

**Indigenous Peoples in the Forest and Other Land Use Sector Implementation of the Royal Government of Cambodia's Nationally Determined Contributions**

**Call for Indigenous Peoples Proposals**

**UNDP Climate Promise and GEF SGP UNDP**

September 2023



## 1.0 Introduction

This is a guiding document for Indigenous Peoples Organisations (IPOs), local NGOs (LNGOs) and community-based organizations (CBOs) who are interested to participate in the Call for Proposals under the UNDP Climate Promise initiative, under the Forest, Land and Nature (FLN) work area. It introduces the initiative, the country context, the specific thematic work area for this grant window and required process to submit a proposal.

This Indigenous Peoples (IPs) grant under the UNDP Climate Promise initiative is implemented by the GEF Small Grants Programme of the United Nations Development Program (GEF SGP UNDP), which has been operational in the country since 2004. It is specifically designed for IPs in the country and will fund IPOs, LNGOs or CBOs who work directly with IPs and indigenous communities to contribute to the implementation of the country's Nationally Determined Contributions (NDC) in the Forest and Other Land Use (FOLU) sector.

## 2.0 Background

### 2.1 Paris Agreement and NDC

The Paris Agreement is a legally binding international treaty on climate change. It was adopted by 196 Parties at UNFCCC COP 21 in Paris in 2015. Its goal is to limit global warming to well below 2, preferably to 1.5 degrees Celsius, compared to pre-industrial levels. The NDCs communicate actions to cut emissions and adapt to climate impacts. Each Party to the Paris Agreement is required to establish an NDC and update it every five years.

### 2.2 Global IPs

For decades, IPs have been advocating for their rights, knowledge, and traditional sustainable practices to be recognized in international climate change negotiations and to be included in related international and national climate mitigation and adaptation plans and agreements. With the continuous improvement in coordination among indigenous rights-holders, their representatives, and allies from around the world, important references to IP rights, traditional knowledge and roles in climate mitigation and adaptation have been included in decisions of the Conference of the Parties to the United Nations Framework Convention on Climate Change (UNFCCC), notably the Paris Agreement,<sup>1</sup> and a Local Communities and Indigenous Peoples Platform (LCIPP) has been established to further enable and strengthen their engagement with the UNFCCC process.<sup>2</sup>

## 3.0 UNDP Climate Promise

As part of the UN Secretary-General's Climate Action Summit in 2019, the UNDP pledged to support at least 100 countries to enhance their NDCs ahead of UNFCCC COP26. This pledge turned into UNDP Climate Promise, which is now the world's largest offer of support to countries on NDCs under the Paris Agreement. The initiative supports 120 countries to contribute to an increase of climate mitigation and adaptation ambition,

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<sup>1</sup> The Paris Agreement is a legally binding international treaty on climate change that was adopted by 196 parties of the UNFCCC during COP21 in Paris in 2015. Its main goal is to limit global warming to well below 2 degrees Celsius, preferably to 1.5 degrees Celsius, compared to pre-industrial levels.

<sup>2</sup> The LCIPP was established by article 136 of the Paris Agreement and the Facilitative Working Group of the LCIPP was established in 2018 as a constituted body to the UNFCCC to provide advice, technical input and expertise to advance the implementation of the Convention and the Paris Agreement.

in collaboration with over 35 partners and is a major contribution to the NDC Partnership. This success has led to an overwhelming demand from countries to receive UNDP's continued support in the implementation phase, turning the countries pledges into action.

In response, at COP26, the UNDP Administrator launched the next chapter of the Climate Promise "From Pledge to Impact" with an overall objective to support at least 100 countries turning their pledges into action toward net-zero, climate resilient and sustainable development pathways. As politically backed blueprints for investments in key engines of sustainable development, NDCs offer opportunities to unlock potentials for a just transition. Building on the results, experience and momentum of the first phase, and as a major contribution to the NDC Partnership, the initiative supports countries along three mutually supportive pillars:

**Scale and Speed** – Countries have inclusive and gender-responsive governance and financing mechanisms to enable achievement and tracking of NDC targets across sectors.

**Amplifying Ambition** – NDCs effectively contribute to long-term pathways consistent with the Paris Agreement and the Sustainable Development Goals.

**Lasting Inclusivity** – Actors collectively are empowered to drive climate action and ensure whole-of-society engagement.

As of July 2022, 100 Climate Promise countries had submitted enhanced NDCs to the UNFCCC, representing 22.21% of global emissions and 84% of developing countries. Of these submissions,

- 89% have increased mitigation ambition, of which 100% include energy targets;
- 95% increased adaptation ambition, often aligning to National Adaptation Plans;
- 96% include gender and 97% include youth considerations, reflecting broad stakeholder engagement.

### 3.1 Forest, Land and Nature Work Area

The grants are a contribution from the United Kingdom Department for Environment, Food and Rural Affairs (DEFRA) to the UNDP Climate Promise. The intention is for recipient countries to demonstrate higher nature ambition in the NDCs by assessing the extent nature can contribute to meet climate targets and developing the required plans and policies for implementation. In addition, the contribution is also expected to support recipient countries deliver on their pledges to the Glasgow Leaders' Declaration on Forests and Land Use<sup>3</sup>.

