level index. However, at datazone level, all individuals in a high proportion of datazones were found to lack electricity for lighting. These datazones would all be given the same overall score for this domain, and so it would not be possible to discriminate between datazones in terms of their level of deprivation.

For this reason the indicator was altered slightly to include paraffin alongside electricity (and solar power) as the measure of access to energy for lighting. The inclusion of paraffin however, does not imply any judgement about its suitability for lighting purposes, but is rather a means of enabling datazones to be properly ranked on this domain.

Access to clean drinking water and sanitation facilities is essential for the good health of the population and thus an important indicator to include in this domain. An indicator of no access to piped water within the home or within 200 metres of the home was included. The threshold of 200 metres was regarded by the consultation group as preferable to a threshold of 400 metres (the MDG measure). Though in the previous (constituency) index people without flush toilets or ventilated pit latrines were regarded as deprived, investigation of this indicator at datazone level revealed that again, a high proportion of datazones scored 100 percent. Therefore, as with the access to energy indicator, an additional criterion was added: long drop pit latrines were included alongside flush toilets and ventilated pit latrines. Again, the inclusion of long drop pit latrines does not imply adequacy, but is included simply as a means of discriminating between datazones.

The quality of housing construction provides an important indicator for the quality of dayto-day life and vulnerability to shocks such as adverse weather conditions (Bhorat et al., 2004; Programme of Action Chapter 2 World Summit for Social Development Copenhagen 1995). There was much discussion during the consultation process about traditional dwellings and their adequacy. Though the 2001 Census contains fairly precise information about materials used in the construction process, there is no way of identifying whether the resultant buildings were of a high quality or not. It was therefore agreed that only shacks could be reliably identified as constituting inadequate housing.

The crowding indicator is calculated by dividing the number of people in the household by the number of rooms excluding bathrooms, toilets, kitchens, stoops and verandas. Different versions of the crowding indicator were considered. It was felt that the most appropriate measure of crowding was to classify three or more people per room as a deprivation. Setting the capacity cut-off at two or more people per room was considered. However, it was felt that this lower capacity would capture too many non-deprived people, for example relatively well-off couples sharing a one room urban apartment.

## Indicators

- Number of people living in a household without the use of electricity, paraffin or solar power for lighting; or
- Number of people living in a household without access to a flush toilet or pit latrine (ventilated or long drop); or
- Number of people living in a household without piped water/borehole/borehole with covered tank (but not open tank)/ protected well inside their dwelling or yard or within 200 metres; or
- Number of people living in a household that is a shack; or
- Number of people living in a household with three or more people per room.

#### **Combining the indicators**

A simple proportion of people living in households experiencing one or more of the deprivations was calculated (i.e. the number of people living in a household without electricity, paraffin or solar power for lighting and/or without adequate toilet facilities and/or without adequate water provision and/or living in a shack and/or in overcrowded conditions divided by the total population).

# 3.7 Constructing the domain indices

In all domains apart from the Health Deprivation Domain, the overall score is a simple proportion of the relevant population, and so can be easily interpreted. As Censuses can be regarded as a sample from a super-population, it is important to consider and deal with large standard errors. A technique that takes standard errors into account but still enables one to then combine the domains into an overall index of multiple deprivation is called Bayesian shrinkage estimation. Specifically, the scores for datazones can be unreliable when the deprived population is small and so the shrinkage technique was applied to each of the domains. The 'shrunk' estimate is the weighted average of the original datazone level estimate and an appropriate larger spatial unit. The weight is based on the standard error of the original datazone estimate and the amount of variation within the constituency. For further details about this technique see Annex 2 of the 2001 NIMD National Report available at http://www.undp.org. na/publications.aspx and also Noble et al. (2006b).

# 3.8 Standardising and transforming the domain indices

Having obtained a set of domain indices, these needed to be combined into an overall Namibia Index of Multiple Deprivation and in order to combine domain indices which are each based on different metrics there needed to be some way to standardise the scores before any combination could take place. A form of standardisation and transformation is required that meets the following criteria. First it must ensure that each domain has a common distribution; second, it must not be scale dependent (i.e. conflate size with level of deprivation); third, it must have an appropriate degree of cancellation built into it; and fourth, it must facilitate the identification of the most deprived datazones. The exponential transformation of the ranks best meets these criteria and was applied in the NIMD 2001. For further details about this technique see Annex 3 of the 2001 NIMD National Report available at http://www.undp.org.na/publications.aspx and also Noble et al. (2006b).

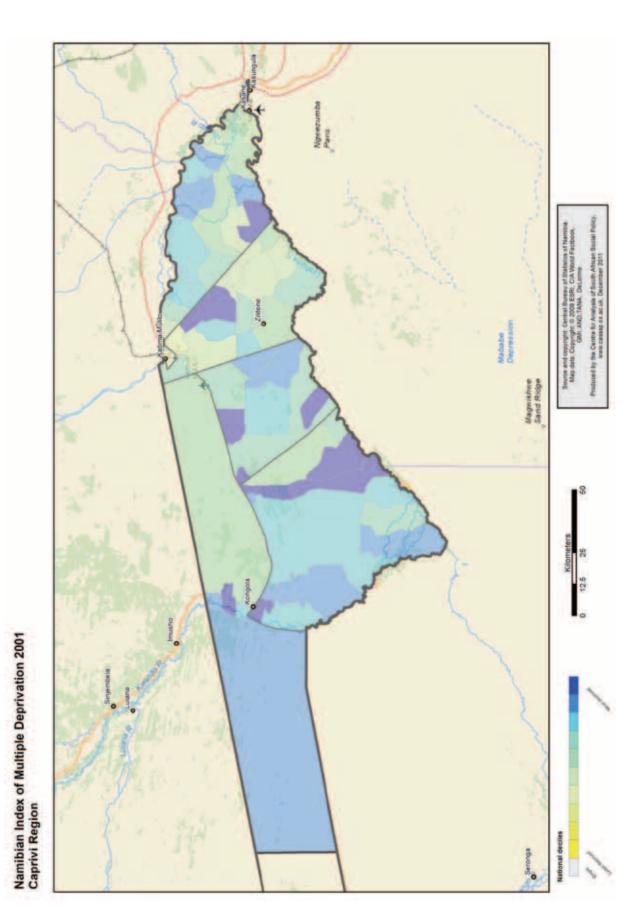
# 3.9 Weights for the domain indices when combining into an overall Index of Multiple Deprivation

Domains are conceived as independent dimensions of multiple deprivation, each with their own additive impact on multiple deprivation. The strength of this impact, though, may vary between domains depending on their relative importance. As a starting point, equal weights for the domains were recommended and this was supported by the consultation group. Each domain was therefore assigned a weight of 1. The NIMD was therefore constructed by adding the standardised and transformed domain indices with equal weights.

