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United Nations Development Program (UNDP) in partnership with the Social Fund for Development (SFD), Small and Micro Enterprise Promotion Service (SMEPS) and the Public Works Project (PWP)

Yemen Emergency Social Protection Enhancement and COVID-19 Response Project (ESPCRP) Parent Fund (P173582) , Additional Financing AF1 (P177020), and Additional Financing two AF2 (P180358)

Environmental and Social Management Framework
(Updated – May 10, 2023)

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Acronyms

AF	Additional Financing
EHS	Environmental, Health and Safety
EIA	Environmental Impact Assessment
EPA	Yemen Public Environmental Protection Agency
EPL	Yemen Environmental Protection Law
ESAP	Environmental and Social Action Plan
ESIA	Environmental and Social Impact Assessment
ESMF	Environmental and Social Management Framework
ESMP	Environmental and Social Management Plan
ESMS	Environmental and Social Management System
FI	Financial Intermediary
GDP	Gross Domestic Product
GBV	Gender Based Violence
GIIP	Good International Industry Practice
GM	Grievance Mechanism
IDP	Internally Displaced Person
ILO	International Labour Organization
IPM/IVP	Integrated Pest Management / Integrated Vector Management
MSME	Micro, Small and Medium Enterprise
MFI	Multilateral Finance Institution
MIS	Management Information System
NBSAP	National Biodiversity Strategy and Action Plan
NEAP	Yemen National Environmental Action Plan
NGO	Non-Governmental Organization
OHS	Occupational, Health and Safety
OP	World Bank Operational Policy
PAD	World Bank's Project Appraisal Document
PF	Parent Fund
PWP	Public Works Project
RoY	Republic of Yemen
SRM	UNDP's Stakeholder Response Mechanism
SECU	UNDP's Social and Environmental Compliance Unit
SEA	Sexual Exploitation and Abuse
SES	UNDP's Social and Environmental Standards
SESP	UNDP's Social and Environmental Screening Procedure
SFD	Social Fund for Development
SME	Small-to-Medium Enterprise
SMED	Small-to-Medium Enterprise Development
SMEPS	Small and Micro Enterprise Promotion Service

SWF	Yemen Social Welfare Fund
TPMA	Third-Party Monitoring Agent
UN	United Nations
UNDP	United Nations Development Programme
WHO	World Health Organization
YECRP/ECRP	Yemen Emergency Response Project
ESPECRP	Emergency Social Protection and COVID-19 Response Project
YSMQCO	Yemen Standard Metrology Quality Control Organization

Executive Summary

This Environmental and Social Management Framework (ESMF) is prepared for parent fund (PF) and updated for additional financing (AF1) on Dec, 2022 and Additional financing two (AF2) on May, 2023 by UNDP to ensure the Social Protection and COVID-19 Response Project (ESPECRP) are consistent with UNDP's Social and Environmental Standards (SES) (www.undp.org/ses) and the World Bank's Environmental and Social Framework (ESF). The SES require that all UNDP projects consider the potential environmental and social opportunities that a project may generate and ensure that adverse social and environmental risks and impacts are avoided, minimized, mitigated and managed.

The ESMF is intended to serve as a practical tool to guide the identification and mitigation of potential environmental and social impacts of proposed investments and as a platform for consultations with stakeholders and potential project beneficiaries. The ESMF identifies the standard triggers for the project, the screening criteria of sub-projects, the likely environmental and social impacts of the sub-projects and the mitigation measures to for the identified risks, assessment of the institutional capacity and measures for fill capacity gaps. The ESMF provides the following:

Description of the project (Section 2): UNDP and UNICEF, in partnership with the World Bank, developed the Social Protection and COVID-19 Response Project (ESPECRP) and additional financing to step up current efforts of the international community to deliver critically needed livelihood support and service delivery to a population hit hard by the conflict. Three local institutions are the Responsible Parties: The Social Fund for Development (SFD) and the Public Works Project (PWP) and Small and Micro Enterprise Promotion Service (SMEPS). The project focuses on targeting food insecure households and the required interventions to tackle food insecurity. The project covers activities related to cash transfers: 1) Unconditional cash transfers to the SWF beneficiaries; Cash for Nutrition (CfN), prioritizing the SWF families most at risk of malnutrition and families with malnourished children under five-years; 2) Cash for work (CFW)-vulnerable people who are able to work will get temporary employment opportunities to establish valuable community assets and contribute to food security; 3) Social and productive community assets rehabilitation; and 4) provide economic opportunities and food market to vulnerable populations by supporting MSMEs, with an emphasis upon strengthening the domestic food market and production, including support to farmers, fishermen and livestock producers to enhance agricultural productivity linked with food insecurity. Project interventions will incorporate COVID-19-sensitive measures. The Project also seeks to significantly strengthen the capacity and role of national institutions in order to create a solid foundation for future social protection and local leadership.

Overview of the legal and regulatory framework (Section 3): Key national laws and regulations applicable to social and environmental risk management include the National Environmental Action Plan, National Biodiversity Strategy and Action Plan, Water Law, Environmental Protection Law, and Labour Law. In the context of the ESPECRP and additional financing, [UNDP's Social and environmental Safeguards \(SESs\)](#) and the [World Bank's Environmental and Social Safeguards \(ESSs\) Framework](#) apply. In addition, the Responsible Parties ([Public Works Project, Small and Micro Enterprise Promotion Service and Social Fund for Development](#)) have elaborated their own standard operating procedures, that were set up for the World Bank supported projects with the two institutions prior to the conflict, Environmental and Social Management Frameworks in 2014 and OHS Framework in 2018. Implementation of these procedures also helps to ensure consistency with relevant international agreements and protocols.

Overview of project activities and key social and environmental risks (Section 4): This section summarizes key social and environmental risks and indicative management measures for the project. The section describes the WB ESF and UNDP SES, requirements and national regulations. that have been triggered based on

completion of the project-level Social and Environmental Screening Procedure (SESP, see Annex 1). The Environmental risk of this project is 'high'. The project will support activities that may result in risks and impacts on workers and community health and safety as well as on the environment, if the project's activities are not managed adequately. Although environmental risks and impacts are expected to be site-specific, reversible and generally of low magnitude that can be mitigated following appropriate measures; based on the implementation experience of the completed project (ECRP), and identification of significant OHS gaps, there is a significant risk for fatal incidents or serious injuries to occur under the project, particularly under the labor-intensive community subprojects. Key risks include those related to human rights and conflict, gender and social inclusion, biodiversity and natural resources, climate change, community health, safety and working conditions (including occupational health and safety), cultural heritage, and pollution. Occupational health and safety risks are identified as a priority. Because a full risk analysis is not possible until site-specific design details are known, the identification of project level risks provides an indicative assessment to be elaborated further through sub-project level screening, assessment and risk management (see Section 5). Therefore, sub-project screening and site-specific assessments and management plans will be essential.

Procedures for screening (Section 5): Each sub-project will be screened for social and environmental risks and impacts (including OHS risks) applying PWP and SFD screening tools that were reviewed and approved by UNDP. Screening and classification will be completed prior to approval of sub-projects and signing of the Financial Agreement. The screening of sub-projects will also be updated if there are any significant changes in the sub-project's design or context that may materially change its social and environmental risk profile. Sub-project screening and categorization should be conducted at the earliest stage of design when sufficient information is available for this purpose. Based on the screening, the sub-project is categorized according to the degree of potential social and environmental risks and impacts (including OHS). The screening process results in a risk-based categorization of the sub-project (Low Risk, Moderate Risk, or High Risk). Note that High Risk sub-projects will be excluded from Yemen ESPECRP and additional financing. If high level-risk activities are identified during the implementation of a subproject, Senior management of the Responsible Party and the UNDP Project Manager will be notified immediately, and relevant activities will be halted until mitigation measures are put in place to reduce the levels of risk. An initial gap analysis shows that PWP and SFD screening processes are generally aligned with SES requirements, as described below. Low risk project will only require ES &OHS clauses, Moderate risk will require Environmental and social Action plan (ESAP) and all Substantial risk subprojects will require an Environmental and Social Management plan (ESMP) to be prepared by the IPs, reviewed by UNDP and approved by the WB.