## 4.0 Cambodian Context

In line with the requirement to communicate actions to cut emissions and adapt to climate impacts, the Royal Government of Cambodia's (RGC) updated NDC 2020 sets an ambitious target to cut overall greenhouse gas emission by 42% by 2030. The main mitigation efforts by 2030 will be derived from the FOLU sector where it will achieve a 50% reduction of historical emissions (76.3 million tCO<sub>2</sub>e) from deforestation. In the Long-Term Strategy for Carbon Neutrality (LTS4CN) submitted in December 2021, the FOLU sector is expected to achieve carbon neutrality from 2031 onwards and net zero-deforestation by 2045. The LTS4CN also pointed to the FOLU sector to provide a net carbon sink of 50 megaton CO<sub>2</sub>e by 2050. As such, it is expected be the main sector to offset emissions from energy, agriculture, IPPU and waste sectors.

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<sup>3</sup> <https://web.archive.nationalarchives.gov.uk/ukgwa/20230418175226/https://ukcop26.org/glasgow-leaders-declaration-on-forests-and-land-use/>

The ambition set out in the NDC 2020 and LTS4CN is built on the country's progress in REDD+, having fulfilled the Warsaw Framework for REDD+: National REDD+ Strategy (2017), National Forest Monitoring System (NFMS) (2017), Forest Reference Level (FRL) (2017, 2021) and a Summary of Information (Sol) (2019), including a REDD+ Technical Annex (2020). Additionally, a REDD+ Action and Investment Plan, endorsed in 2021, forecasted a total cost of US\$ 186 million needed by 2031 to implement the policies and measures identified in the National REDD+ Strategy.

Where IPs are related, the Cambodian Constitution recognises that all Khmer citizens, including all IPs under the National Policy on the Development of IPs, are equal before the law regardless of, among others, race, colour and national origin. RGC's updated NDC 2020 further indicated a commitment to promote the rights of IPs, especially concerning land ownership, during the implementation of FOLU mitigation measures. In addition, respect for the traditional knowledge held by IPs is integral to an understanding of traditional livelihoods and culture; therefore, an essential component of safeguarding these IP rights.

In 2019, the Asia Indigenous Peoples Pact (AIPP) partnered with the UNDP, through the UN-REDD Programme and the Forest Peoples Programme, on a study to highlight how the rights, roles and knowledge of indigenous men, women, youth, and persons with disabilities are addressed in national-level climate policies and plans, such as NDCs<sup>4</sup>, REDD+ strategies, national adaptation plans, and relevant environmental laws in Asia. The results of the study are documented in 10 country-level reports<sup>5</sup> and a regional report<sup>6</sup> which synthesizes the findings and recommendations from the 10 country reports. This guiding document for Cambodia builds on this foundational work and seeks to advance the recommendations from the Cambodia report through providing grants under UNDP Climate Promise initiative, specifically its FLN work area.

## 5.0 Purpose of the Indigenous Peoples Grant

The grant is intended to:

1. Strengthen the roles and engagement of IPs, especially women and youth, in the implementation of the targets for the FOLU sector in the NDC.
2. Expand and scale up IP-led forest measures that will contribute to NDC implementation and inform subsequent NDC revisions.

## 6.0 Eligible Applicants

Applicants must be:

1. IPOs or Local NGOs who have registered with the Ministry of Interior (MoI) and have proven experience working directly with IPs and indigenous communities on activities related to forest and other land use; OR
2. CBOs who have registered as a legal body where the majority of the communities are indigenous. For example, Community Forestry (CF) and Community Fisheries (CFi) who have registered with the Ministry of Agriculture, Forestry and Fisheries (MAFF) and Community Protected Area (CPA) and the Ministry of Environment (MoE) and Indigenous Community who have registered with Ministry of Rural Development (MRD) and Ministry of Interior (MoI).

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<sup>4</sup> Most countries updated their Nationally Determined Contribution in the run-up to COP26 in Glasgow. See here for the latest submissions: <https://www4.unfccc.int/sites/NDCStaging/Pages/All.aspx>

<sup>5</sup> Bangladesh, Cambodia, India, Indonesia, Malaysia, Myanmar, Nepal, Philippines, Thailand, and Vietnam. The Cambodia analysis is available: <chrome-extension://efaidnbmnnnibpcajpcglclefindmkaj/https://www.climateandforests-undp.org/sites/default/files/resources/NDC%20Mekong%20April2022-digital.pdf>

<sup>6</sup> <https://stories.climateandforests-undp.org/nationally-determined-contributions-in-asia/index.html>

3. For IP Community and Organizations/Communities that have not gone through the legalization process, a proposal could be submitted together with IP Organization/community who have registered.

**Special requirement:** Applicants must provide either of the supporting documents listed below. Failure to submit either of these documents will lead to instant disqualification from this call for proposal.

- An official document by MRD that has recognized the targeted communities for this proposal are Indigenous.