# SECTION 4: DATAZONE LEVEL NAMIBIAN INDEX OF MULTIPLE DEPRIVATION 2001: CAPRIVI REGION

# 4.1 Multiple Deprivation

In this section a profile of multiple deprivation in Caprivi region, at both constituency and datazone levels, is presented. Using the data from the NIMD it is possible to compare the 84 datazones and six constituencies within Caprivi region. Map 1 shows the datazones in Caprivi in relation to the overall NIMD (i.e. the five separate domains of deprivation combined together). The lightest shading relates to the least deprived datazones. Map 2 is a zoomin of Map 1, showing the datazones within the Katima Mulilo area (as these are small in physical size and therefore hard to distinguish on Map 1). These maps provide an easy to interpret picture of the pattern of multiple deprivation in the Caprivi region.



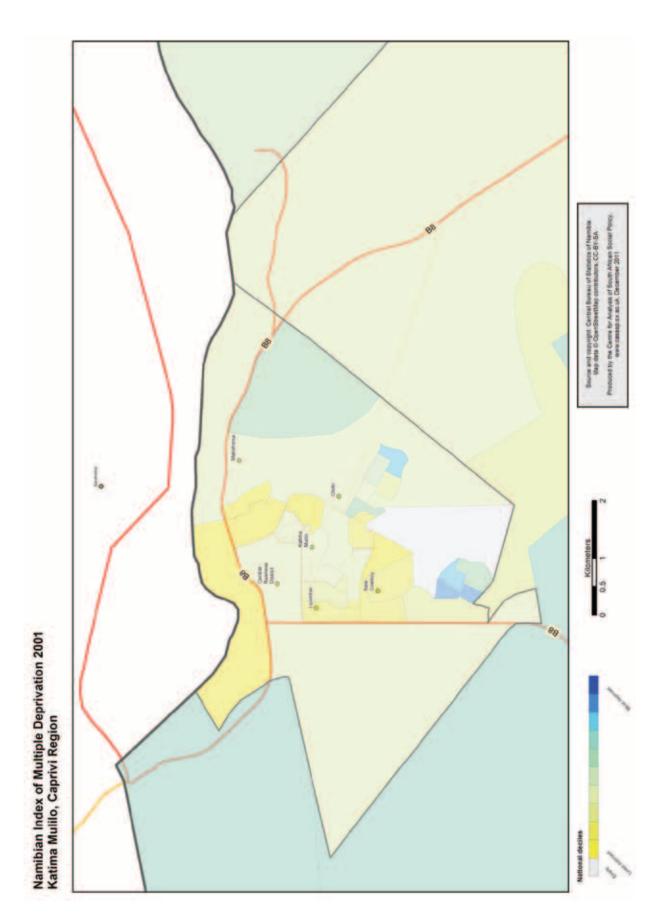
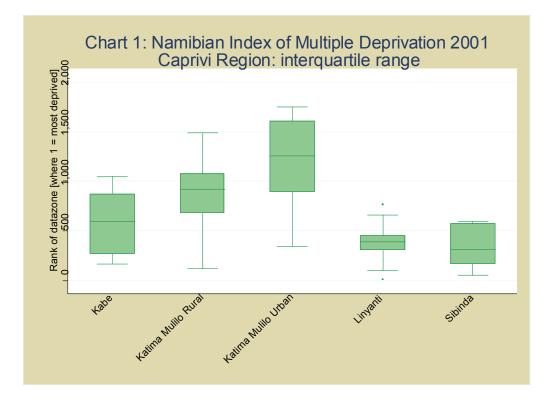


Table 1 below shows some of the data underlying these maps. The NIMD 2001 score, national rank (where 1=most deprived and 1,871=least deprived) and Caprivi rank (where 1=most deprived and 84=least deprived) for the 20 most deprived datazones in Caprivi are shown. Appendix 2 provides this information for all of the datazones in Caprivi. The most deprived datazone in Caprivi is in Linyanti constituency, and is therefore given a rank of 1 among the datazones in Caprivi. If ranked alongside all datazones in Namibia, it ranks as the 15<sup>th</sup> most deprived. Nine of the datazones within Caprivi are in the most deprived 10 percent of datazones in Namibia in terms of multiple deprivation (the cut-off for the 10 percent most deprived is a rank of 187). The least deprived datazone in Caprivi is located in the Katimo Mulilo Urban constituency and is ranked 1,744 in Namibia as a whole.

Datazone	Constituency	NIMD score	NIMD rank – national	NIMD rank - within Caprivi
74	Linyanti	340.5	15	1
80	Sibinda	302.5	56	2
61	Kongola	301.3	60	3
67	Linyanti	286.1	105	4
77	Sibinda	284.3	110	5
21	Katima Mulilo Rural	279.3	125	6
68	Linyanti	270.8	156	7
84	Sibinda	267.8	168	8
2	Kabe	267.1	174	9
83	Sibinda	263.4	191	10
3	Kabe	260.7	200	11
5	Kabe	255.5	229	12
85	Sibinda	254.5	237	13
60	Kongola	254.3	238	14
6	Kabe	251.1	259	15
12	Kabe	249.6	271	16
71	Linyanti	244.6	305	17
35	Katima Mulilo Urban	237.9	348	18
63	Linyanti	237.5	354	19
75	Linyanti	236.9	359	20

## Table 1: The 20 most deprived datazones in the Caprivi Region

The six constituencies in Caprivi vary in terms of the range of deprivation of their datazones. Chart 1 shows the minimum, maximum and median rank of datazones in each constituency, and the interquartile range for the overall NIMD. This is based on the *national* ranks (i.e. where the most deprived datazone in Namibia is ranked 1, and the least deprived datazone is ranked 1,871). Kongola constituency is omitted from the chart because it comprises just four datazones, which is too few to calculate a meaningful interquartile range. Interpretating the Charts: For details on how to interpret the chart please see the 'How to interpret interquartile range charts' description in section 4.1 of the national report available at http://www.undp. org.na/publications.aspx



The vertical green line for each constituency shows the range of the ranks of the datazones in a constituency (including the dots which for some constituencies, like in the case of Linyanti, appear at either end of the line). The constituencies vary in the range of deprivation of their datazones. Linyanti and Sibinda have a smaller range of deprivation than the other constituencies.

