

**United Nations Development Programme (UNDP)**

**Negotiated Environmental and Social  
Commitment Plan (ESCP)**

**For  
NGOs and CSOs Capacity Support Project in  
Afghanistan (P178933)**

**June 2022**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Nations Development Programme (UNDP) will implement the NGOs and CSOs Capacity Building Support Project in Afghanistan (hereinafter referred as the Project) as set out in the Grant Agreement. The International Development Association (the World Bank), acting as the administrator of the Afghanistan Reconstruction Trust Fund (ARTF) has agreed to provide financing for the Project (**P178933**), as set out in the referred agreement.
2. UNDP shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that UNDP shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the World Bank.
4. As agreed by the World Bank and UNDP, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, UNDP and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and UNDP representatives assigned to the Project. UNDP shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance redress mechanism.</p>	<p>Submit quarterly reports to the World Bank throughout Project implementation. Submit each report no later than 30 days after the end of each quarter.</p>	UNDP
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers., including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury including injuries or fatalities to project workers that could result from armed conflict. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Promptly notify and no later than 48 hours after learning of the incident or accident using such reporting formats as the World Bank may require.</p> <p>A subsequent detailed report of the incident to be provided to the World Bank within (45) days of making the initial report of the incident or accident.</p>	UNDP
C	<p><b>CONTRACTORS’ MONTHLY REPORTS</b></p> <p>Require grant recipients, contractors, implementing NGOs/CSOs and responsible parties to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective procurement documents, contracts, and the responsible party agreement, and submit such reports to the UNDP.</p>	<p>Submit the monthly reports throughout the Project Implementation period.</p>	grant recipients, contractors, implementing NGOs/CSOs and responsible parties

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain a Project Management Unit (PMU) with qualified staff and resources to, inter alia, support management of ESHS risks and impacts of the Project. The PMU will include a dedicated National Social and Environmental Specialist (national), one Community Engagement/GRM Specialist (national), one Gender and GBV Specialist (international) and one social and environmental specialist (international), including E&amp;S focal points from the NGOs/CSOs selected.</p>	Establish and maintain Project Implementation Unit as set out in the grant agreement.	UNDP
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>Prepare, consult, disclose, adopt, and implement an Environmental and Social Management Plan, or ESMP that includes Labor Management Procedures (LMP), SEA/SH action plan, COVID-19 infection prevention plan, negative list of activities, and security risk management measures, consistent with the relevant ESSs (ESS1, ESS2, ESS4, ESS10), UNDP's SES and acceptable to the association.</p>	Prepare , disclose and adopt the ESMP within 30 days of Project Signature Date and thereafter implement the ESMP throughout Project implementation.	UNDP
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the ESMP , into the ESHS specifications of the procurement documents, contracts and/or agreements with grant recipients, contractors, implementing NGOs/CSOs and responsible parties. Thereafter, ensure that the contractors, grant recipients, implementing NGOs/CSOs, and responsible parties comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and respective contracts and/or grant agreements.</p> <p>Supervise grant recipients, contractors, implementing NGOs/CSOs, and responsible parties throughout Project implementation.</p>	UNDP
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with the terms of reference acceptable to the World Bank, consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference. Relevant E&amp;S measures are to be directly incorporated in grant agreements, responsible party agreements, and consultancy Terms of Reference.</p>	Throughout Project implementation.	UNDP
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<b>LABOR MANAGEMENT PROCEDURES.</b> As part of the ESMP in action 1.2 above, develop and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health, and safety (including personal protective equipment (PPE), and emergency preparedness and response), code of conduct (including relating to SEA and SH), grievance redress arrangements for Project workers, COVID 19 infection prevention measures, and applicable requirements for grant recipients, responsible parties, implementing NGOs/CSOs and consultancies.	Develop and disclose the LMP within 30 days after the Project Signature Date and thereafter implement the LMP throughout Project implementation.	UNDP
2.2	<b>GRIEVANCE REDRESS MECHANISM FOR PROJECT WORKERS</b> Establish and operate a grievance redress mechanism for Project workers, as described in the LMP and consistent with ESS2. The GRM would also be responsive to SEA/SH complaints.	Establish grievance redress mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	UNDP
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT- Not relevant</b>			
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>SEA AND SH RISKS</b> In consultation with women’s organizations, and as part of the ESMP in action 1.2 above, prepare, adopt and implement a SEA/SH action plan to assess and manage the risks of SEA and SH.	As part of the ESMP in action 1.2 above, develop and disclose the SEA/SH plan within 30 days after the Project Signature Date and thereafter, implement the ESMP throughout Project implementation.	UNDP
4.2	<b>SECURITY MANAGEMENT</b> The security risk management measures necessary for implementation of the Project activities and for the provision of security to Project workers and assets will be included as part of the project operations manual. The ESMP will also include a brief description of the UN Security risk management measures, which would include measures under the UN security protocols and any measures necessary to ensure consistency between the protocols and the ESF requirements, in the implementation of Project activities and for the provision of security to Project workers, sites and/or assets to mitigate security risks to participating CSOs, NGOs and local communities.	Develop the security risk management measures within 30 days after Project Signature Date and thereafter, implement them throughout Project implementation.	UNDP
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT- Not Relevant</b>			
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES. Not Relevant</b>			
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES- Not Relevant</b>			
<b>ESS 8: CULTURAL HERITAGE - Not Relevant</b>			
<b>ESS 9: FINANCIAL INTERMEDIARIES - Not Relevant</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation. This shall include measures to consult the E&amp;S instruments for the Project with key stakeholders considering the COVID-19 restrictions and limitations (social distancing rules). UNDP has prepared a preliminary SEP which will be subject to stakeholder consultation and updating .</p>	Update and consult upon and disclose the preliminary SEP within 30 days after the Signature Date, and thereafter implement it throughout project implementation.	UNDP
10.2	<p><b>PROJECT GRIEVANCE REDRESS MECHANISM +</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance redress mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10 and UNDP’s SES.</p> <p>The Project grievance redress mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, non-discrimination, respect, access to services and survivor-centered manner.</p>	Establish the grievance redress mechanism within 30 days after Project Signature Date, and thereafter maintain and operate the mechanism throughout Project implementation.	UNDP
<b>CAPACITY SUPPORT</b>			
CS1	<p>All capacity building activities and trainings will be further detailed in a Capacity Building and Training Plan as part of the action item document. Training will be required for relevant UNDP staff, NGO and CSO staff, grant recipients, contractors, and responsible parties and will include:</p> <ul style="list-style-type: none"> <li>• ESF and SES training (on relevant E&amp;S Standards)</li> <li>• COVID-19 Infection Prevention and Control Recommendations</li> <li>• Stakeholder mapping and engagement</li> <li>• GRM and citizen engagement</li> <li>• Gender, SEA/SH, and GBV training</li> <li>• Labour Management Procedures</li> <li>• ESMP</li> <li>• Community health and safety measures</li> <li>• UN security protocols and any measures necessary to ensure consistency between the Protocol and the ESF requirements in the Project Operations Manual and LMP.</li> </ul>	<p>Throughout Project implementation</p> <p>The Capacity Building plan will be developed within 60 days after project Signature Date</p>	UNDP

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ul style="list-style-type: none"> <li>• Data security</li> <li>• Incident reporting and understanding of ESIRT (Environmental and Social Incident Reporting Toolkit) provisions.</li> </ul>		