**Special target:** At least 30% of the projects eligible for funding must be implemented by indigenous women and youth.

## 7.0 Thematic Areas

The proposal must be directly related to one or more of the thematic areas below, with a list of indicative eligible activities:

### 1. Enabling conditions to respect the rights of IPs in the FOLU sector

- a. Support registration process for the IPs to strengthen indigenous identity and their traditional land use practices.
- b. Contribute to the registration process by supporting participatory mapping to demarcate different types of land uses such as spirit forests, agriculture/swidden land and others.
- c. Document and promote indigenous land use practices, including but not limited to soil and water conservation with a specific focus on how these will contribute to NDC implementation.
- d. Facilitate gender responsive discussions between indigenous communities and local communities to differentiate roles and responsibilities in CF, CFI and CPA, IP community.
- e. Increase capacities for a gender responsive engagement of indigenous communities on policies, laws and regulations related to and affecting IPs.
- f. Facilitate gender responsive exchanges between indigenous and local communities on community-based forest monitoring; traditional practices for forest conservation, restoration; securing different types of livelihoods.
- g. Strengthen the capacities of Indigenous Peoples, including indigenous women and youth to participate and provide their views in different types of discussions and exchanges related to FOLU sector.
- h. Promote and facilitate indigenous peoples' network with a view to contribute to NDC implementation and development of new NDC.
- i. Enhance IP communities' socio-economic development for long-term sustainability and resilience to poverty reduction as prioritized by the state.

### 2. Piloting indigenous community-led forest measures

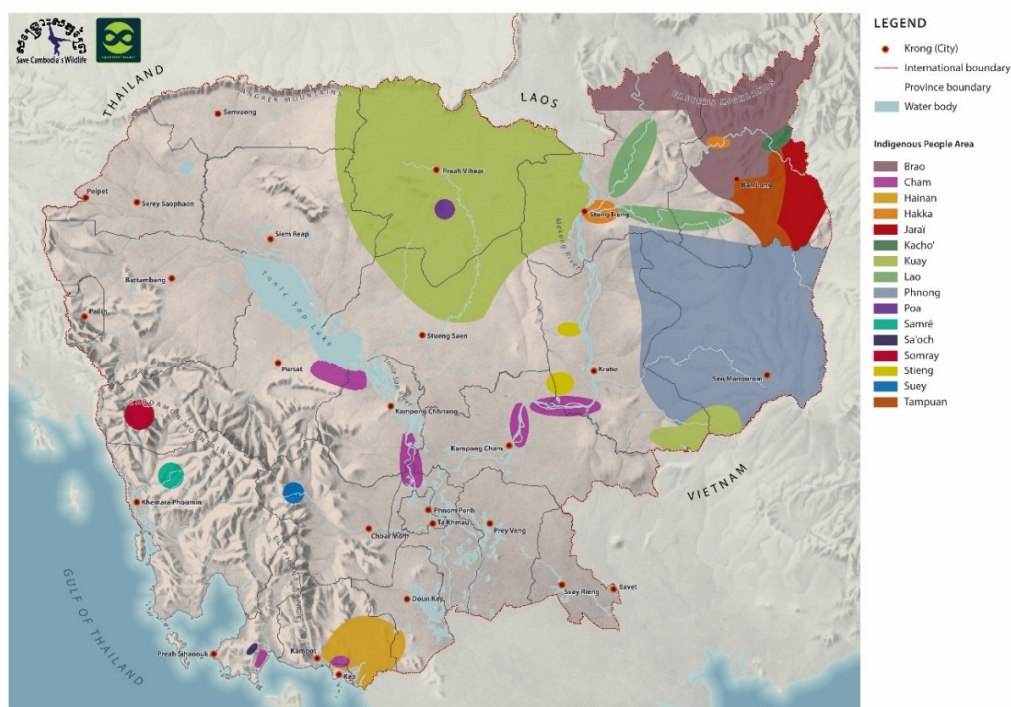
- a. Community-based forest restoration and monitoring activities in forest conservation areas, such as protected areas and flooded forest and mangrove conservation areas.
- b. Community-based forest management activities such as sustainable management and use of forests, forest lands and non-timber forest products.

- c. Integrate Cambodia's REDD+ safeguards<sup>7</sup> into indigenous land use planning process to inform risks related to, for example, tenure, gender, stakeholder engagement, conflict, environmental impacts. Design and test methodologies to implement and monitoring how the safeguards risks are managed.
- d. Develop and test methodologies to promote forest land tenure security through forest land classification, zoning, demarcation and registration.
- e. Develop and test options to diversify sources of livelihood such as agroforestry, agroecology and sustainable land management practices.
- f. Upscale initiatives for indigenous communities-based ecotourism as an option that does not rely heavily on forest and land resources.
- g. Develop commune land use planning tools and methods to improve agricultural yields and product responses to market demands.

## 8.0 Geographical Location

GEF SGP UNDP Cambodia invites IPOs, local NGOs and CBOs working directly with indigenous communities on the FOLU sector in all villages, communes, districts, provinces that are home of IPs in Cambodia to submit their full project proposal for the grants. Please see Annex 1.b for a detailed list of provinces and communes with indigenous communities. Grants are distributed on a competitive basis.

Figure 1: Indigenous People Areas in Cambodia (Dataset OD Mekong Datahub)



<sup>7</sup> <https://cambodia-redd-safeguards.org/>

## 9.0 Ineligible Activities and Support

The resources provided by this call for proposal may not be used for:

1. Equipment, cars, infrastructure (e.g., schools, bridges) etc. (unless these can be properly justified).
2. Projects strictly for private sector.
3. Projects that do not link to the macro level policy/national systems.
4. International travel.
5. Chemical pesticides such as fungicides, herbicides, and insecticides.
6. Disposable single-use plastic materials.
7. Planting exotic species for reforestation.
8. Other expenditures that do not demonstrate direct contribution to project activities.