The green box for each constituency shows the range of the NIMD ranks of the middle 50 percent of datazones in the constituency (the interquartile range). The horizontal line within the box for each constituency represents the rank of the median datazone within that constituency. The median rank in Linyanti and Sibinda is lower (more deprived) than in the other constituencies. If the box is relatively short, like in the case of Linyanti constituency, this indicates that datazones are ranked in a narrow range, with similar NIMD ranks (and therefore similar levels of multiple deprivation). If this box sits towards the bottom of the chart it tells us that datazones in the constituency are concentrated in the most deprived part of the national distribution of the NIMD or domain. If the box sits towards the top of the chart it tells us that the datazones in the constituency are concentrated in the least deprived part of the national distribution. Datazones in Linvanti and Sibinda are concentrated at the most deprived end of the Caprivi distribution, and to a lesser extent the same is true for Kabe. Conversely, datazones in Katima Mulilo Urban are concentrated in the least deprived end of the scale.

Further analysis shows that the datazones in the most deprived 10 percent of datazones *within Caprivi* on the overall NIMD are located in four of the six constituencies. These four constituencies and the number of datazones that are in the most deprived 10 percent of datazones within Caprivi are as follows: Katima Mulilo Rural (1 of 16), Kongola (1 of 4), Linyanti (3 of 14) and Sibinda (3 of 10).

# 4.2 Domains of deprivation

Although it is not possible to calculate multiple deprivation rates as such, each of the individual domains of deprivation can be presented at constituency level, and for all domains, except health doamin, the domain scores can be compared. Table 2 provides the domain scores for each constituency in Caprivi, excluding health as the health score is not calculated as a rate. The other four domains are in the form of simple deprivation rates. So for example, 93.4 percent of the population in Kongola constituency experienced material deprivation in 2001. The within Caprivi ranks are shown as well as the domain scores, for each constituency in Caprivi (where 1=most deprived). In terms of material deprivation, the most deprived constituencies in Caprivi are Kongola, Sibinda and Linyanti, with 93percent, 93percent and 91percent of the total population, respectively, experiencing material deprivation respectively.

The constituency with the highest level of employment deprivation is Katima Mulilo Urban (with 30 percent of the working age population being employment deprived) followed by Kabe (19 percent). The remaining constituencies have relatively low levels of employment deprivation. Kongola is the least deprived in terms of employment (with just 2 percent of the working age population experiencing employment deprivation).

The most deprived constituency in terms of education is Kongola (with 76 percent of the relevant population being education deprived), followed by Sibinda, Kabe and Linyanti (each with more than 70 percent of the relevant population being education deprived). In all of the constituencies, with the exception of Katima Mulilo Urban, a very high (over 98 percent) proportion of the total population are deprived in terms of living environment. And although Katima Mulilo Urban is the least deprived constituency in Caprivi in terms of material, education and living environment deprivation, it experiences the highest levels of employment deprivation.

The domain scores and ranks for each of the datazones in Caprivi are presented in Appendix 2. As in Table 2, four of the five domains are expressed as rates. Health deprivation is expressed as the years of potential life lost. A datazone with a relatively high death rate in a young age group (including areas with high levels of infant mortality) will have a higher score than an area

with a similarly relatively high death rate for an older age group, all else being equal. The measure

is related to life expectancy in an area, so datazones with low life expectancy will have high scores on this domain. Table 2: Domain scores and ranks for each constituency in the Caprivi Region

Constituency	Material deprivation rate (%)	Material deprivation rank (within Caprivi)	Employment deprivation rate (%)	Employment deprivation rank (within Caprivi)	Education deprivation rate (%)	Education deprivation rank (within Caprivi)	Living environment deprivation rate (%)	Living environment deprivation rank (within Caprivi)
Kabe	83.2	4	19.2	2	71.1	3	99.4	3
Katima Mulilo Rural	78.5	5	9.3	S	68.0	C	98.2	Ω
Katima Mulilo Urban	40.3	9	30.1	1	46.9	9	66.5	9
Kongola	93.4	1	1.6	9	75.7	1	98.6	4
Linyanti	90.9	3	10.2	4	70.8	4	99.9	1
Sibinda	93.3	2	15.8	3	71.8	2	99.9	2

Table 3 shows the percentage of each constituency's datazones that are in the most deprived 10 percent of datazones *nationally* for each domain. None of the datazones in Caprivi fall within the most deprived 10 percent of datazones nationally in terms of employment deprivation. However, each of the six constituencies in Caprivi has datazones which feature amongst the most deprived 10 percent of

datazones on at least one of the domains. Kabe, Kongola and Linyanti have datazones in the most deprived 10 percent for four of the five domains. In terms of living environment deprivation over 80 percent of the datazones within Linyanti and Sibinda fall within the 10 percent most deprived datazones nationally.

Table 3: Percentage o	f datazones in n	nost deprived 10	percent of datazon	es in Namibia
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Constituency	Number of datazones	Material deprivation	Employment deprivation	Health deprivation	Education deprivation	Living env. deprivation
Kabe	17	17.6	0.0	5.9	5.9	23.5
Katima Mulilo Rural	16	6.3	0.0	31.3	6.3	0.0
Katima Mulilo Urban	23	0.0	0.0	34.8	4.3	0.0
Kongola	4	50.0	0.0	50.0	25.0	25.0
Linyanti	14	42.9	0.0	7.1	14.3	85.7
Sibinda	10	0.0	0.0	20.0	0.0	80.0

Table 4 shows the percentage of each constituency's datazones that are in the most deprived 10 percent of datazones *within Caprivi* for each domain. All of the constituencies have datazones in the most deprived 10 percent on at least two domains. Kabe and Linyanti have datazones in the most deprived 10 percent on four of the domains, whereas

Sibinda has datazones in the most deprived 10 percent for just two domains. All of the 10 percent most deprived datazones in Caprivi in terms of living environment deprivation are in Linyanti (57 percent of Linyanti's datazones are in the most deprived 10 percent).

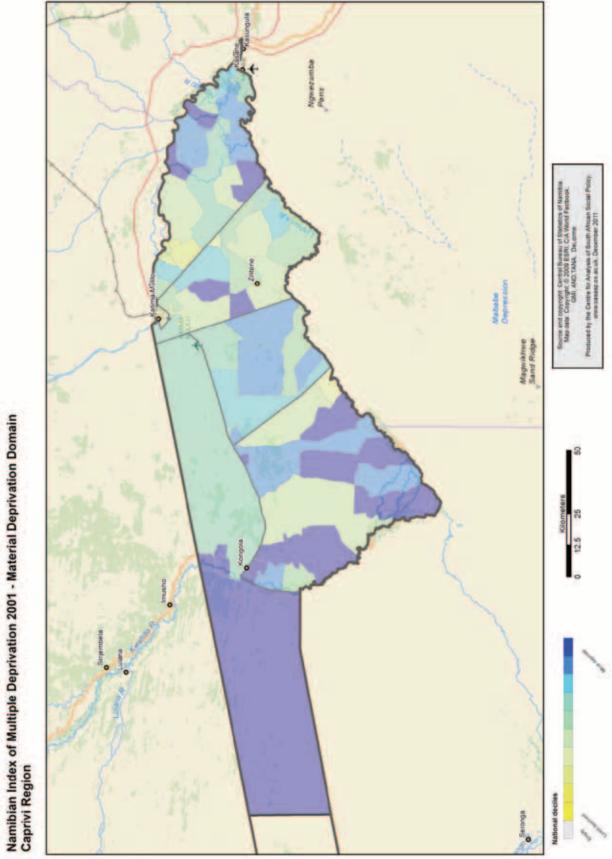
Constituency	Number of datazones	Material deprivation	Employment deprivation	Health deprivation	Education deprivation	Living env. deprivation
Kabe	17	17.6	5.9	5.9	5.9	0.0
Katima Mulilo Rural	16	6.3	0.0	18.8	6.3	0.0
Katima Mulilo Urban	23	0.0	21.7	13.0	4.3	0.0
Kongola	4	25.0	0.0	25.0	50.0	0.0
Linyanti	14	21.4	7.1	0.0	14.3	57.1
Sibinda	10	0.0	10.0	0.0	10.0	0.0

