**Annex I: Audit and advisory reports issued in 2023**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Audit Type** | **Report No.** | **Audit Subjects** | **No. of Recommendations** | | **Report Rating** |
| **Total** | **High Priority** |
| **Headquarters** | | | | | | |
| 1 | Performance audits | 2664 | UNDP Second Line of Defense | 6 |  | Satisfactory/SI |
| 2 | 2583 | Audit of the Regional Bureau for Asia and the Pacific | 1 |  | Fully Satisfactory |
| 3 | 2610 | Office of the Ombudsman | 4 |  | Satisfactory/SI |
| 4 | 2584 | Audit of Resource Mobilization and Coordination practices | 4 | 1 | Satisfactory/SI |
| 5 | 2580 | Audit of the Crisis Bureau | 3 |  | Fully Satisfactory |
| 6 | 2585 | Audit of GEF Programme Management | 5 | 2 | Satisfactory/SI |
| 7 | Headquarter audits | 2582 | Audit of Office of Financial Management | 1 |  | Fully Satisfactory |
| 8 | 2608 | UNCDF Audit | 10 | 4 | Partially Satisfactory/MI |
| 9 | Consolidated NGO/NIM reports | 2698 | Review of NGO/NIM Audit Reports of GEF Projects for FY2022 |  |  | N/A |
| 10 | 2718 | Report on the Audits of UNDP Projects Implemented by NGOs, NIs, and GF-SRs |  |  | N/A |
| **Country office audits** | | | | | | |
| 11 | Africa | 2633 | UNDP Gambia | 5 | 2 | Satisfactory/SI |
| 12 | 2596 | UNDP South Sudan | 1 |  | Satisfactory/SI |
| 13 | 2630 | UNDP Comoros | 7 | 3 | Partially Satisfactory/MI |
| 14 | 2593 | UNDP Rwanda | 1 | 1 | Fully Satisfactory |
| 15 | 2595 | UNDP Eswatini | 2 | 2 | Satisfactory/SI |
| 16 | 2627 | UNDP Chad | 6 | 1 | Partially Satisfactory/MI |
| 17 | 2588 | UNDP Sao Tome and Principe | 3 |  | Satisfactory/SI |
| 18 | 2626 | UNDP Burkina Faso | 5 |  | Partially Satisfactory/MI |
| 19 | 2507 | UNDP Cote d'Ivoire | 7 | 4 | Partially Satisfactory/MI |
| 20 | 2447 | UNDP Zimbabwe | 1 | 1 | Satisfactory/SI |
| 21 | Asia and the Pacific | 2663 | UNDP Bhutan | 3 | 1 | Satisfactory/SI |
| 22 | 2640 | UNDP Philippines | 4 | 1 | Satisfactory/SI |
| 23 | 2625 | UNDP Papua New Guinea | 5 | 1 | Satisfactory/SI |
| 24 | 2606 | UNDP Maldives | 4 | 1 | Satisfactory/SI |
| 25 | 2592 | UNDP Democratic People's Republic of Korea |  |  | Fully Satisfactory |
| 26 | 2569 | UNDP Afghanistan | 5 | 4 | Partially Satisfactory/MI |
| 27 | Arab States | 2650 | UNDP PAPP | 5 | 1 | Satisfactory/SI |
| 28 | 2614 | UNDP Iraq | 7 | 4 | Partially Satisfactory/MI |
| 29 | 2453 | UNDP Libya | 7 | 2 | Partially Satisfactory/MI |
| 30 | 2457 | UNDP Saudi Arabia | 6 | 1 | Partially Satisfactory/MI |
| 31 | Europe and the CIS | 2639 | UNDP Kazakhstan | 9 | 5 | Unsatisfactory |
| 32 | 2637 | UNDP Georgia | 3 |  | Fully Satisfactory |
| 33 | 2456 | UNDP BIH | 1 | 1 | Fully Satisfactory |
| 34 | Latin America and the Caribbean | 2602 | UNDP Colombia | 6 |  | Satisfactory/SI |
| 35 | 2601 | UNDP Guatemala | 7 |  | Satisfactory/SI |
| 36 | 2600 | UNDP El Salvador | 6 | 3 | Partially Satisfactory/MI |
| 37 | 2599 | UNDP Dominican Republic | 5 |  | Satisfactory/SI |
| 38 | 2598 | UNDP Belize | 8 | 3 | Partially Satisfactory/MI |
| 39 | 2434 | UNDP Cuba | 3 |  | Fully Satisfactory |
| 40 | Follow-up audits | 2634 | UNDP Togo - Follow-up Audit |  |  | N/A |
| 41 | 2594 | UNDP Mozambique Follow Up |  |  | N/A |
| **Global Fund audits** | | | | | | |
| 42 | Headquarters | 2702 | Consolidated Report on the GF Sub-Recipient Audits FY2022 |  |  | N/A |
| 43 | 2617 | Consolidated Report on the Audits of PRs of Grants from Global Fund |  |  | N/A |