## 10.0 Duration and Tentative Implementation Timelines

This IP grant will be implemented from 1 December 2023 to 31 January 2025.

## 11.0 Project Eligibility Criteria

The SGP offers small grants of up to US\$ 50,000 per project. All projects should:

1. Address one or more of the thematic areas.
2. Demonstrate sustainability of the project.
3. Involve indigenous community participation, especially women, youth and persons with disabilities at all stages of the project.
4. Include adequate provisions for monitoring, especially safeguards monitoring, and evaluation.
5. Develop mechanisms and incentives for sustainability beyond the project duration.
6. Establish a clear communication strategy that will
  - a. Document and share lessons from the project with different types of stakeholders;
  - b. Identify ways in which other communities outside the project area may benefit from the project.

## 12.0 Application and Review Process

### Step 1: Submit a project proposal (See template in Annex 1)

A call for proposal will run from 20 September to 30 October 2023. As no concept notes will be requested as part of this call, the NC will organize a national workshop to explain the background and requirements specific to this call for proposal.

Organizations interested in submitting a proposal are invited to send a complete proposal with all the required annexes in Khmer. For proposals that are submitted in both Khmer and English, please certify that the original proposal is prepared in English.

The proposal can be typed or handwritten. Images such as photos may also be used, where relevant, to support the proposal. Please ensure to complete a LNGO or CBO profile sheet (Annex 2) which should be added to the project proposal during submission.

**Proposals submitted after the deadline will not be accepted.**

The proposal and all supporting documents must be sent to GEF SGP office, Phnom Penh Center, 6th Floor, Building A, Corner Sothearos & Sihanouk Blvd, Tonle Bassac, Chamcamorn, Phnom Penh, Cambodia, ATTN:

Mrs. Navirak Ngin, GEF SGP National Coordinator. In the subject line, please insert: **CALL: CLIMATE PROMISE FOLU**. A soft copy of the proposal and Annexes must be sent by the deadline to [ngin.navirak@undp.org](mailto:ngin.navirak@undp.org) A hard copy of the proposal can be sent to the address above after the deadline.

### Step 2: Project Selection Timelines

The Selection Committee will review the proposals from October to November 2023. Both field and office appraisals will be conducted during this period. The final decision on successful applications will be completed by end of November 2023.

### Step 3: Project Selection Committee

The proposals will be transparently reviewed by a Selection Committee made up of independent panel of experts in this field or the National Steering Committee (NSC)<sup>8</sup>.

- Each proposal will be screened by the GEF SGP National Coordinator (NC) and a Selection Committee appointed by the NSC. The Selection Committee will consist of
  - Representative from IPO or a local NGO or CBO working with IPs<sup>9</sup>;
  - Representative from UNDP Climate and Forests Programme (Asia-Pacific region);
  - Representative from UNDP Cambodia.
- The proposals will then be submitted to the full NSC, and a meeting will be organized to finalize shortlisted proposals for field and desk appraisals.
- During the field appraisal, the Selection Committee may identify a need for an Intermediary Organization (IO) to support the project from the IP CBOs identified in Section 6.0: Eligible Applicants. If needed, the committee will discuss with the indigenous communities regarding an IO they are comfortable to work with; discuss with the IO about their willingness and availability to support; propose the IO to the NSC for their approval.
- Based on the results from the field and desk appraisals, NSC meeting(s) will be organized to review and approve the full proposals. IPOs, LNGOs or CBOs will be invited to present their proposals.
- Based on the results of the NSC meeting, the NC or GEF SGP Program Assistant or Project Manager (UNV) will inform the applicant directly whether the proposal has been rejected or approved with recommendations/conditions to improve the quality of the proposal, if relevant.

### Step 4: Proposal Evaluation Criteria

The Selection Committee will utilize the following criteria for its evaluation.

Criterion	Score (%)
<b>A. Basic Requirements</b> 1. <b>Registered organization - IPs Organization and LNGO - that have experiences of working directly with IP community and IP CBOs with MoI or other Ministries such as MAFF for Community Fisheries, Community Forestry, AC and CPA with MoE, or with MRD or MLMUPC for ICLT (a copy of Registration letter/relevant doc should be presented).</b>	Yes/No

<sup>8</sup> There are 12 NSC members serving a term of 3 years: one representative each from MoE, MAFF, MoI, MoWA and MRD; 1 from UNDP Cambodia; 3 from international development partners (USAID, Voluntary Service Overseas, Asian Development Bank); 1 from international NGO (Save the Earth Cambodia); 2 NGO/CBO (Enterprise Development Institute, Cooperation Committee for Cambodia).

<sup>9</sup> To avoid conflict of interest, please note this representative will not be eligible to submit a proposal under this grant.