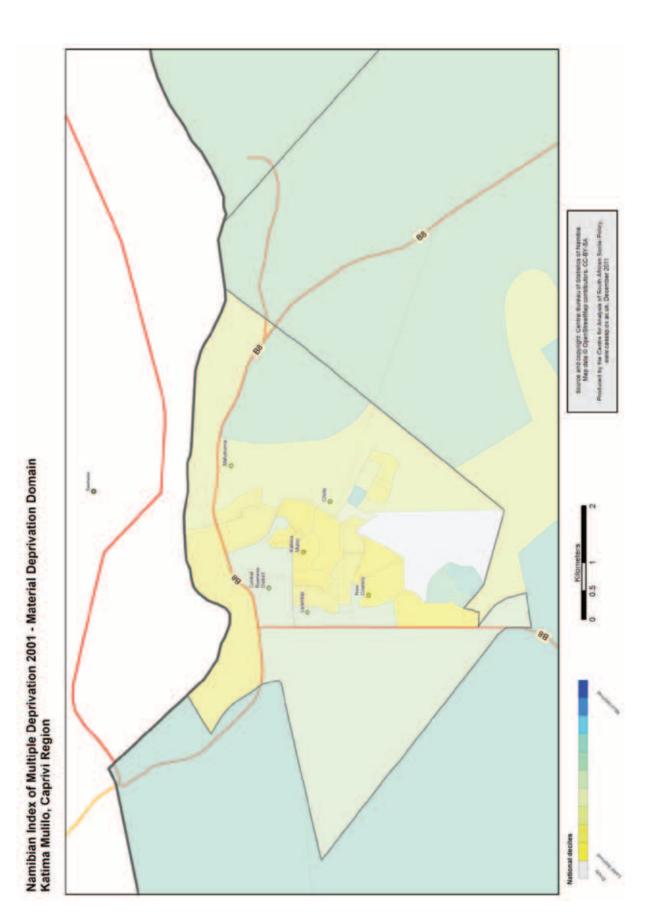
Table 4: Percentage of datazones in most deprived 10 percent of datazones in the Caprivi Region

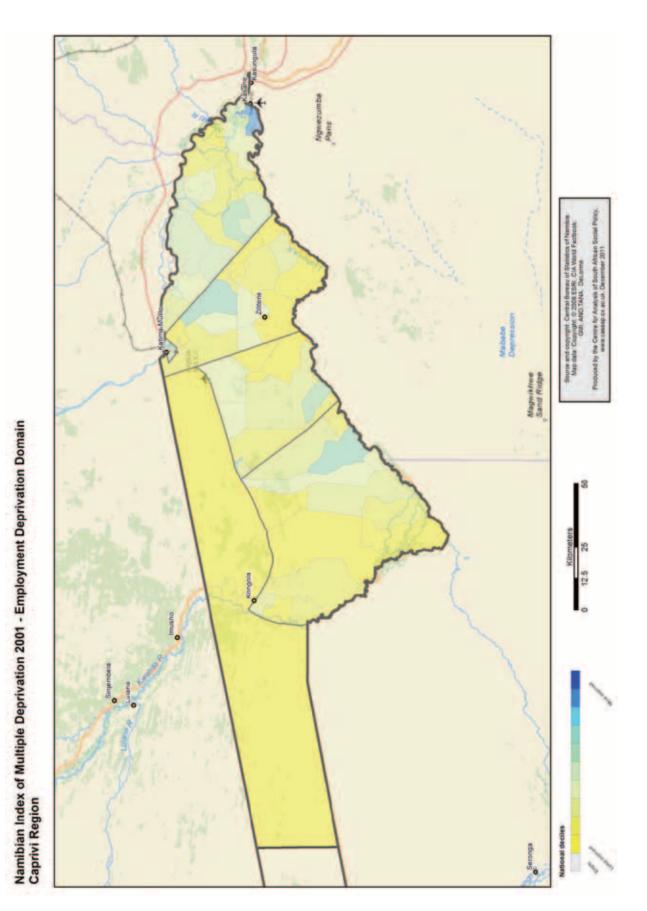
The following maps present each of the five domains at datazone level for Caprivi and for the Katima Mulilo area. As with Maps 1 and 2, the lightest shading relates to the least deprived datazones. It is intended that these maps should provide accessible profiles of the domains of deprivation in the Caprivi Region.