ESPECRP's practical experience showed that risk screening tools and safeguard instruments have been applied completely and met the compliance and satisfactory, similar instruments and compliance standards will be applied to the AF. No serious breaches to comply to the ESF instruments have been recorded or reported nor high risk accidents occurred during the implementation of the ESPECRP parent fund.

Procedures for assessment and management (Section 5): The targeted and site-specific assessments and management plans will be undertaken for all Substantial Risk sub-projects once project activities/sub-projects and sites are identified. The assessment(s) will lead to the development of appropriately scaled management measures and plans to address the identified risks and impacts. Relevant social and environmental assessments and adoption of appropriate mitigation and management measures will be completed, disclosed, and discussed with stakeholders prior to implementation of any activities that may cause adverse social and environmental impacts. as appropriate, site-specific assessments and management plans and action plans will be **submitted to UNDP and the World Bank for clearance** and recorded in the MIS. In cases where similar activities are being conducted in a particular region, these activities may be grouped and covered under one ESMP/ESAP. All ESMPs/ESAPs will be available upon request.

Institutional arrangements and capacity building (Section 6):

To ensure Environmental and Social compliance, Safeguard units has been established within UNDP, SFD, PWP, and SMEPs in headquarters and branches. The World Bank has established a senior management task team to oversee and make decisions about remedies in connection with the UNDP-implemented activities. WB has assigned an Environmental specialist and social specialist to oversee the safeguard implementation of the project.

UNDP will be responsible for overseeing the implementation and compliance with the ESMF, working closely with PWP, SMEPs and SFD. The team includes an International Safeguards Specialist, National SES, National OHS, National gender and social safeguard officers.

Implementing partners has a safeguard team including GRM team based in Headquarters to oversee the implementation of the environmental and social requirements supported by focal points in each branch as well as assigning responsibilities to staff working in field. Responsible Parties' staff in their capacity at head office and branch offices will be responsible for engagement and management of contractors. The field staff are assisted by Supervisory Consultants, and technical engineer, and safeguards focal point in branch offices for the management of contractors and workers, ensuring compliance and monitoring of activities and providing labor instructions on safety and security. A capacity development plan to ensure ongoing capacity development related to ESMF implementation has been developed and led by UNDP, working closely with PWP, SFD, SMEPS and the World Bank

Stakeholder engagement and information disclosure (Section 7): The ESPECRP and additional financing and its Responsible Parties will ensure meaningful, effective and informed stakeholder engagement in the design and implementation of all sub-projects. Stakeholder engagement supports the development of strong, constructive, and responsive relationships that are critical for sound project design and implementation. Effective stakeholder engagement enhances project acceptance and ownership and strengthens the social and environmental sustainability and benefits of supported interventions. Information disclosure refers to the provision of timely, accessible information regarding the project and its potential social and environmental impacts to stakeholders in order to facilitate their meaningful, effective and informed participation in project design and implementation. Stakeholders require access to relevant project and sub-project information to understand potential project-related opportunities and risks and to engage in design and implementation. In addition to have access to general project information, stakeholders need access to screening reports, draft and final assessments and management plans. This information is to be disclosed in a timely manner, in an accessible place, and in a form and language understandable to affected persons and other stakeholders.

A stakeholder engagement plan (SEP) for the Social Protection and Covid Response Project (ESPECRP) and additional financing has been developed. The SEP recognizes the importance of open and transparent engagement between the Recipient and project stakeholders as an essential element of good international practice. Effective stakeholder engagement can improve the environmental and social sustainability of projects, enhance project acceptance, and make a significant contribution to successful project design and implementation. Stakeholder engagement is an inclusive process conducted throughout the project life cycle. Where properly designed and implemented, it supports the development of strong, constructive, and responsive relationships that are important for successful management of a project's environmental and social risks.

Meaningful stakeholder engagement is required by PWP, SFD and SMEPS for participation in project intervention by communities and individuals. The Standard Operating Procedures of UNDP and Responsible Parties include procedures for community and stakeholder engagement within their project cycles as a key

component of project identification, design and implementation, and described a key component of the longer-term sustainability strategy for operations and maintenance.

Grievance Mechanism (Section 8): The Project Level Grievance Mechanism is managed by SFD, SMEPs and PWP, who have their own GM mechanisms in place. The GM will be gender- and age-inclusive and responsive and address potential access barriers to women, the elderly, the disabled, youth and other potentially marginalized groups as appropriate to the Project. The GM will not impede access to judicial or administrative remedies as may be relevant or applicable and will be readily accessible to all stakeholders at no cost and without retribution. Information about the Grievance Mechanism and how to make a complaint and/or grievance must be communicated during the stakeholder engagement process and placed at prominent places for the information of the key stakeholders. All complaints and/or grievances regarding social and environmental issues can be received either orally (by the field staff), by phone, in complaints box or in writing to the UNDP, PWP, SMEPs or SFD. A key part of the grievance mechanism is the requirement for the Project Management Team and construction contractor to maintain a register of complaints and/or grievances received at the respective project site offices, this includes grievances from workers. In addition to the project-level GM procedure complainants have the option to access UNDP's Accountability Mechanism, with both compliance and grievance functions. The Social and Environmental Compliance Unit investigates allegations that UNDP's Standards, screening procedure or other UNDP social and environmental commitments are not being implemented adequately, and that harm may result to people or the environment. The Stakeholder Response Mechanism offers locally affected people an opportunity to work with other stakeholders to resolve concerns, complaints and/or grievances about the social and environmental impacts of a UNDP project. Stakeholder Response Mechanism is intended to supplement the proactive stakeholder engagement that is required of UNDP and its Implementing Partners throughout the project cycle (www.undp.org/secu-srm). The project GRM is managed by PWP, SMEPs and SFD, who have a grievance mechanism in place. UNDP will work with the Responsible Parties (RPs) to assess the effectiveness of existing GM and work to address capacity, accessibility, transparency, gaps, etc. In cases complaints are not resolved by IPs, they should be escalated to UNDP for further investigation, study and closure. XXTPM Reports and the ESMF stakeholder consultations noted that there was little awareness by project-affected people of the GM and their options. This will be an area to be strengthened moving forward.

Monitoring, reporting and evaluation (Section 9): The ESMF and its procedures are to be reviewed and updated on a regular basis by UNDP with collaboration of SMEPs PWP and SFD to reflect knowledge gained during the course of project delivery/construction. PWP and SFD have a Management Information System in place to record project information and monitor results. The MIS provides an important mechanism to track information on environmental and social safeguard implementation. UNDP will ensure that all responsible Parties maintain and keep all administrative and social and environmental records which would include a log of complaints and incidents together with records of any measures taken to mitigate the cause of the complaints or incidents. Any incidents, major injury or fatality, including non-conformance with the procedures of the ESMF, are to be recorded using an Incident Record and the details entered into a register. For any incident that causes or has the potential to cause material or significant social and/or environmental harm, the site supervisor/designated officer shall notify the Responsible Party Senior Management and the ESPECRP Project Manager as soon as possible and no later than 24 hours. UNDP will also ensure significant incidents are reported to the World Bank within 48 hours. The Responsible Party must cease work until remedies have been completed as per the approval of Project Manager. A daily social and environmental checklist (including OHS issues) is to be completed for active work sites with Substantial risks by the relevant site supervisor/designated officer and maintained within a register. A weekly social and environmental checklist is to be completed and will include reference to any issues identified in the daily checklists completed by the site supervisor or designated person. The progress of all corrective actions will be tracked

using the register. In the case of potential occupational health and safety hazards, safe working systems and procedures will be developed and applied as well daily permit-to-work forms used to control and minimize risks to acceptable limits. Implementation of the ESMF to be included in overall project monitoring and reporting, including monitoring conducted by the TPMA.