<p>2. Organization background/nature/profile, which shows that the LNGOs/CBOs have related experiences with the GEF thematic areas.</p> <p>3. Fit with SGP strategic initiative, which is stated in the UNDP Climate Promise - GEF SGP FLN Indigenous Peoples introductory guide.</p> <p>4. Not a present grantee of the UNDP GEF SGP or having received grants from SGP more than 3 times.</p> <p>5. NGOs/CBOs who are not recorded in the SGP Blacklist grantee.</p> <p>6. An official document by MRD that has recognized the targeted communities for this proposal are Indigenous.</p>	
<b>B. Consistency and appropriateness of activities, outputs/impacts and indicators</b>	20
<p><b>C. Community Participation and Contribution</b></p> <p>1. For projects that have made more than average efforts to involve the community, or that are testing new participatory methods, should have a few sentences indicating how communities have participated in planning, carrying out, and monitoring the project. For example, a project may have organized teams of community members to monitor activity in a protected area or participate in refining the design of renewable energy technology to meet local needs.</p>	15
<p><b>D. Project Implementing Capacity</b></p> <p>1. Nature of an organization, members of staff and management and M&amp;E strategy. In case the CBO need support from LNGO in design and implementing project, both organizational capacity assessment needs to be done.</p>	20
<p><b>E. Innovation</b></p> <p>1. The project tries to test or introduce new techniques or technologies which enhance adaptive capacities or innovative in other GEF thematic areas while being simple to use and implement.</p>	5
<p><b>F. Gender and Youth Focus</b></p> <p>1. Clearly described activities and well-allocated budget to promote and include at least 30% women and/or youth participation and implementation. This should include consideration for their roles in the family and in relation to the community as well as their contribution to the targeted project areas.</p>	20
<p><b>G. Impact on Environment</b></p> <p>1. The project that has contributed to the NDC objectives for the FOLU sector and national REDD+ program to ensure the livelihood interventions are linked with environmental protection.</p>	20
<p><b>H. Impact on Social and Cultural</b></p> <p>1. Activities that lead to an observable increase in adaptive capacity or reduction invulnerability of the community and/or project target groups to the negative impacts of climate change events and other destructions. These criteria will also look at the preservation of culture, norm and tradition of IPs and the Local community. Project should demonstrate their effort in social inclusion (leave no one behind) and make vulnerable groups received a benefit from a proposed project.</p>	5
<p><b>I. Impact on Livelihoods</b></p> <p>1. Alternative livelihood that contributes to improve food security and increase the income of project beneficiaries with no harm to natural resources and the environment especially with contribute to the sustainable of the development.</p>	15
<b>Total Score</b>	120

## Annex 1: Project Proposal Template



### **PROJECT PROPOSAL TEMPLATE**

#### **GENERAL REQUIREMENTS**

**This is a standard Small Grants Programme project template for the civil society and/or community-based organizations to apply for grants. The template can be customized as needed in accordance with country needs and context. Some sections of the template are expected to be filled with support from the National Coordinator and National Steering Committee.**

The Project Proposal should not exceed 10 pages of text (including charts or diagrams). The Proposal should be submitted in typed form. The blue font texts in this template are guidance and explanation and can be deleted once the proposal is prepared.

Additional attachments (not more than 10 pages) may be submitted, including documents certifying the status of the organization, endorsements of the proposed project, funding commitments or other indicators of participation and support from other institutions, and evidence of community support and participation.

Please submit a copy of the Proposal to the *National Coordinator, GEF Small Grants Programme of the country.*

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#### **PROJECT COVER PAGE**

##### **A. GENERAL INFORMATION (Required)**

Country	
Project No.	
Project Title <sup>10</sup> :	
Submission date	

<sup>10</sup> The title must capture the essence of project and aligns to Indigenous Grant Thematic areas

**B. INDIGENOUS PEOPLES / LOCAL NGOs / CIVIL SOCIETY/COMMUNITY BASED ORGANIZATION – APPLICANT (Required)**

Name of organization	
Year established	
Mailing Address:	
Physical Address	
Telephone	
Email	
Principal Officer (name and position)	
Project contact/manager (name and position)	

**C. PROJECT LOCATION (Required with NC/NSC support)**

Please include a map and/or coordinates by entering latitude and longitude if possible.

Landscapes (name)	
State/District	
Town/village	
Coordinates (latitude/longitude) (optional)	

**D. GEF SMALL GRANTS PROGRAMME CLASSIFICATION (Required with NC/NSC support)**

<b>Strategic Initiative (choose one)<sup>11</sup></b>		<b>Primary Focal Area (choose one)<sup>12</sup></b>
Enabling conditions to respect the rights of IPs in the FOLU sector		Land Degradation/Sustainable Land and Forest Management

<sup>11</sup> Projects need to take an integrated approach and work on at least one of the strategic initiatives noted above. Focal area results are achieved as a consequence of this integrated approach.