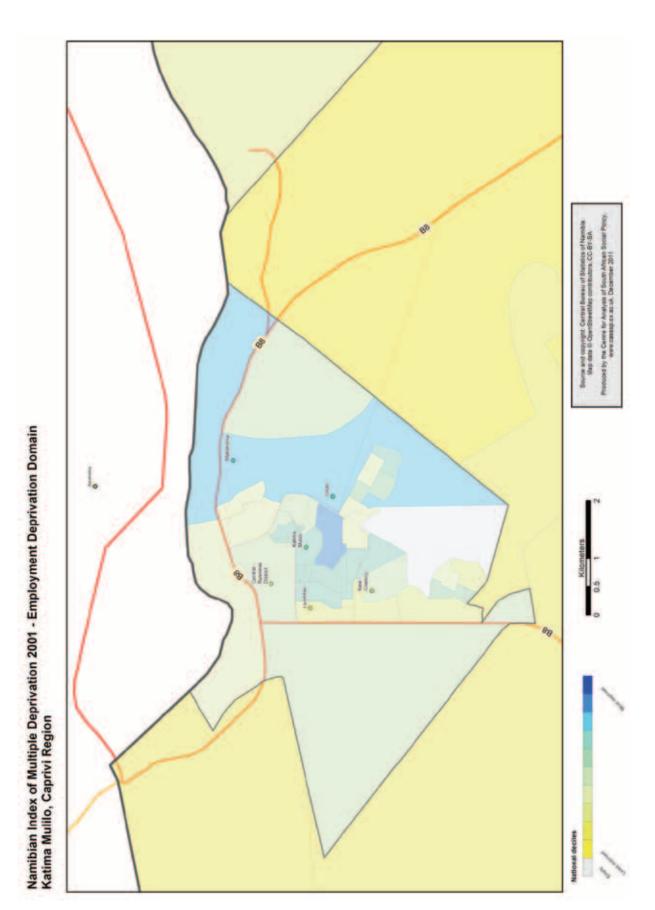
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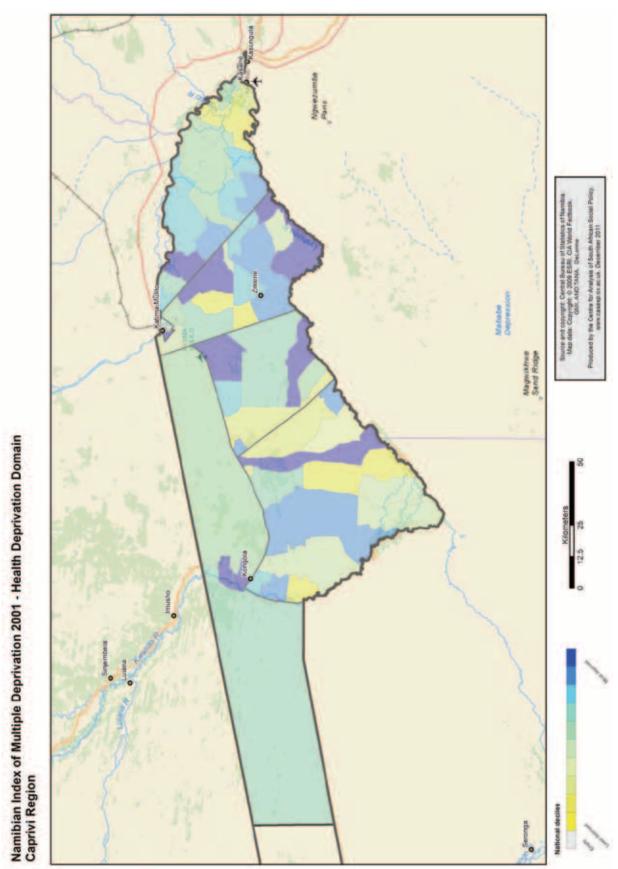
Some datazones do not have a score for the overall NIMD or separate domains and are therefore shaded in grey. Using Google Earth Historical Imagery it was possible to investigate these datazones and confirm that they did not have anyone living in them in 2001