1 Introduction

This Environmental and Social Management Framework (ESMF) is prepared by UNDP to ensure the Social Protection and COVID-19 Response Project (ESPECRP) for parent Fund, additional fund 1 and additional fund 2 are consistent with UNDP's Social and Environmental Standards (SES) (www.undp.org/ses) and the World Bank's Environmental and Social Safeguards Framework (ESF). The SES require that all UNDP projects consider the potential environmental and social opportunities that a project may generate and ensure that adverse social and environmental risks and impacts are avoided, minimized, mitigated and managed. In the context of the Social Protection and COVID-19 Response Project (ESPECRP), additional financing 1 and additional financing 2, UNDP's SES and the World Bank's Environmental and Social Safeguards Framework apply. In addition, the Responsible Parties (Public Works Project, Social Fund for Development and Small and Micro Enterprise Promotion Service) have elaborated their own standard operating procedures, that were set up for the World Bank supported projects with the two institutions prior to the conflict, ESMFs in 2014, and Occupational Health and Safety Framework in 2018.

Because the details of proposed activities are designed at the sub-project level, an Environmental and Social Management Framework (ESMF) is necessary to ensure policies and procedures are in place for consistent E&S implementation across all sub-projects, and as required by the World Bank Environmental and Social Framework (ESF).

The ESMF is intended to serve as a practical tool to guide identification and mitigation of potential environmental and social impacts of proposed investments and as a platform for consultations with stakeholders and potential project beneficiaries. The ESMF has been prepared in compliance with World Bank Environmental and Social Framework (ESF), the UNDP SES and relevant Yemeni policies on environmental assessment. The ESMF identifies the policy triggers for the project, the screening criteria of sub-projects, the environmental and social impacts for the likely sub-projects and the mitigation measures to mitigate the identified risks, and assessment of the institutional capacity and measures for capacity-filling gaps.

The ESMF provides a strengthened framework and clarity to ensure consistency with the UNDP SES and World Bank Environmental and Social Safeguards Policies across all sub-projects. The ESMF was developed through a consultative process led by UNDP in close coordination with the World Bank, PWP and SFD.

The ESMF provides the following:

- Description of the project (Section 2)
- Overview of the legal and regulatory framework (Section 3)
- Overview of project activities and key social and environmental risks (Section 4)
- Procedures for screening, assessment and management (Section 5)
- Institutional arrangements and capacity building (Section 6)
- Stakeholder engagement and information disclosure (Section 7)
- Grievance Mechanism (Section 8)
- Monitoring, reporting and evaluation (Section 9)

2 Project Description

Funded and supported by the World Bank's International Development Association (IDA), ESPECRP Parent Fund (PF) and additional financing both AF and AF2, to provide nutrition-sensitive cash transfers, temporary employment and increased access to basic services and economic opportunities to food-insecure populations affected by COVID-19, Yemen's conflict and climate-related shocks, as well as to strengthen the capacity of national service delivery institutions. UNDP is the recipient agency¹ for the IDA Grant, while activities are implemented by the Social Fund for Development (SFD), the Public Works Project (PWP) and the Small and Micro Enterprise Promotion Services (SMEPS). The project's Theory of Change assumes that if income-generation and livelihoods opportunities are increased for vulnerable households (including IDPs), with essential service delivery restored and key local businesses revived, Yemeni households and communities will be able to better cope with the impact of the current crisis and be strong drivers of the resilience-building and recovery efforts.

¹ The project is also implemented by the United Nations Children's Fund (UNICEF) – the recipient agency for the Unconditional Cash Transfer component under the project.

The Additional Financing two - AF2 is intended to sustain and scale up the impact of the parent project and its Additional Financing (AF) (ongoing project) in response to the chronic food insecurity and malnutrition situation. AF2 will continue to provide immediate relief and increase resilience to food insecurity and malnutrition. To increase impact, AF2 will continue to operationalize the bundling of geo-focused interventions and target those bundles to areas with high food insecurity, malnutrition, and potential for food production— in line with the Bank’s approach to reduce food insecurity and malnutrition. The AF2 will support the introduction of enhanced community mobilization at the location of the geo-bundles for improved sustainability of impact

ESPECRP Parent Fund (PF) and additional financing (AF1 and AF2) includes four main components as follows:

Component 1: Unconditional Cash Transfers (US\$468.50 million). This component will mainly finance CTs to poor and vulnerable households to protect them against food insecurity. This component will include two subcomponents: Sub-component 1.1—Unconditional Cash Transfers (UCT) (implemented by UNICEF); and Sub-component 1.2—Cash for Nutrition (CfN) (implemented by UNDP in partnership with SFD).

1.2—Cash for Nutrition (CfN) will be implemented by SFD through its Nutrition Unit and will follow the Operations Manual of Nutrition Conditional Cash Transfers. The Cash for Nutrition (CfN) component includes four main outputs:

- **Cash Transfers** including scanning the targeted households, enrolment of qualified women, conducting health and nutrition outreach courses and payment of the monthly cash top-ups.
- **2) Facilitated Access to the Therapeutic Feeding Centres (TFCs)** including the check-ups, enrollment and ups and referral of malnutrition cases to TFCs and paying them transportation and accommodation costs, if necessary.
- **Health Outreach** which includes regular health outreach sessions and HH visits to support the behavioral change and provide advice and follow up mothers and children who need care.
- **Nutrition Community Workers** through providing training and job opportunities to young women between the ages of 18 and 35 years, then deploying them as health and nutrition promoters/outreach workers for the local communities to deliver the above-mentioned outputs. This output includes eligibility assessment and selection and training of qualified young girls and paying them monthly wages.

Component 2: Geo-focused support to reduce food insecurity and malnutrition (US\$193.50 million). This component will address food insecurity by providing temporary and more sustainable economic opportunities to vulnerable populations and building relevant assets. The component will be implemented by UNDP in partnership with SFD , PWP, and SMEPs and will include three subcomponents: Sub-component 2.1—Cash for Work; Sub-component 2.2—Community Assets; and Sub-component 2.3—Economic Opportunities and Food Market Resilience. Below table illustrate the Number of beneficiaries of CfN under parent fund and AF1.

XX

PDO Level Indicators	(IPs)	Unit	Total Targets (PF+AF)	Progress to date (30 May 2023)
Number of beneficiary households benefitting from the cash for nutrition program	SFD	Number	61,500	75,240
Number of beneficiary households (Social Welfare Fund)	SFD	Number	6,150	4,970
Number of beneficiaries (female)	SFD	Number	63,600	80,453
Number of beneficiaries (children)		Number	90,000	119,564

Cash for Work Transfers under Part 2.1(a) of the Project: This sub-component will be implemented by SFD with the main objective of creating temporary employment opportunities to vulnerable people and providing access to key social services prioritized by the target communities. Implementation of activities under the Cash for Work (CfW) sub-component will follow the Operation Manual for the Labour Intensive Works Programme (LIWP) unit updated by SFD for the ESPECRP and AF1 & AF2. The main operating procedures relevant to the ESPECRP and AF1 & AF2 are summarized here.

SFD will pursue a short-term module for the implementation of CfW activities under ESPECRP and AF1 & AF2 in order to cover a large number of targeted communities and households through quick response and accelerated disbursement. The activities under this subcomponent entail short time labor (4 – 6 months) with high labour intensity (around 60%), capped wages in the average amount of US\$500 per household and works that are relatively simple to suit local labourers, with the majority of them being unskilled workers. Priority will be given to types of sub-projects that contribute directly or indirectly to improved food security and thus will include, but not be limited to, reclamation of agricultural

land, improvement and protection of rural roads, rehabilitation of irrigation canals, facilities improving health and environment conditions (e.g., construction of simple latrines, rehabilitation of water resources, tree plantation, etc.). The payment of wages will mostly be done via a financial institution. In cases where banking or postal services are not available, a field accountant for making cash payments will be added to the SFD field team. All projects under parent fund have been completed while AF1 is still under implementation. Below is table of Number of beneficiaries under cash for Work both parent fund and AF1.