| 44 | 2605 | Consolidated Report on the Audits of Sub-Recipients of Grants from Global Fund |  |  | N/A |
| 45 | Latin America and the Caribbean | 2571 | UNDP Venezuela - Global Fund | 5 |  | Satisfactory/SI |
| 46 | 2312 | UNDP Cuba - Global Fund |  |  | Fully Satisfactory |
| 47 | Asia and the Pacific | 2573 | UNDP Afghanistan - Global Fund | 4 | 2 | Satisfactory/SI |
| 48 | Europe and the CIS | 2615 | UNDP Turkmenistan - Global Fund |  |  | Fully Satisfactory |
| 49 | Africa | 2597 | UNDP South Sudan Global Fund | 3 |  | Satisfactory/SI |
| 50 | 2635 | UNDP Djibouti - Global Fund | 1 |  | Fully Satisfactory |
| 51 | 2628 | UNDP Chad - Global Fund | 6 | 2 | Partially Satisfactory/MI |
| 52 | 2448 | UNDP Zimbabwe Global Fund | 5 | 2 | Partially Satisfactory/MI |
| **Regional Thematic audits** | | | | | | |
| 53 | Asia and the Pacific | 2695 | Regional Thematic Audit - UNDP RBAP Knowledge Management | 2 |  | Satisfactory/SI |
| 54 | 2607 | Thematic Regional Audit-Review of UNDP project results monitoring and oversight | 1 |  | Satisfactory/SI |
| 55 | Europe and the CIS | 2693 | Thematic Regional Audit-Knowledge management in RBEC | 3 |  | Satisfactory/SI |
| 56 | 2578 | Thematic Regional Audit-Review of UNDP project results monitoring and oversight | 2 |  | Satisfactory/SI |
| 57 | Arab States | 2604 | Thematic Regional Audit-Review of UNDP project results monitoring and oversight | 2 | 1 | Satisfactory/SI |
| 58 | Latin America and the Caribbean | 2579 | Thematic Regional Audit-Review of UNDP project results monitoring and oversight | 3 |  | Satisfactory/SI |
| 59 | Africa | 2612 | OAI/RAC-ESA - Thematic Regional Audit-Review of UNDP project results monitoring | 2 |  | Satisfactory/SI |
| 60 | Headquarter | 2586 | Consolidated Thematic Regional Audit-Review of project results monitoring |  |  | Satisfactory/SI |
| **DIM Projects audits** | | | | | | |
| 61 | Headquarters | 2701 | Consolidated Report FY 2022 DIM Project Audits |  |  | N/A |
| 62 | 2655 | Project No:00127222 - CSMT Engagement Facility | 5 |  | N/A |
| 63 | Africa | 2652 | UNDP Nigeria- 00123140 - Stabilization Facility-Nigeria | 2 | 1 | N/A |
| 64 | 2653 | UNDP Angola- 00106462 - PSS - Ministry of Health - INL | 3 | 1 | N/A |
| 65 | 2686 | UNDP Niger - Projet LGA Stabilisation Facility Pro (Project No.00131763) |  |  | N/A |
| 66 | 2687 | UNDP Congo (Dem.Republic of) - Programme de DÃ©velopmt loc (Project No.00142763) | 1 | 1 | N/A |
| 67 | 2688 | UNDP Central African Republic - PAF-COUR PENALE SPECIALE (Project No. 00125264) | 1 |  | N/A |
| 68 | 2656 | Project No:00086693 - Fostering Resilience to Shocks and Threats in Africa | 4 |  | N/A |
| 69 | 2654 | UNDP Zimbabwe- 00089434 - Zimbabwe Resilience Building Fund |  |  | N/A |
| 70 | Asia and the Pacific | 2661 | UNDP India - Improving Efficiency of Vaccination Systems (Proj # 00098752) |  |  | N/A |
| 71 | 2659 | UNDP Bangladesh - LoGIC (Proj # 00085984) | 3 | 2 | N/A |
| 72 | 2658 | UNDP Afghanistan - ABADEI in Northern and Southern Regions (Proj # 00141016) | 2 |  | N/A |
| 73 | 2662 | UNDP Indonesia - REDD+ Results Based Payment (Proj # 00129343) | 1 |  | N/A |
| 74 | 2660 | UNDP Fiji - Tuvalu Coastal Adaptation (Proj # 0010068) | 2 | 2 | N/A |
| 75 | 2657 | UNDP Afghanistan - ABADEI for local Social Economy Recovery (Proj # 00138844) | 6 | 2 | N/A |
| 76 | Arab States | 2666 | UNDP Iraq - Elections Capacity Development (project ID 131655 output ID 124641) |  |  | N/A |