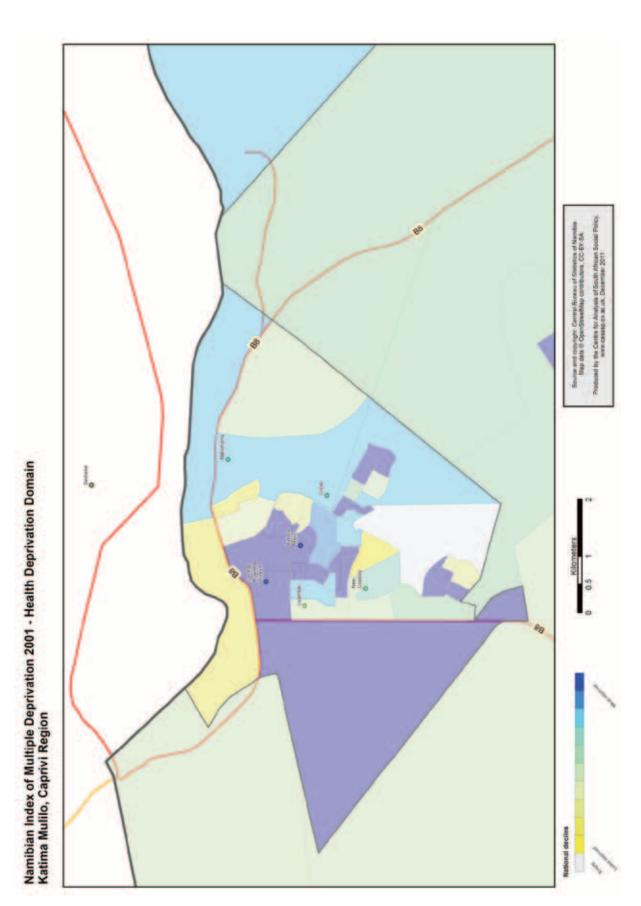


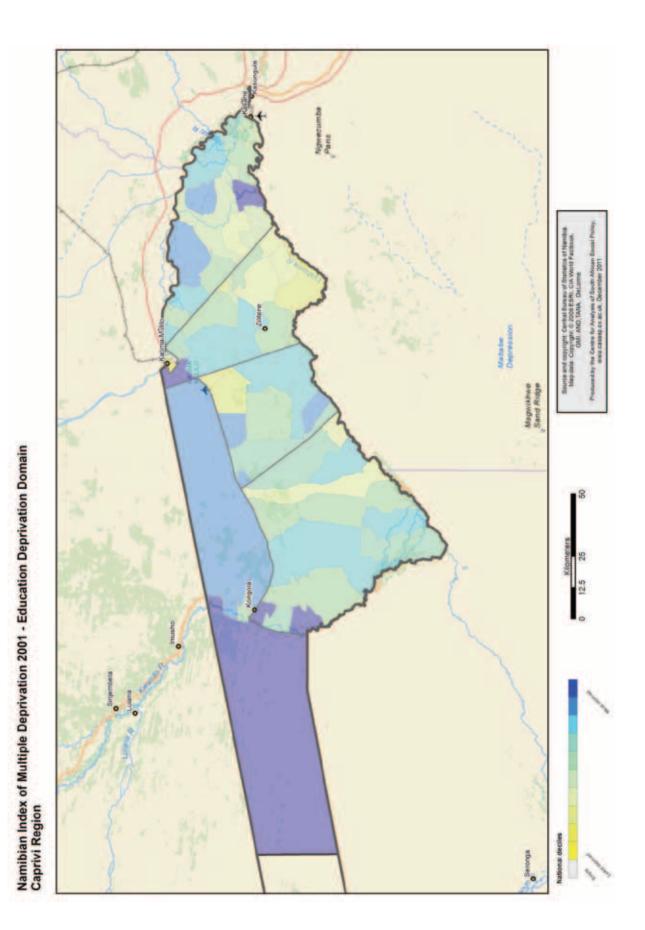


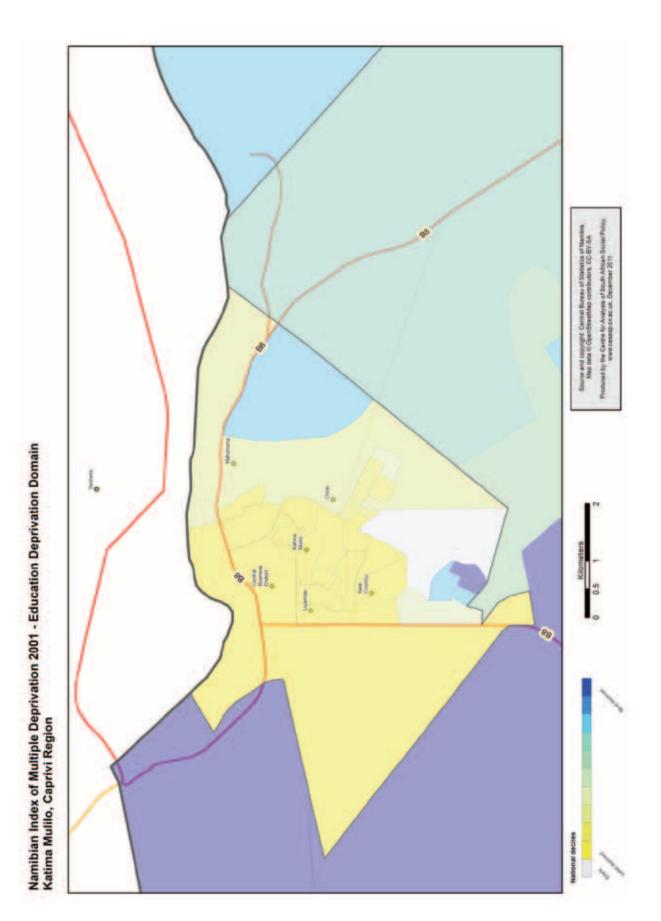


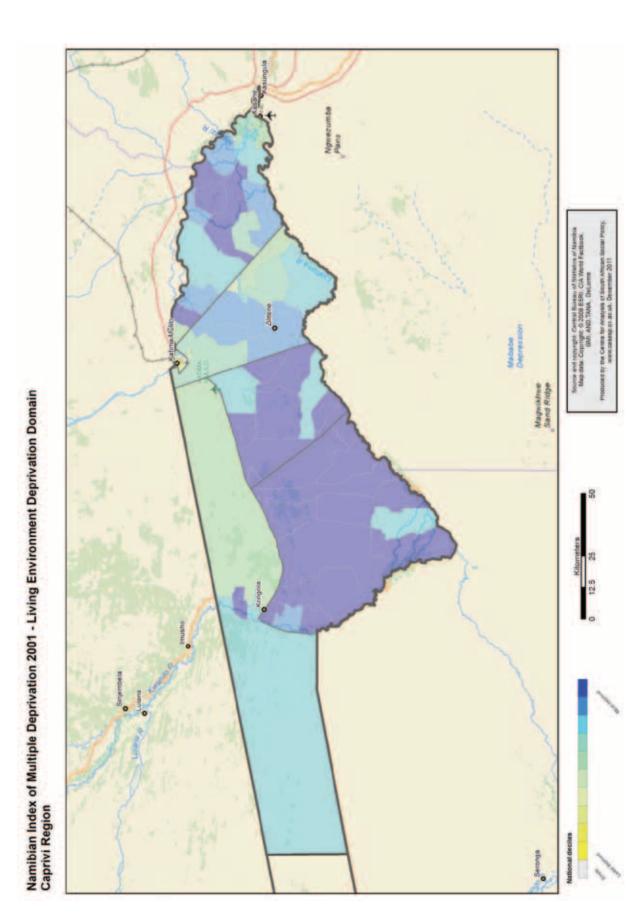


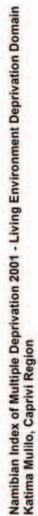


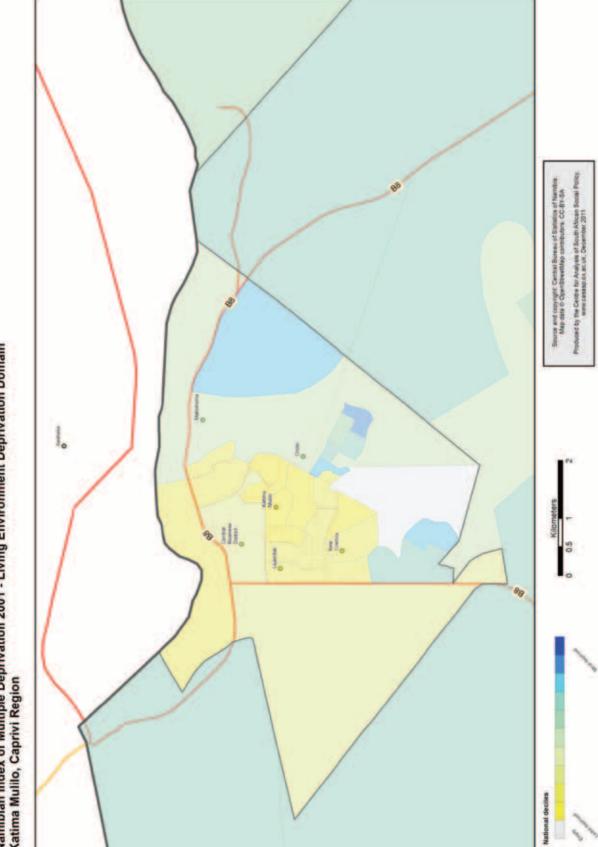












## SECTION 5: CONCLUSIONS AND SOME POLICY RECOMMENDATIONS

The analysis presented in this report has identified particular areas - both datazones and constituencies - where deprivation is high relative to other areas in Caprivi region. This analysis can support pro-poor policy formulation processes and programmatic interventions in many ways. By providing reliable and objective information on, and profiling the distribution of, multiple deprivation and the distribution of the individual domains of deprivation across the region, the analysis presented in this report can provide planners; policy and decision makers at the regional level with the evidence base on which to plan and make decisions regarding resource allocation and the geographic areas (constituencies and datazones) and sectors in which to prioritise public investments, government support and service delivery. Specifically, the analysis can be useful in the following ways:

Temporal analysis of nature, scope and effects of poverty reduction programmes: By describing the geographical distribution and extent of individual dimensions of deprivation and overall multiple deprivation at constituency and datazone levels, this report provides a baseline map of deprivation against which progress in poverty reduction in these areas can be measured over time, that is between successive censuses (2001 and 2011 censusses). The NIMD is based on data relating to 2001 time- line and significant changes may have taken place since then. It will thus be necessary to conduct further analyses using the 2011 Census data and information in order to shed light on the extent to which changes have occurred in the region and possible reasons for any noted changes. Interrogating the causes of inequality: The report could be used by the regional authorities to initiate the process of interrogating the causal factors of such wide inter- and intra-constituency (datazone level) variations with respect to specific domains

There are many ways on which the NIMD profiles presented in this report can support pro-poor policy formulation processes and pragrammatic interventions. By providing reliable and objective information on, and profiling the distribution of multiple deprivation and the individual domains of deprivation across the country 

and the overall combined and weighted index of deprivation.