XXX

PDO Level Indicators	(IPs)	Unit	Total Targets (PF+AF)	Progress to date (30 May 2023)
2.2 Cash for Work (SFD)				
Number of direct beneficiaries of wage employment	SFD	Number	59,500	21,099
Number of beneficiaries (female) (30%)	SFD	Number	17,850	6,647
Number of indirect beneficiaries of wage employment (family members of direct beneficiaries) (cash for work)	SFD	Number	294,312	126,594
Number of people with increased access to basic services	SFD	Number	358,500	521,204
Cubic meters of water schemes constructed/rehabilitated (Cubic Meter(m3))	SFD	m3	39,046	10,726
Area of agriculture land rehabilitated Hectare	SFD	ha	1,879	515
Length of roads improved (Kilometers)	SFD	km	137	88
2.3 Community Assets (PWP)				
Number of direct beneficiaries of wage employment	PWP	Number	42,113	12,677
Number of female beneficiaries (3%)	PWP	Number	1,767	491
Number of indirect beneficiaries of wage employment	PWP	Number	252,678	76,062
Number of people with increased access to basic services	PWP	Number	546,330	441,700
Cubic meters of water schemes constructed/rehabilitated (Cubic Meter(m3))	PWP	Number	120,042	62,185
Area of agriculture land rehabilitated Hectare	PWP	Number	4,122	2,524
Length of roads improved (community assets) (Kilometers)	PWP	Number	104	50

YLG Subgrant under Part 2.3(a)(i), MFI IT Subgrants under Part 2.3(a)(iii)

This sub-component is implemented by SFD through its Small and Micro Enterprise Development Unit (SMED) and subsidiary organisation the Yemen Loan Guarantee Programme (YLG). The MSMEs subgrants and Business Associations subgrants [2.3b (i) and (ii)] will be implemented by The Small and Micro Enterprise Promotion Services (SMEPS). The main objective is to provide more sustainable economic opportunities to vulnerable populations by supporting MSMEs affected by conflict or the COVID-19 crisis, focusing on economic activities that strengthen the domestic food market, thus contributing to improved food security. The implementation will generally follow SFD’s Standard OM for the core procedures including procurement, human resources, finance and M&E, whereas the technical procedures will apply the specific Project Operations Manuals of each subsidiary: YLG’s OM, SMEPS’s OM and SMED’s OM. All FI are required to meet the requirements stated in WB ESS9 – Financial Intermediaries and will put in place and maintain an Environmental and Social Management System ESMS to identify, assess, manage and monitor the environmental and social impacts and risk of the FIs. The FI’s ESMS will include: environmental and social policy, procedures for identification, assessment and management of environmental and social risks, organisational capacity and competency, monitoring system and external communication mechanism. The FIs will also provide a safe and healthy working environment in accordance to all related ESS. FIs will have an appropriate labor management procedures, including procedure related to working conditions, terms of employment, non-discrimination, occupation health and safety requirement as well as grievance mechanism. YLG ESMS is attached (Annex 12 YLG ESMS). XXX below table illustrates the current results under parent fund and AF1.

PDO Level Indicators	(IPs)	Unit	Total Targets (PF+AF)	Progress to date (30 Jun 2023)
Number of MFIs to access the new YLG portfolio guarantee scheme	SFD (SMED)	Number	5	5
Number of SME loans guaranteed by the new capitalization to the YLG	SFD (SMED)	Number	3,024	2,856
Number of Village Saving and Loans Associations	SFD (SMED)	Number	530	72

Component 3: Project Support, Management, Evaluation and Administration (US\$48.9 million) under AF . This component will finance the costs of project management, monitoring, evaluation and capacity building of national institutions. It will include the following two sub-components: Sub-component 3.1— Project Support, Management, Evaluation and Administration for Sub-component 1.1, administered by UNICEF (US\$7.5 million); and Sub-component 3.2— Project Support, Management, Evaluation and Administration for Sub-component 1.2 and Component 2, administered by UNDP (US\$6 million). This component will finance the following activities and functions: direct and indirect costs of recipient UN agencies; Third-Party Monitoring; capacity building of SFD, SWF and PWP; and project evaluations.

Component 4: Contingent Emergency Response (CERC) (US\$0 million) for both PF and AF. In the event of an eligible crisis or emergency², the project will contribute to providing immediate and effective response to said crisis or emergency. This component would draw from uncommitted funds under the project from other components to cover the emergency response. To facilitate a rapid response, in case the CERC is activated, the restructuring of the project is deferred to within three months after the CERC is activated.

Table 2: Revised Project Components

Components	Original Grant	AF Grant	AF2 Grant	Total Revised Allocation
	(US\$ million)	(US\$ million)	(US\$ million)	(US\$ million)

² Defined as “an event that has caused, or is likely to imminently cause, a major adverse economic and/or social impact associated with natural or man-made crises or disasters.

Component 1: Unconditional Cash Transfers	152.00	182.00	193.00	527.00
Subcomponent 1.1: Unconditional Cash Transfers	135.00	182.00	190.00	507.00
Subcomponent 1.2: Digital payment pilot and financial literacy (<i>new</i>)	NA	NA	3.00	3.00
Component 2: Geo-focused support to reduce food insecurity and malnutrition	38.40	99.20	88.50	226.10
Subcomponent 2.1: Cash for Nutrition	17.00	32.00	31.00	79
Subcomponent 2.2: Cash for Work	15.70	27.60	23.00	66.30
Subcomponent 2.3: Community Assets	15.70	27.60	23.50	66.80
Subcomponent 2.4: Economic Opportunities and food market resilience	7.00	12.00	11.00	30.00
Component 3: Project Support, Management, Evaluation and Administration	13.50	18.80	18.50	50.80
Subcomponent 3.1: UNICEF	7.50	10.00	10.60	28.10
Subcomponent 3.2: UNDP	6.00	8.80	7.90	22.70
Component 4: Contingent Emergency Response	0.00	0.00	0.00	0.00
Total Project Allocation	203.90	300.00	300.00	803.90

The ESPECRP E&S instruments (including this ESMF) will be the same and applied to the AF 1 and AF2 without any changes.

2.1 Description of Baseline Environmental and Socioeconomic Conditions

The economic impact of the crisis has been devastating for the Republic of Yemen, aggravating an already deteriorating pre-conflict economic performance. In 2015, according to the World Bank, the economy contracted by about 28 percent of gross domestic product (GDP), while inflation has been estimated to have reached about 40 percent. Public finances are under severe stress. The fiscal deficit reached around 11 percent of GDP in 2015. The fiscal resources available in 2015 allowed only for financing basic salaries for public employees and rising interest payments; public investments in critical sectors such as health and education or other development policy programs were postponed. In a context of growing liquidity crisis in the country, President Hadi issued a decree to replace the Central Bank Governor and move the Central Bank Headquarters from Sana'a to Aden. As a result of the liquidity crisis, the payment of salaries to civil servants has been disrupted. At a time where major development partners suspended their engagements since earlier days of the military confrontation in March 2015 and transitioned their support to emergency and relief operations, the suspension of wage payments causes the crumbling of the health services, putting additional pressure on the humanitarian response and resilience of the population.