<sup>12</sup> Each project should have one primary Focal Area which should be indicated. In addition projects may have secondary focal areas which should be specified in the project rationale and approach. Appropriate indicators should be selected in line with the primary and secondary focal areas of the project (refer to M&E guidelines)

	<b>Piloting indigenous community-led forest measures</b>		Climate Change Mitigation/Climate Change adaptation
	<b>Grant Maker Plus (optional)</b>		
	Social Inclusion (Indigenous Peoples, Youth, Women, and Persons with disabilities)		
	Monitoring & evaluation and knowledge management		

**E. PROJECT DURATION (Required)**

Proposed Start Date	
Project duration (in months)	

**F. PROJECT FINANCE (Required)**

Financial sources	Local Currency
Total fund request from GEF SGP	
Total fund from cofinancing/other sources	
Total Project Cost	

**G. ORGANIZATION – ELIGIBILITY & CAPACITY**

Please describe proposing organization’s experience, capacity, and commitment to successfully implement the proposed project. The issues to be covered in this section include:

- a) Type of organization
- Indigenous Peoples Organization (IPO)
  - Community-based Organization (CBO)
  - Local Non-Governmental Organization (LNGO)

In addition, choose one or more of the following as appropriate:

- Women’s group
- Youth Group

- b) Purpose and core activities of the proposing organization/group: include a mission/vision statement if this exists

- c) Length of existence and project management experience if any: XX years
- d) Organizational structure, governance and administrative framework. Provide an indication of the legal status. If none, provide an elaboration of its nature of existence. Provide any

supporting registration/legal documentation as an annex. Provide the recent audited financial statement if any.

- e) Describe previous experience relevant to the proposed project, including activities related to global environmental issues; or experience with projects that focus on sustainable development at community level.

- f) Please indicate if the organization has already been a GEF SGP grantee or involved in a GEF SGP funded project. Yes/No: If yes, describe the involvement with SGP.

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## PROJECT PROPOSAL

### A. PROJECT FRAMEWORK (Required with NC/NSC support)

#### 1. Project Summary

Please provide a brief summary of the project in one brief paragraph, including rationale and context, project objective and key expected results, and the target site and community(ies) involved.

#### 2. Project Rationale

Please describe the following:

- What challenges that the project intends to address in relation to global environmental and development issues.
- How the project relates and will be contributing to the SGP Country Programme Strategy
- How the project relates to and will contribute to the national REDD+ program, the Forest and Other Land Use (FOLU) sector outlined in the Nationally Determined Contribution.

#### 3. Project Objectives, Results/Outputs, and Activities

Please describe the following (refer and use table 1 as relevant to describe):

- a) **Primary objective:** Proposed project should include environmental objective, along with development/livelihood objective as relevant.
- b) **Project results:** Please describe measurable changes which will have occurred by the end of the project as a result of the planned intervention (e.g. XX hectares of community management conservation area established. XX community members is trained on improved on waste management).
- c) **Project Outputs and Activities:** Please briefly describe what will be produced as project results and outputs. Please outline few activities for each output,
- d) **Project Implementation Plan and Time Frame:** Please describe implementation plan. Please include timing of the required reports, project reviews and monitoring activities.



#### 4. Community and Stakeholder Participation Plan

Please describe how the stakeholders and communities, including indigenous peoples where relevant, were involved in: i) project planning and design: ii) Project implementation and iii) Project monitoring and evaluation. Please attach documentation as relevant.

#### 5. Knowledge Management

Please describe the plan to capture, share and disseminate the knowledge, lessons learned and good practices gained through the implementation of the project.

#### 6. Inclusion – Women, Youth, and Persons with Disabilities:

Please describe how the project takes into consideration the roles and needs of men and women (with a focus on the needs of women), how the project reaches other social inclusion groups (youths and persons with disabilities), and how this would be reflected in the budget, results and benefits of the project.

#### 7. Impacts on biodiversity and natural resources:

Please describe how the project takes into consideration potential changes to the use of lands or resources that may have adverse impacts on ecosystems or livelihoods (e.g, use of native species in reforestation projects, etc.)

#### 8. If relevant, how many families would benefit from the project?



### 9. Impact – Sustainability, Scale-up and Replication:

Please describe sustainability of the project impact in a longer term, both environmentally and socio-economically. Outline possible steps to be taken before, during, and at project completion to ensure that the project impact will continue for many years after the project ends.

### 10. Innovation

Please describe innovative aspects of the project. This may include new way of thinking, new form of organizing resources, new ways to connect within community, improvements of existing product/service/delivery process, original product/service/model of delivery, and fueling local action and organizing as groups.

## SECTION B: PROJECT RISKS, MONITORING & EVALUATION (Required with NC/NCS support)

### 1. Screening of Potential Project Risks and Impacts (Project, Contextual, Environmental, Social)

Please identify and list the **major risk factors** that could result in the project not producing the expected results. These should include **social and environmental factors** (e.g. gender discrimination or unequal participation of women or other minorities, restrictions on access to natural areas and/or resources, impacts on the use and/or commercialization of traditional knowledge, changes to the use of lands or resources that may have adverse impacts on ecosystems or livelihoods, etc.). These should include both internal factors (e.g. technology involved fails to work as projected) and external factors (e.g. climate change impacts, political and economical situation, etc). Please also propose risk mitigation measures to address the potential risks.

**Table 2: Risks and Mitigation Measures**

#	Internal and External Risks	Possible mitigation measures
1		
2		
3		

2. Monitoring & Evaluation Plan and Indicators

a. Project monitoring schedule (please seek support from the NC/NSC)

Please identify annual, mid-term, and final monitoring schedule. The project should be monitored according to a planned schedule, in line with the milestones identified in the Implementation Plan and Time Frame<sup>13</sup>

b. Project indicators (please seek support from the NC/NSC)

Please fill in Annex 1.a – this is a requirement for all projects.