Better planning and targeting of development resources: Regional Councils have two distinct sources of development revenue - transfers from central government and locally generated resources. The NIMD allows for better planning for and targeting of such resources on the basis of relative deprivation to the datazone level. Priorities can then be identified at the constituency and datazone levels that could be addressed through integrated development approaches. Importantly, funds could be targeted to and ringfenced for those sectors/domains in which specific constituencies and datazones are particularly deprived or to the most deprived constituencies and datazones within a constituency. It is also conceivable that constituencies and datazones characterised by severe multiple deprivation could be targeted for integrated development projects and programmes. The most deprived areas vary by domain, and not all areas show a uniform degree of deprivation across the domains. This should be taken into account when selecting a measure of deprivation to use as it is important to choose the most appropriate measure for the particular policy purpose.

It should be noted however, that the NIMD, as presented in this report, provides a profile of relative deprivation in Caprivi region and even the least deprived areas, such as Katima Mulilo Urban constituency, contain pockets of deprivation. They are simply less deprived than other areas with higher levels of deprivation such as Sibinda constituency. As such, spatially targeted policy initiatives should be regarded as a complement to, rather than a substitution for, mainstream pro-poor policies and strategies that the Regional Council and National Government are already implementing in Caprivi region.

# ANNEX 1: INDICATORS INCLUDED IN THE NIMD 2001

#### **Material Deprivation Domain**

Numerator

- Number of people living in a household with no access to a television or a radio; or
- Number of people living in a household with no access to a telephone/cell phone
- Denominator

Total population

#### **Employment Deprivation Domain**

Numerator

• Number of people aged 15-59 who are unemployed

Denominator

Total economically active population aged 15-59 inclusive

#### **Health Deprivation Domain**

Numerator

• Years of potential life lost

#### **Education Deprivation Domain**

Numerator

- Number of 15-59 year olds (inclusive) with no schooling completed at secondary level or above; or
- Number of 15-59 year olds (inclusive) who are illiterate
- Denominator

Population aged 15-59 (inclusive)

#### Living Environment Deprivation Domain

Numerator

- Number of people living in a household without the use of electricity, paraffin or solar power for lighting; or
- Number of people living in a household without access to a flush toilet or pit latrine (ventilated or long drop); or
- Number of people living in a household without piped water/borehole/borehole with covered tank (but not open tank)/protected well inside their dwelling or yard or within 200 metres; or
- Number of people living in a household that is a shack; or
- Number of people living in a household with three or more people per room

#### Denominator

Total population

# **ANNEX 2: THE SHRINKAGE TECHNIQUE**<sup>+</sup>

This table presents the scores and ranks for every datazone in Caprivi for the five domains and the overall NIMD. For all domains except health the score is calculated as a rate. So for example, 63.5% of the population in datazone 1 in Kabe constituency experienced material deprivation in 2001. Health is expressed as the years of potential life lost (a measure of premature mortality) in that datazone, and a higher score indicates greater health deprivation. The within Caprivi ranks are shown for each datazone (where 1=most deprived).

Datazone	Constituency	Material deprivation score	Material deprivation rank	Employment deprivation score	Employment deprivation rank	Health deprivation score	Health deprivation rank	Education deprivation score	Education deprivation rank	Living environment deprivation score	Living environment deprivation rank	NIMD score	NIMD rank
1	Kabe	63.5	61	35.1	14	862.8	33	63.4	61	98.8	53	166.6	56
2	Kabe	99.3	8	26.2	22	1197.0	21	65.8	55	99.9	27	267.1	9
3	Kabe	96.2	25	6.1	65	815.2	37	83.0	2	99.8	32	260.7	11
4	Kabe	95.4	27	19.0	38	560.2	51	70.4	36	98.1	60	179.5	51
5	Kabe	99.8	3	30.4	20	916.8	29	66.1	53	99.8	33	255.5	12
6	Kabe	97.0	20	23.9	25	558.4	52	75.2	12	99.9	21	251.1	15
7	Kabe	89.0	36	6.3	63	469.1	58	65.0	56	99.9	20	175.9	53
8	Kabe	97.8	17	22.8	29	161.6	77	68.7	41	99.7	38	180.6	48
6	Kabe	86.1	42	55.9	1	187.3	75	65.8	54	97.3	64	162.9	59
10	Kabe	81.7	47	1.6	79	148.5	78	73.5	17	99.8	31	154.1	63
11	Kabe	97.0	21	7.0	59	493.1	57	73.5	18	99.9	25	220.2	30
12	Kabe	99.8	2	8.4	58	516.3	56	74.2	16	99.9	26	249.6	16
13	Kabe	94.6	29	11.9	50	619.8	46	67.0	49	99.9	24	200.3	40
14	Kabe	32.9	71	21.2	32	1571.0	8	72.4	26	99.9	30	221.3	28
15	Kabe	65.6	60	24.7	24	845.1	34	76.7	10	99.7	39	215.4	33
16	Kabe	73.2	55	13.3	47	766.3	39	72.2	27	97.2	65	163.1	58
17	Kabe	84.7	43	25.4	23	1216.2	20	68.8	40	99.0	52	208.4	38
18	Katima Mulilo R.	77.0	52	3.4	73	542.5	53	70.4	37	98.0	61	141.4	70
19	Katima Mulilo R.	79.2	51	22.5	30	788.3	38	72.9	22	99.9	29	210.1	36
20	Katima Mulilo R.	57.5	66	5.6	66	2110.8	5	59.4	68	91.6	72	150.1	64
21	Katima Mulilo R.	95.5	26	38.6	6	1758.6	9	68.5	44	99.9	28	279.3	9
22	Katima Mulilo R.	88.6	37	6.3	62	444.6	62	66.3	52	99.4	47	145.9	66
23	Katima Mulilo R.	63.0	62	11.0	54	964.9	27	64.1	59	98.7	54	150.1	65
24	Katima Mulilo R.	71.7	56	11.4	52	661.1	44	72.6	24	98.6	57	163.8	57
25	Katima Mulilo R.	81.3	48	4.9	70	2277.3	4	59.6	67	99.7	41	197.0	43
26	Katima Mulilo R.	95.3	28	15.6	42	397.4	67	64.8	57	99.7	43	161.3	60
27	Katima Mulilo R.	90.3	34	5.5	68	1539.0	11	64.2	58	96.7	99	180.5	49
28	Katima Mulilo R.	82.2	46	5.0	69	883.7	32	68.6	42	97.4	63	158.3	62
29	Katima Mulilo R.	9.66	4	20.2	34	138.8	79	72.7	23	99.8	34	217.0	32
30	Katima Mulilo R.	70.5	57	4.4	71	947.8	28	68.2	46	99.8	36	174.9	54
31	Katima Mulilo R.	90.5	33	3.1	74	1280.5	16	71.7	29	96.5	68	196.9	44