About 14.4 million Yemenis are currently considered food insecure. The poor state of the health services is leading to a catastrophe in terms of excess mortality due to malnutrition and diseases. At present the Ministry of Health and international partners are also battling a cholera epidemic. Some 3 million children under five years and pregnant or lactating women require services to treat or prevent acute malnutrition; 1.3 million under-five children are malnourished, with 370,000 suffering from severe acute malnutrition, a doubling of pre-crisis levels. Preliminary estimates of the Nutrition Cluster in October 2016 indicates that 4.5 million children and pregnant and lactating women

require malnutrition treatment or preventive services. The number of people in need of assistance increased by 148% compared to late 2014. Severe and Acute Malnutrition blunts children intellect and makes them nine times more likely to die compared to their healthy peers. This poses a serious risk to the future of human development in Yemen.

Furthermore, it is estimated that approximately 19.4 million Yemenis lack access to clean drinking water and sanitation, and 14.1 million cannot access adequate health care. Children are facing significant psychological stress; an estimated 1.8 million children are out of school because of fighting and insecurity. 2,007,216 internally displaced persons (IDPs) across 21 governorates; the majority, 50%, are displaced in Hajjah, Taizz, Amanat Al Asimah and Sana'a. The Task Force on Population Movement has identified 1,027,674 returnees in 19 governorates; the majority, 68%, have returned to Aden, Amanat Al Asimah and Taizz.

The number of IDPs have risen to over 2 million, with the majority of displaced people hosted by local communities. According to latest estimates from the Task Force on Population Movement in January 2016, about 1,027,000 IDP returnees who returned to their place of habitual residence across Yemen, representing about a 32% increase compared to April 2016. The prospects for women-headed households, which represent more than 50 percent of the displaced community, are particularly challenging. Households, communities, and public and private institutions need support to cope and build resilience toward peace and recovery.

Yemeni economic advancement depends on its natural resource base; agriculture and mining. Agriculture forms an important sector in the nation's economy and much of the economic activities depend on exploitation of freshwater resources, marine resources, and its soil and oil wealth. However, the natural resource base is facing serious challenges. The rapidly growing population at the rate of 3% annually accelerates pressure on scarce natural resources. Demand increases for water resources, foodstuff and other products of natural resources. People exploit soil, vegetation and water without paying adequate attention to the sustainability of these resources. Unplanned expansion of urban centers exceeds, in some places, the carrying capacities of available resources to meet new demand. It also causes sanitation and waste management problems and puts pressure on social services, in addition to loss of biodiversity and agricultural land.

Yemen is a country with rich natural habitats, species and genetic diversity, including many endemic species resulting from the various altitudinal topography, climate, and geographical landscapes. The unique geographical position of Yemen with the variant climatic and topographical features is favorable for existence of diverse ecosystems, natural habitats, and great marine, coastal, and terrestrial biodiversity. The flora of Yemen is very rich and heterogeneous. According to the *Gap Analysis of Natural Plant Biodiversity of Yemen* (2011), about 2,810 plant species were recorded in Yemen in which endemic and near endemic plants were estimated about 604, among which 455 are endemic (307 in Socotra), constituting of about 16% of the flora which does not occur elsewhere (National Biodiversity Strategy and Action Plan, NBSAP II 2015).

On the other hand, Yemen has very limited natural resources including for instance - arable land, water, fishery, and green cover which evidently experience constant degradation. The arable lands do not exceed 3% of the total natural area which is dominated by desert and mountains. The arable lands experience continuous deterioration by about 1.8% annually during the period 1999-2006 as a result of the water erosion, desertification, salinization, and urbanization. The total lands covered by forests were estimated to be about 1.5% by 2005. Desertification accounts for over 50 percent of the total land of the country. Desertification of agricultural land ranges from 3-5 percent annually, whereas the area of deteriorated land due to soil erosion and salinity is estimated to be 12 million hectares and another 3.8 million hectares, respectively. The situation is further worsened as a result of encroachment of sand dunes (NSES 2005-2015). The potential for greater desertification is high considering several factors including changes in socio-economic patterns and farming practices and increasing demand for fuel, abandonment of terraces, overgrazing and depletion of tree cover and water erosion problems.

2.2 Summary of Key Results and Activities

Table below list the PDO revision and changes after the Additional financing two

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Table 3: Revised PDO indicators under Additional Financing 2 (AF2)

PDO Indicator	Target (Dec 2024)	Revised Target (Dec 2026)	Comments
Provision of Cash Transfers			
Beneficiaries of social safety net programs (CRI, Number)	1,500,000	1,500,000	No change
Beneficiaries of Safety Nets programs - Unconditional cash transfers (number) (CRI, Number)	1,500,000	1,500,000	No change
Beneficiaries of unconditional cash transfers who receive their payments digitally (Number)	N/A	70,000	Newly added intermediate level indicator to capture payment digitization
Beneficiaries of social safety net programs - Female (CRI, Number)	720,000	720,000	No change
Household members (Number)	9,350,000	9,350,000	No change

Number of beneficiary households benefitting from the cash for nutrition program (Number)	61,500	133,800	An additional 72,300 HHs added
Number of beneficiary households (Social Welfare Fund) (Number)	6,150	10,488	An additional 4,338 SWF HHs added
Number of beneficiaries (female) (Number)	63,600	140,520	An additional 76,920 female beneficiaries added
Number of beneficiaries (children) (Number)	90,000	197,690	An additional 107,690 children added
Provision of temporary employment			
Number of direct beneficiaries of wage employment (Number)	121,853	176,392	An additional 54,539 people added
Number of beneficiaries (female) (Number)	25,515	38,216	An additional 12,701 female beneficiaries added
Number of beneficiaries (IDPs) (Number)	18,278	24,823	An additional 6,545 IDPs added
Increased access to basic services and economic opportunities to vulnerable populations			
Number of people with increased access to basic services (Number)	904,830	1,243,922	An additional 339,092 people will be provided with access
Of which, female (Number)	419,372	588,918	An additional 169,546 females will be supported
Number of Micro, Small and Medium Enterprises (MSME's) supported by the Project (Number)	7,854	11,664	An additional 3,810 MSMEs will be supported
Number of female led SMEs supported by the project (Number)	2,131	3,274	An additional 1,143 female led SMEs will be supported
People provided with access to improved sanitation services to reduce water-borne diseases exacerbated by climate change (Number)	255,200	290,200	An additional 35,000 people will be provided with improved access

3 Legal and Regulatory Framework

The ESMF is prepared to:

- comply with national environmental and social laws and regulations, and the operating procedures of PWP and SFD (including their ESMFs)
- meet the requirements of the World Bank's Environment and Social Framework (ESF), including the World Bank Group Environment, Health and Safety (EHS) Guidelines, most particular the General Guidelines, the Guidelines for waste management facilities, and the Guidelines for water and sanitation.
- meet the UNDP Social and Environmental Standards (SES)

3.1 National Legislation, Policies and Regulations

3.1.1 National Environmental Action Plan

The Republic of Yemen (RoY) enacted a National Environmental Action Plan (NEAP) in 1995 that was prepared with the support of the World Bank. The NEAP defines priority actions regarding key environmental issues such as water resources, land resources, natural habitats, and waste management.

3.1.2 National Biodiversity Strategy and Action Plan

The NBSAP calls for “achieving a resilient, productive and sustainable socio- ecosystem by 2050”. The strategy and its action plan aim to halt the overall biodiversity loss and maintain healthy, productive and functional ecosystems based on establishing coherent and resilient ecological networks supported by restructured policies and adequately mandated and empowered local communities and institutions for sustainable and equitable use of natural capital of importance to human well-being and economic prosperity.

3.1.3 National Water Sector Strategy and Investment Program

This strategy (2004) proposes a set of institutional, financial and other measures, which are aimed at addressing discrepancies in the five sub-sectors in order to protect the interests of all stakeholders in water resources. Obviously, if the situation continues as it is without regulation of groundwater extraction and use, without reduction of the current unsustainable level of water resources use, and without putting an end to the ongoing resource capture, then this will eventually harm everyone, including farmers, who will be the first victims of water exhaustion.