SECTION C: PROJECT BUDGET (Required – please seek support from NC/NSC as needed)

1. Project Budget

Please provide budget details following the below expenditure categories, and how the funds will be spent over the project period. SGP projects generally should not exceed 2 years. Co-financing to the SGP grant amount is encouraged.

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<sup>13</sup> Among the key issues to be addressed as part of M&E are: a) performance of the project in terms of activities and outputs; b) results of the project, in terms of achieving the outcomes and objective(s); c) mid-course correction and adjustment of the project design and plans; and d) participation of community members in the project monitoring and evaluation processes.

Table 3: Project Budget (in local currency)

Expenditure Category	SGP Grant Year 1	SGP Grant Year 2	Total SGP grant	Total Cofinance (community, other donor, etc)
1. Personnel / Labour				
2. Equipment / Materials				
3. Training / Seminars / Travel Workshops				
4. Contracts				
7. Other support requested <sup>14</sup>				
<b>Total Project Cost</b>				

## 2. Cofinancing

Please provide details on the cost-sharing contributions (cash and in-kind) and summarized in table 3 below. This should include sources and nature of the contribution (e.g. Youth Organization contributing labour, land, cash, etc). The GEF SGP applies the principle of cofinancing the target activities between the relevant partners in the project. It is therefore important that proposing organizations make some contribution towards the operational and programmatic costs of the project.

Table 3: Proposing organization and Community Contributions

Sources of Contribution	Type (cash/in kind <sup>15</sup> )	Committed or Projected*	Amount (in local currency)
1.			
2.			
<b>Total</b>			

<sup>14</sup> Outline other forms of support requested from SGP which are not included in the budget. This support may be for both technical and administrative matters (and not for additional funding). This may cover areas which you need to specify such as: Consultants; Procurement; and Other (specify).

<sup>15</sup> Refers to contributions made directly towards projects realization such as labour, materials, time and other quantifiable resources that count towards the achievement of the project results. An approximate amount should be indicated in the table.

## ANNEX 1.A: INDICATORS

### GEF SGP OP7 Project Indicators (please refer to M&E guidelines for details)

All SGP project will align with at least one or more of the below global environmental indicators. The SGP priorities are aligned to that of the GEF-7 Programming Directions Paper and its outcomes to meet the GEF-7 targets. To capture global environmental benefits, in GEF-7 SGP is aligned with 6 of the 11 GEF-7 core indicators.

### SMALL GRANTS PROGRAMME INDICATORS FOR GEF-7

PROJECT LEVEL INDICATORS		
MANDATORY Global Environmental Indicators: at least one indicator, no more than three (aligned with GEF 7 Core Indicators)		Type
3.1	Area of degraded agricultural lands restored (hectares)	A given project can be linked to <u>at a minimum</u> one indicator; and <u>at most</u> three of these GEF-7 core and sub-indicators
4.1	Area of landscapes under improved management to benefit biodiversity (hectares)	
4.3	Area of landscapes under sustainable land management in production systems (hectares)	
5	Area of marine habitat under improved practices to benefit biodiversity (hectares; excluding protected areas)	
MANDATORY: Socio- Economic Benefit Indicators		Type
1	Number of direct beneficiaries with improved livelihoods and well-being	Mandatory
2	Number of direct beneficiaries benefitting from SGP intervention	Mandatory
3	Breakdown number of beneficiaries reached by social inclusion groups (using 0 in case a category not applicable):	
	✓ Number of Women;	Mandatory
	✓ Number of Indigenous Peoples;	Mandatory
	✓ Number of Youth;	Mandatory
	✓ Number of Persons with Disability	As relevant
4	Is the project tagged (for expected contribution at project commitment stage/ report on results in final report) to closing gender gaps in one or more of the following categories (check all applicable)?	As relevant
	✓ <i>contributing to equal access to and control of natural resources of women and men</i>	
	✓ <i>improving the participation and decision-making of women in natural resource governance</i>	
	✓ <i>targeting socio-economic benefits and services for women</i>	
4	Does the project include sex-disaggregated and gender sensitive indicators ( <u>at commitment stage</u> )	As relevant

PROJECT LEVEL INDICATORS
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AS RELEVANT/OPTIONAL: Additional Indicators (These include both Global Environmental Benefit and Socio-Economic Benefit indicators)			Type
<b>SGP projects are multi- focal. Checkmark focal area strategy used (biodiversity, climate change mitigation/ adaptation, land degradation, sustainable forest management, international waters, chemicals and waste)</b>			<i>Due to multi-focal nature of SGP interventions, projects can select from all indicators across all focal areas while highlighting the focal area that will be their primary strategy.</i>
1	Names and Number of target landscapes/seascapes under improved community conservation and sustainable use	BD	<p><i>While primary focal areas for each indicator area listed, please note irrespective of focal area selected, <u>a project can choose from any of these indicators to be linked to in case of multifocal and integrated results. Please note these are optional in nature.</u></i></p>
2	Number of ICCAs; Hectares of ICCAs	BD	
3	Number of community members with improved actions and practices on agriculture, land and water management	LD	
4	Number of <i>new or sustained</i> farmer leaders adopting and demonstrating improved agriculture and agroecological practices.	LD	
5	Number of <i>new or sustained</i> farmer groups or networks, advocating and disseminating improved agriculture approaches and practices.	LD	
6	Number of projects working on: renewable energy (biomass, hydro, solar photovoltaic, solar thermal, other); energy efficiency, sustainable transport; and conservation/ enhancement of carbon stocks.	CC	
7	Number of low carbon typologies that are community-oriented and locally adapted	CC	
8	Area of Forests and non-forest lands with restoration and enhancement of carbon stocks initiated through completed projects (hectares)		
9	Names and Number of Seascapes/inland freshwater Landscapes. These include local names of marine parks, marine sanctuaries, gulfs, bays, lakes, rivers, and underground waters.	IW	
10	Hectares of river/lake basins applying sustainable management practices through projects' intervention	IW	
11	Number of organizations with capacities built or developed (record for both): number of civil society organizations (CSOs), number of community-based organizations (CBOs)	All focal areas	