32	Katima Mulilo R.	87.7	39	6.2	64	411.2	65	81.0	5	98.4	59	190.5	45
33	Katima Mulilo R.	34.5	70	6.5	60	462.7	59	68.1	47	94.5	70	97.3	77
35	Katima Mulilo U.	80.5	49	32.0	16	1424.0	12	74.2	15	98.6	56	237.9	18
36	Katima Mulilo U.	76.7	53	20.1	36	1297.6	14	72.5	25	99.3	49	218.9	31
37	Katima Mulilo U.	61.7	63	12.8	48	462.2	60	38.8	75	38.4	79	64.9	80
38	Katima Mulilo U.	84.5	44	11.8	51	254.2	72	80.2	9	99.5	44	189.9	46
39	Katima Mulilo U.	27.9	75	22.9	28	126.1	80	37.3	76	43.9	77	40.4	84
40	Katima Mulilo U.	22.9	78	23.0	27	351.6	69	26.9	83	38.6	78	50.0	81
41	Katima Mulilo U.	60.9	64	31.3	18	1555.1	10	44.5	73	62.6	73	144.6	67
42	Katima Mulilo U.	5.6	82	41.9	9	1269.4	18	31.4	80	32.4	81	123.7	73
43	Katima Mulilo U.	24.0	77	37.8	11	843.8	35	39.2	74	44.7	76	101.9	76
44	Katima Mulilo U.	14.6	81	31.1	19	257.8	71	34.5	77	26.0	83	49.0	82
45	Katima Mulilo U.	1.5	84	53.9	2	1166.7	22	27.2	82	11.3	84	129.5	71
46	Katima Mulilo U.	31.9	73	38.0	10	1275.2	17	31.2	81	49.5	75	128.6	72
47	Katima Mulilo U.	52.7	67	18.7	39	1613.5	7	53.0	72	99.4	46	174.7	55
48	Katima Mulilo U.	86.1	41	34.1	15	532.4	55	56.1	70	6'26	62	142.4	69
49	Katima Mulilo U.	45.3	68	47.0	3	755.0	40	61.7	65	93.8	71	144.0	68
50	Katima Mulilo U.	32.5	72	37.0	12	398.3	66	57.3	69	98.6	58	114.7	74
51	Katima Mulilo U.	37.9	69	19.2	37	2372.7	3	55.6	71	96.6	67	160.6	61
52	Katima Mulilo U.	25.1	76	42.2	2	3653.6	1	63.4	62	99.8	37	220.7	29
53	Katima Mulilo U.	83.6	45	26.7	21	423.4	63	71.6	31	99.5	45	177.0	52
54	Katima Mulilo U.	15.0	79	16.9	40	538.9	54	63.7	60	98.7	55	108.6	75
55	Katima Mulilo U.	3.9	83	38.9	ω	0.0	83	16.7	84	28.7	82	42.4	83
56	Katima Mulilo U.	31.7	74	20.2	35	900.0	30	33.4	78	53.2	74	88.5	78
57	Katima Mulilo U.	14.7	80	31.3	17	605.2	47	32.4	79	36.7	80	72.2	79
58	Kongola	89.7	35	1.7	78	582.0	49	78.4	7	96.5	69	179.6	50
59	Kongola	88.4	38	2.8	76	2867.5	2	68.6	43	99.2	50	222.3	27
60	Kongola	99.0	10	1.4	80	629.4	45	82.1	4	99.3	48	254.3	14
61	Kongola	99.5	IJ	1.0	83	1365.1	13	74.8	13	9.99	23	301.3	3
62	Linyanti	96.9	22	1.8	77	680.0	42	6.9	50	9.99	2	229.5	24
63	Linyanti	99.9	1	0.8	84	245.4	73	6.9	51	9.99	4	237.5	19
64	Linyanti	67.6	59	15.1	43	185.8	76	71.4	33	99.9	3	187.3	47

65	Linyanti	58.0	65	10.4	55	988.7	26	62.3	64	99.9	12	199.0	42
99	Linyanti	98.1	14	5.6	67	1013.6	25	70.5	35	99.8	35	233.6	21
67	Linyanti	98.9	12	42.6	4	311.5	70	72.9	21	99.9	9	286.1	4
68	Linyanti	97.3	19	21.9	31	1260.7	19	62.9	63	99.9	1	270.8	7
69	Linyanti	98.9	11	16.3	41	114.2	81	67.4	48	99.9	8	224.6	26
70	Linyanti	97.8	18	6.5	61	419.8	64	73.3	19	99.7	42	199.8	41
71	Linyanti	99.3	7	1.4	81	457.1	61	69.1	38	99.9	10	244.6	17
72	Linyanti	69.7	58	1.2	82	1037.7	24	71.6	30	99.9	7	232.8	22
73	Linyanti	76.5	54	8.4	57	113.5	82	82.2	3	99.9	S	226.1	25
74	Linyanti	99.5	9	13.6	45	892.8	31	83.3	1	99.9	9	340.5	1
75	Linyanti	99.2	6	9.1	56	387.6	68	68.9	39	99.9	17	236.9	20
76	Sibinda	90.9	32	20.4	33	1568.9	6	60.5	99	99.7	40	210.3	34
77	Sibinda	93.0	31	23.7	26	823.3	36	78.1	8	99.9	14	284.3	IJ
78	Sibinda	93.2	30	11.3	53	242.6	74	70.6	34	9.99	11	207.7	39
79	Sibinda	87.6	40	41.2	7	0.0	83	72.0	28	99.9	19	210.2	35
80	Sibinda	97.9	16	13.7	44	1146.0	23	75.8	11	99.9	15	302.5	2
81	Sibinda	79.3	50	13.4	46	1286.6	15	71.6	32	99.0	51	208.9	37
82	Sibinda	96.8	23	12.4	49	602.1	48	68.4	45	99.9	13	232.7	23
83	Sibinda	98.4	13	4.2	72	737.7	41	74.5	14	99.9	18	263.4	10
84	Sibinda	96.3	24	36.8	13	568.5	50	77.0	6	99.9	22	267.8	8
85	Sibinda	98.0	15	3.0	75	671.4	43	73.0	20	9.99	16	254.5	13

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