At the same time, (water) regulation is needed to safeguard or secure the economic and social growth of the cities. Growth will not happen unless the cities get their water needs. Hence, the strategy notes that an equitable mechanism for rural-to-urban transfer of water from the rural peripheral areas surrounding cities needs to be developed, as well as strict measures to protect the water fields, which supply the cities, against illegal drilling.

3.1.4 Water Law

The ESPECRP and AF1 & AF2 projects are subject to the following Yemeni laws and regulations: National Water Sector Strategy and Investment Program; Water Law No. 33 issued in 2002 and modified in 2006 after the creation of Ministry of Water and Environment, its by-law was issued in 2011 by the Cabinet decree.

The law defines water resources as any water available in the republic's territory and its share of common waters jointly owned with neighboring countries. This is comprised of ground water, surface water, wastewater after purification, and saline water after desalination. The law's main objective is to regulate, develop, sustain and increase efficiencies in water utilization, protect from pollution, transport, and engage the beneficiaries of water installations in participatory management, investment, development, operation, maintenance and preservation at the various stages of development. Water is considered as a common property accessible to all. Management of water resources is entrusted to the National Water Resources Authority, which assess the resources, classify water basins and zones, and prepare the national water plan, which is considered as one of the components of national economic and social planning. Priorities of water use are: drinking and domestic use shall have absolute priority. Then in declining priority, watering livestock, public utilities, irrigation, industrial purposes, minimal level of environmental needs. For these uses water distribution and transport should be done according to hygiene means. Existing and acquired water rights prior to the issuance of the law will be maintained, except in special cases when fair compensation will be ensured. Traditional water rights of rainwater harvesting and natural runoff flow in relation to irrigation shall be maintained. The same applies for the traditional rights on natural springs, streams, and creeks. The Water Law and its by-law are a notable achievement in Yemeni legislation and provide important legislation for environmental management of UNDP/ ESPECRP and AF1 & AF2's activities.

3.1.5 Environmental Protection Law

The Environmental Protection Law (Law 26/1995; EPL), enacted in 1995 in the wake of the NEAP, constitutes the framework environmental legislation for Yemen. It includes provisions for environmental protection in Yemen, the issuance of permits, and Environmental Impact Assessments (EIAs). The provisions of the law are implemented through By-Law 148/000.

The law is also designed to: (i) incorporate environmental considerations in economic development plans at all levels and stages of planning, (ii) protect the national environment from activities practiced beyond national boundaries, and; (iii) implement international commitments ratified by the RoY in relation to environmental protection, pollution control, the conservation of natural resources, and global environmental issues such as the depletion of the ozone layer depletion and climate change.

Environmental Protection Authority

The EPL established an Environmental Protection Council and granted it power to take all measures necessary to protect and improve the quality of the environment and to prevent pollution of the environment. Decree 101/2005 established the Public Environmental Protection Authority (EPA) to replace the Council and lays down its objectives, tasks and management. The functions assigned to the EPA include:

- preparing and executing appropriate policies/strategies/plans to protect the environment
- conducting environmental surveys
- assessing areas/resources/species to be protected through necessary measures conserving the ecosystem including flora and fauna, wild and marine life as per existing laws and monitoring their application
- developing legislative proposals for environment protection in coordination with other agencies involved
- developing a National Emergency Plan to combat natural disaster and environmental pollution in consultation with the agencies concerned implementing environmental protection law and other relevant laws/regulations
- reviewing EIA studies for public /private sector projects for giving clearance and monitoring their execution
- coordinating relevant programs/activities with national, regional and international agencies and organizations
- recommending necessary laws, regulations and systems to protect the environment, in accordance with regional and international agreements on environmental protection.
- collecting data, assessing and evaluating the status of the environment, and setting up suitable monitoring systems
- laying down appropriate standards for protecting the environment from pollution and formulating policy guidelines to combat industrial pollution and protect animal, plant and marine ecology

Environmental Impact Assessments

The EPL requires the preparation of EIAs for projects proposed by the public and private sectors. The proponent is responsible for undertaking the EIA, but the report may be prepared by the proponent or the competent authority or both. Line ministries and Government bodies commission EIA studies at the request of funding agencies and seek the advice of the EPA.

The EPA is responsible for implementing screening procedures, assisting in scoping, evaluation and approval of the Environmental Impact Statement (EIS). However, there is still no regulatory framework to support the implementation of the EPL and the provision of undertaking EIAs for projects is not strictly enforced, particularly for projects that are not internationally funded.

Given the current context, modifications to the EIA procedures are not expected during the project. Current procedures will be taken into account, but there is no expectation at this point that the EPA will review the Project's safeguard instruments.

3.1.6 Labour Law

The RoY Labour Law, Act No.5 of 1995, includes OHS requirements for workplaces that need to be applied in the project.

- The Labor Law (Law 5/1995) states that women are equal to man in all aspects without any discrimination, and that equality should be maintained between women and men workers in recruitment, promotion, wages, training, social insurance. It also regulates work time for pregnant women.
- The Labour Law regulates the rights and wages of workers, their protection, occupational health and safety. In addition, the Social Insurance Law regulates retirement compensation.
- Yemen has ratified ILO Convention Number 138 on Minimum Age for Admission to Employment (Law 7/2001). The Convention establishes a minimum age for admission to employment.
- Yemen has also ratified the ILO Convention 182 on the Worst Forms of Child Labour. It refers to child labour as work that is mentally, physically, socially or morally dangerous and harmful to children; and interferes with their schooling by depriving them of the opportunity to attend school, by obliging them to leave school prematurely; or by requiring them to attempt to combine school attendance with excessively long and heavy work.

3.1.7 Summary of Yemen's Law and Ratification of International Convention regarding Women Employment and Gender Equality

Yemen ratified the Convention on Elimination of all Forms of Discriminations Against Women (CEDAW) in 1984, and prepared a National Strategy for Women Development in 1997, which was **updated** in 2015. Implementation of CEDAW is delegated to relevant ministries and authorities (Decree 55/2009). Based on amendments proposed by the Women National Committee, 24 laws were amended to ensure building gender balance in accordance with the convention. 149.

Yemen national laws and a strategy address gender and GBV as follows:

- Gender Equality in the Labor Law (Law 5/1995): **states the women are equal to Man in all aspects with no discrimination.**
- **Gender based violence: this reflects on three items of :1) Yemen established its National committee for Women in Supreme council for Women's Affairs in 1996 which designated to for implementing Beijing Platforms for Action (1995) and then to report on progress on the CEDAW' 2) Yemen National Strategy - GBV** which is part of the National Strategy for the development Women 2006-2015, to ensure all legal measures to protect women's rights; 3) Domestic and Social Violence, a draft law was made in 2014, to eliminate violence against women and girls.
- Yemen Legal and Social Services: Yemeni Women Union (YWN) was established in 1990 to provide legal information on services to survivors GBV. Also, YWN does receive some cases on GBV with help of UNFPA, The YWN is active in North and South.
- Laws and strategy regarding The Gender Equal in the labor Law, GBV required to be activated in the South Yemen, but in the North is not allowed to be implemented fully.

3.1.8 PWP and SFD Operating Procedures

In the context of the Yemen ESPECRP and AF1 & AF2, both PWP and SFD operating manuals (PWP Operation Manual for ESPECRP and AF 1 & AF2 are integrated in one Project Operating Manual (POM) that facilitated by UNDP in one documents in the annex 12: This ESMF has been developed for the ESPECRP and updated to AF and will be followed by all implementing partners including SFD, PWP, and SMEPs.