| 77 | 2665 | UNDP Iraq - FFS (project ID 89459 output IDs 95684 and 120726) | 2 |  | N/A |
| 78 | 2668 | UNDP Iraq - Community-Based Reconciliation (project ID 130140 output ID 125983) | 1 |  | N/A |
| 79 | 2674 | UNDP Libya - Resilience and Recovery (project ID104158 output IDs 119174&112553) | 1 |  | N/A |
| 80 | 2675 | UNDP Libya - SFL Stronger for Libya (project ID 00094616 output ID 112158) | 1 |  | N/A |
| 81 | 2677 | UNDP Yemen - Emergency Mine Action (project ID 138840 output ID 128797) | 1 |  | N/A |
| 82 | 2669 | UNDP Lebanon - Lebanese Hosting Communities (project ID 65799 output ID 84708) |  |  | N/A |
| 83 | 2670 | UNDP Lebanon - Increasing access to water (project ID 77399 output ID 88194) |  |  | N/A |
| 84 | 2671 | UNDP Lebanon - Renewable energy opportunities (project ID120029 output ID 118152 |  |  | N/A |
| 85 | 2672 | UNDP Lebanon - Gatherings 2 (project ID 93058 output ID 97505) |  |  | N/A |
| 86 | 2673 | UNDP Lebanon - LEAP, Phase II (project ID 124151 output ID 119194) |  |  | N/A |
| 87 | 2667 | UNDP Iraq - Support to Security & Justice (project ID 115890 output ID 113282) | 1 |  | N/A |
| 88 | Europe and the CIS | 2681 | UNDP Turkey - Demining Phase III (project ID 126280 output ID 120385) |  |  | N/A |
| 89 | 2682 | UNDP Ukraine - RPP (Project ID 102396 output ID 111513) |  |  | N/A |
| 90 | 2683 | UNDP Ukraine-Procurement Support Serv.(project132175 output IDs 129716&124854) |  |  | N/A |
| 91 | 2684 | UNDP Tajikistan - Access to Justice Phase III (Project ID 121211 output ID 11704 |  |  | N/A |
| 92 | 2678 | UNDP Albania - EU4Schools Phase II (project ID 129706 output ID 123296) |  |  | N/A |
| 93 | 2679 | UNDP BiH - COVID-19 Response FBIH WB loan (Project ID 131766 output ID 124684) |  |  | N/A |
| 94 | 2680 | UNDP R. N. Macedonia - Municipal Councils, 2 (Project ID 90463 output ID 96214) |  |  | N/A |
| 95 | Latin America and the Caribbean | 2685 | UNDP Honduras - IDENTIFICATE |  |  | N/A |
| 96 | 2622 | UNDP Guatemala - Programa de Desarrollo Metropolitano (PRODEME) |  |  | N/A |
| 97 | 2623 | UNDP Guatemala - Politicas Municipales de Juventud (MUNIJOVEN) |  |  | N/A |
| 98 | 2624 | UNDP Uruguay - Proyecto Regional URU-ARG - Adaptacion al CC Rio Uruguay |  |  | N/A |
| 99 | 2618 | UNDP Brazil - BRA/18/019 - Sistema Prisional |  |  | N/A |
| 100 | 2620 | UNDP Ecuador - Apoyo Intervencion Alimentaria |  |  | N/A |
| 101 | 2621 | UNDP El Salvador - Teconologia e infraestructura el servicio de la inclusion |  |  | N/A |
| 102 | 2616 | UNDP Costa Rica - REDD+ Results-based Payments |  |  | Fully Satisfactory |
| **Advisory services** | | | | | | |
| 103 | Advisories | 2611 | Risk Advisory Note-Staff Receivables Separated Staff |  |  | N/A |
| 104 | 2591 | Lifecycle of corporate applications |  |  | N/A |
| 105 | 2613 | Risk Advisory Note- GLOC |  |  | N/A |
| 106 | 2690 | ASSESSING BIDDER SUFFICIENCY IN ACP ONLINE CASES FOR COUNTRY OFFICES IN RBEC |  |  | N/A |
| **Write-off requests** | | | | | | |
| 107 | Write-off | 2641 | Write-off request SOMALIA Case IS20170164 HOPEL |  |  | N/A |
| 108 | 2577 | Write-off request of duplicate payments from Democratic Republic of Congo |  |  | N/A |
| 109 | 2638 | Write-off request from UNDP Pakistan |  |  | N/A |
| 110 | 2587 | Request for write-off UNDP Mexico |  |  | N/A |
| 111 | 2576 | Request for write off of staff receivables for separated staff |  |  | N/A |
| 112 | 2558 | Request for write-off of old debit balances in liability account 21010 |  |  | N/A |