12	Number of beneficiaries with improved capacities	All focal areas	
<b>Cross-cutting social inclusion project level indicators</b>			
13	Was the projects led by women and/or institutes mechanisms for increased participation of women in decision-making? (Yes/ No)	GENDER	
14	Number of indigenous leaders with higher capacities (to deliver local solutions and have strong policy advocacy representation)	IP	
15	Number of youth organizations engaged with as part of SGP intervention	YOUTH	
16	Number of PWD (persons with disabilities) organizations engaged as part of SGP intervention	PWD	

## ANNEX 1.B: LIST OF PROVINCES AND COMMUNES WITH INDIGENOUS COMMUNITIES

Eligible applicants from the geographical areas below are invited to submit a project proposal. Please refer to document attached separately titled: **Annex 1B List of Targeted Provinces and Communes of IP Residence Recognized by MRD in the link below:**

[https://docs.google.com/spreadsheets/d/1w\\_ExHkf8wijeyqg7j7TWJNqJdMnLXrq4/edit?usp=drive\\_link&oid=117914867814876370069&rtpof=true&sd=true](https://docs.google.com/spreadsheets/d/1w_ExHkf8wijeyqg7j7TWJNqJdMnLXrq4/edit?usp=drive_link&oid=117914867814876370069&rtpof=true&sd=true)

As a reminder, eligible applicants as stated in Section 6.0 of the introductory guide to this call for proposal must be:

1. IPOs or Local NGOs who have registered with the Ministry of Interior (Mol) and have proven experience working directly with IPs and indigenous communities on activities related to forest and other land use; OR
2. CBOs who have registered as a legal body where the majority of the communities are indigenous. For example, Community Forestry (CF) and Community Fisheries (CFi) who have registered with the Ministry of Agriculture, Forestry and Fisheries (MAFF) and Community Protected Area (CPA) and the Ministry of Environment (MoE) and Indigenous Community who have registered with Ministry of Rural Development (MRD) and Ministry of Interior (Mol).
3. For IP Community and Organizations/Communities that have not gone through the legalization process, a proposal could be submitted together with IP Organization/community who have registered.

**Special requirement:** Applicants must provide either of the supporting documents listed below. Failure to submit either of these documents will lead to instant disqualification from this call for proposal.

- An official document by MRD that has recognized the targeted communities for this proposal are Indigenous.

**Special target:** At least 30% of the projects eligible for funding must be implemented by indigenous women and youth.

## Annex 2: Profile Sheet

### Community-Based Organization/NGO profile Sheet

I. **Background Information:**

A. **Name of organization:** \_\_\_\_\_

Contact person: \_\_\_\_\_

Type of nature of Organization (Please check):

\_\_\_\_\_ Cooperative \_\_\_\_\_ Civil Orientation

\_\_\_\_\_ Others (please specify): \_\_\_\_\_

\_\_\_\_\_

B. **Mailing address:** \_\_\_\_\_

Telephone numbers: \_\_\_\_\_ Fax No: \_\_\_\_\_

Email address: \_\_\_\_\_

C. **Date of registration/certificate with:**

1. Ministry of Interior: \_\_\_\_\_

2. Ministry of Agriculture: \_\_\_\_\_

3. Others (please specify) : \_\_\_\_\_

D. **Board of Organization** (please indication officers, if more space is needed please use additional sheets)

Name	Position/Designation	Address

E. **Staff/Secretariat** (please indicate if full-time, part-time or volunteer, if more space is needed please use additional sheets)

Name	Position/Designation	Address



**F. Affiliation**

National-CBO

National-NGO

Regional (Please indicate CBO or NGO formation at the regional level where you are affiliated)

**G. Fund sources** (During the last five (5) years. if more space is needed please use additional sheets)

<b>Donor/Funder</b>	<b>Address</b>	<b>Programme Assisted</b>	<b>Type of Assistance (Loan/Grant)</b>	<b>Duration</b>	<b>Status</b> (Ongoing/Completed. If completed, indicate date of completion)

**II. AREAS OF CONCERN**

<b>Programme/Project/Activities</b>	<b>Location</b>	<b>Duration</b>	<b>Project Partners</b>	<b>Funding Source</b>	<b>Status</b> (Ongoing/Completed. If completed, indicate date of completion)