3.2 International Agreements and Protocols

The RoY is party to a number of international environmental agreements, the most important of which are:

- World Heritage Convention
- International Convention on Civil Liability for Oil Pollution Damage
- Convention on Biodiversity
- Convention on the Conservation of Migratory Species
- Convention on International Trade in Endangered Species of Wild Fauna and Flora
- United Nations Framework Convention on Climate Change (Yemen acceded to the Kyoto Protocol and is party to Paris Agreement but not ratified)
- United Nations Convention on Combating Desertification
- Environmental Modification Convention
- Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal
- Convention on Wetlands of International Importance Especially as Waterfowl Habitat
- Law of the Sea
- Montreal Protocol on Substances that Deplete the Ozone Layer
- Stockholm Convention on Persistent Organic Pollutants

In general, national agencies are not currently in a position to handle the technical complexities and reporting requirements of international agreements.

Project activities are not expected to be in breach of any international agreement to which the RoY is a party.

3.3 UNDP's Social and Environmental Standards

[UNDP's Social and Environmental Standards](#) (SES) are applied to all UNDP projects, including the ESPECRP's and AF. The application of the SES, which are broadly consistent with those of the World Bank, will help mitigate potentially high adverse environmental and social impacts stemming from the selection and implementation of subprojects.

UNDP's SES came into effect in January 2021. The SES underpin UNDP's commitment to mainstream social and environmental sustainability in its Programmes and Projects to support sustainable development. The objectives of the standards are to:

- Strengthen the quality of programming by ensuring a principled approach.
- Maximize social and environmental opportunities and benefits.
- Avoid adverse impacts to people and the environment.
- Minimize, mitigate, and manage adverse impacts where avoidance is not possible.
- Strengthen UNDP and partner capacities for managing social and environmental risks; and
- Ensure full and effective stakeholder engagement, including through a mechanism to respond to complaints from project-affected people

The SES are an integral component of UNDP's quality assurance and risk management approach to programming. This includes the [Social and Environmental Screening Procedure](#) (see the completed SESP for the project in Annex 1).

Table 4. Key Elements of UNDP's Social and Environmental Standards (SES)

Part A: SES Programme Principles	Part B: SES at Project standard levels	PART C: Social and Environmental Management System
<p>Principle 1: Leaving NO one Leave Behind</p> <p>Principle 2: Human rights</p> <p>Principle 3: Gender Equality and Women's empowerment</p> <p>Principle :4 Sustainability and Resilience</p> <p>Principle 5: Accountability</p>	<p>Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management</p> <p>Standard 2: Climate Change and Disaster Risks</p> <p>Standard 3: Community Health, Safety and Security</p> <p>Standard 4: Cultural Heritage</p> <p>Standard 5: Displacement and Resettlement</p> <p>Standard 6: Indigenous Peoples</p> <p>Standard 7: Labor and Working Conditions</p> <p>Standard 8: Pollution Prevention and Resource Efficiency</p>	<p>✓ Quality Assurance and Risk Management</p> <p>✓ Screening and Categorization</p> <p>✓ Assessment and Management</p> <p>✓ Stakeholder Engagement and Response Mechanism</p> <p>✓ Access to information</p> <p>✓ Monitoring , Reporting and Compliance</p>

The Standards are underpinned by an Accountability Mechanism with two key functions:

- A [Stakeholder Response Mechanism](#) (SRM) that ensures individuals, peoples, and communities affected by UNDP projects have access to appropriate procedures for hearing and addressing project-related grievances; and
- A [Compliance Review](#) process to respond to claims that UNDP is not in compliance with UNDP's social and environmental policies.

3.4 World Bank Requirements

World Bank Environmental and Social Framework (ESF)

The Emergency Social Protection Enhancement and Covid Response Project (ESPECRP) and AF1 & AF2 will follow the World Bank's Environmental and Social Framework which sets out the World Bank's commitment to sustainable development, through a Bank Policy and a set of Environmental and Social Standards that are designed to support Recipient, with the aim of ending extreme poverty and promoting shared prosperity. Listed below are the standards of the World Bank which are applicable to the Emergency Social Protection Enhancement and Covid Response Project (ESPECRP) and AF1 & AF2

- Environmental and Social Standard 1: Assessment and Management of Environmental and Social Risks and Impacts.

- Environmental and Social Standard 2: Labor and Working Conditions.
- Environmental and Social Standard 3: Resource Efficiency and Pollution Prevention and Management.
- Environmental and Social Standard 4: Community Health and Safety.
- Environmental and Social Standard 5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement.
- Environmental and Social Standard 9: Financial Intermediaries; and
- Environmental and Social Standard 10: Stakeholder Engagement and Information Disclosure.

Environmental and Social Standard ESS1 applies to all projects for which Bank Investment Project Financing is sought. ESS1 establishes the importance of:

- the Recipient’s existing environmental and social framework in addressing the risks and impacts of the project.
- an integrated environmental and social assessment to identify the risks and impacts of a project.
- effective community engagement through disclosure of project-related information, consultation, and effective feedback; and
- management of environmental and social risks and impacts by the Recipient throughout the project life cycle.

The Bank requires that all environmental and social risks and impacts of the project be addressed as part of the environmental and social assessment conducted in accordance with ESS1. ESS2–10 set out the obligations of the Recipient in identifying and addressing environmental and social risks and impacts that may require particular attention. These Standards establish objectives and requirements to avoid, minimize, reduce and mitigate risks and impacts, and where significant residual impacts remain, to compensate for or offset such impacts.

Environment, Health and Safety Guidelines

The World Bank Group Environment, Health and Safety (EHS) guidelines are referenced in footnote 1 of OP 4.01. They are technical reference documents with general and industry-specific examples of Good International Industry Practice (GIIP). They define acceptable pollution prevention and abatement measures and emission levels in World Bank financed projects.

The EHS Guidelines contain the performance levels and measures that are generally considered to be achievable in new facilities by existing technology at reasonable costs. Application of the EHS Guidelines to existing facilities may involve the establishment of site-specific targets, with an appropriate timetable for achieving them.

The application of the Guidelines to existing facilities may involve the establishment of site-specific targets with an appropriate timetable for achieving them. The environmental assessment process may recommend alternative (higher or lower) levels or measures, which, if acceptable to the World Bank, become project- or site-specific requirements.

If less stringent levels or measures than those provided in the EHS Guidelines are appropriate, in view of specific project circumstances, a full and detailed justification for any proposed alternatives is needed as part of the site-specific environmental assessment. This justification should demonstrate that the choice for any alternate performance levels is protective of human health and the environment. When host country regulations differ from the levels and measures presented in the EHS Guidelines, projects are expected to achieve whichever is more stringent.

Due to the nature of ESPECRP and AF1 & AF2 activities, the Project will use as appropriate the General Guidelines, including (i) Environmental, (ii) Occupational Health and Safety, (iii) Community Health and Safety, and (iv) Construction and Decommissioning, as well as the Guidelines for Construction and Decommissioning, as well as any other relevant Guidelines.

Table 5: WB’s ESSs and UNDP SESs for the ESPECRP and AF1 & AF2

WB ‘s ESSs	UNDP’s ESSs	Yemeni Requirements	Gap
ESS1: Assessment and Management of Environmental and Social Risks and Impacts.	ESS 2: Climate Change and Disaster Risks	The Law requires the preparation of an EIA during the preparation of all projects and the inclusion of mitigation measures in the project’s capital and recurrent costs (Cabinet Decree Number 89/1993). The EIA should describe: (i) proposed project activities, design of activity, the surrounding environment that may be affected, including a land use map of the adjacent areas, the requirement and types and source of energy, raw	No Gap. UNDP and IPs ensures sets out the assessing, managing, mitigate and monitoring environmental and social risks or impact may occur is any phase of the project cycles, beside ensure sustainability and reduce social and environmental risks, including the climate change and disaster risk reduction. UNDP and partners ensure all activities in

		<p>material and infrastructure services and roads emergency plan and safety, waste disposal etc; (ii) and (iii) alternatives using less polluted inputs, as well as consideration of the 'no-project' alternative (EPL Article 37 Para (b)).</p> <p>The EIA guidelines also include requirements for monitoring, capacity building, verification of monitoring results and findings (EPL Article 60).</p>	<p>consistent with WB's ESSs and UNDP SES and Yemeni law.</p>
ESS2: Labor and Working Conditions.	SES 7: Labour and work Conditions	<p>The occupational safety and health activities in Yemen are organized by Chapter 9 of the Yemeni Labour Law Number 5/1995, Law Number 25/1997 and Law Number 25/2003, the articles of which require employers to take all precautions necessary to ensure that workplaces are safe and healthy.</p>	<p>There is a gap between the World Bank's ESS Guidelines, UNDP SES and Yemeni laws and regulations.</p> <p>The projects apply whichever is more stringent. The project will ensure the availability of the appropriate work environment and conditions for occupational safety and health as details indicated in the LMP.</p>
ESS 3: Resource Efficiency and Pollution Prevention and Management.	ESS 8: Pollution Prevention and resource efficiency.	<p>National law gives priority to the principle of environmental protection and pollution prevention, and not only to the mitigation or compensation of impacts. It also encourages research and development in all environmental aspects (EPL, Article 90).</p>	<p>The Project will avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from project activities. And promote safe, effective, environmentally sound pest management.</p>
ESS 4: Community Health and Safety	ESS 3: Community Health, Safety and Security		<p>The project will assess and address any sort of risk related to health, security and safety. gender, GBV and SEA/SH that may impact the affected communities. The responsibility of IPs and UNDP to eliminate and avoid negative impacts on the community.</p>
ESS 5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement			<p>The project will not be implemented in land that is restricted, acquitted or has involuntary resettlement. There will be consensus in using any common resources.</p> <p>ESMF indicates there would be no involuntary resettlement and that land would be acquired through voluntary land donation only. Only</p>

			<p>three main conditions are accepted:</p> <ul style="list-style-type: none"> • Public property • A waiver document from the owner of the land • Land purchase document by local council <p>The waiver or purchase document shall be confirmed by the local council, and beneficiaries committee ,or by the court and it shall be attached with project documents.</p> <p>Nevertheless, UNDP and the implementing partners will ensure that: (a) the potential donor or donors have been appropriately informed and consulted about the project and the choices available to them; (b) potential donors are aware that refusal is an option, and have confirmed in writing their willingness to proceed with the donation; (c) the amount of land being donated is minor and will not reduce the donor’s remaining land area below that required to maintain the donor’s livelihood at current levels; (d) no household relocation is involved; (e) the donor is expected to benefit directly from the project; and (f) for community or collective land, donation can only occur with the consent of individuals using or occupying the land. IP’s will maintain a transparent record of all consultations and agreements reached</p>
<p>ESS 9: Financial Intermediaries; and</p>			<ol style="list-style-type: none"> 1- FIs are required to monitor and manage the environmental and social risks and impacts of their portfolio and FI subprojects, and monitor portfolio risk, as appropriate to the nature of intermediated financing. 2- The way in which the FI will manage its portfolio

			<p>will take various forms, depending on a number of considerations, including the capacity of the FI and the nature and scope of the funding to be provided by the FI.</p> <p>3- FIs are required to develop and maintain, in the form of an Environmental and Social Management System (ESMS), effective environmental and social systems, procedures and capacity for assessing, managing, and monitoring risks and impacts of subprojects, as well as managing overall portfolio risk in a responsible manner.</p> <p>4- Ensure all requirement such as non-discrimination, labor, OHS and grievance redress are met and monitored</p>
ESS 10: Stakeholder Engagement and Information Disclosure	UNDP Social and Environmental Management System Requirements: Stakeholder Engagement and Response Mechanisms	Article 35 of the Yemeni Constitution declares that Environment protection is the responsibility of the state and the community and that it is a duty for every citizen. It requires the Involvement of stakeholders, including project-affected groups and local nongovernmental organizations, as early as possible, in the preparation process and ensure that their views and concerns are made known to decision makers and taken into account.	No Gap. UNDP and its partners undertake the essentiality of participation and engagement between project recognizes the importance of open and transparent stakeholders to enhance Effective participation of all vulnerable groups, sustainability of the project and stakeholder’s ownership. The participation and engagement with stakeholders start from project design, implementation monitoring and evaluation.

4 Social and Environmental Impacts and Risks

This section summarizes key social and environmental risks and indicative management measures for the project. Because a full risk analysis is not possible until site-specific design details are known, the identification of project level risks provides an indicative assessment to be elaborated further through sub-project level screening, assessment and risk management (see Section 5). Therefore, sub-project screening and site-specific assessments and management plans will be essential.

UNDP’s Social and Environmental Standards aim to help us maximize potential benefits and avoid or minimize potential harm, providing an integrated risk management framework, tools and accountability mechanisms. The following section describes the UNDP social and environmental Principles and Standards that have been identified as relevant based on completion of the project-level Social and Environmental Screening Procedure (SESP, see Annex 1). Although different

levels of risk categories have been assigned to different activities, varying from Low to Substantial, the overall project categorization should follow the highest level of risk categorization, which in this case is Substantial.

UNDP and WB have similar Environmental and Social principles and standards, which they will be applied in the project as one system with same objectives and goals. Table 4 below summarizes key principles and standards that were triggered after pre-screening of the project and the SES requirements for the project, as identified in the SES and based on the results of the SESP. This table addresses the principles and standards that have triggered both low and Substantial level risks.

Table 6: Summary of Key SES Requirements for the ESPECRP and AF1 & AF2

SES Principle or Standard	Summary of Relevant SES Requirements
Principle 1: Leave No one Behind	Leaving no one behind and reaching the furthest behind first is the central promise of the 2030 Agenda. As an overarching programming principle, leaving no one behind requires UNDP to prioritize its programmatic interventions to address the situation of those most marginalized, discriminated and excluded, and to empower them as active agents of the development process. The project targets the affected people, interests and marginalized groups in Yemen, UNDP and IPs will consider
Principle 2: Human Rights	UNDP Recognizes the centrality of human rights to sustainable development, upholding principles of accountability and rule of law, participation and inclusion, and equality and non-discrimination. The project gives priority to the most affected and vulnerable groups as indicated in SEP.
Principle 3: Gender Equality and Women’s Empowerment	The project should promote design and implementation of gender responsive subprojects, to ensure that both women and men are able to participate meaningfully and equitably, including people with disability. UNDP is committed to identifying and addressing any risk of potential exposure of affected people to gender-based violence (GBV) and other abuse that may occur and in connection with any of its supported activities. UNDP requires that appropriate prevention and response measures be adopted to prevent and to respond effectively to GBV, including activities to prevent and address potential exposure of project-affected people to GBV risks; screening of personnel; provision of training on prevention and response to GBV; effective reporting and response protocols; referrals for safe and confidential survivor assistance such as UNFPA- women Protection subcommittee in the North and GBV Subcommittee in South of Yemen
Principle 4: Resilience and Sustainability	Strengthen the resilience of societies to the impact of shocks, disasters, conflict and emergency situations, and the sustainable management, conservation, and rehabilitation of natural habitats (and their associated biodiversity and ecosystem functions) are fundamental to UNDP’s efforts to develop and implement sustainable development pathways. UNDP seeks to address poverty and inequality and to reduce vulnerabilities while maintaining and enhancing natural capital. .
Principle: Accountability	UNDP promotes accountability to programme and project stakeholders by (i) enabling active local community engagement and participation in decision-making, particularly those at risk of being left behind; (ii) ensuring transparency of programming interventions; (iii) ensuring stakeholders can communicate their concerns and have access to rights-compatible complaints redress processes and mechanisms; and (iv) ensuring effective monitoring, participatory monitoring with stakeholders and reporting on implementation of social and environmental risk management measures.
SES Standard at Project level	SES Standard summary: Introduction, Objectives and Scope of Application

<p>Standard 1: Biodiversity Conservation and Sustainable Natural Resources Management</p>	<p><u>Introduction:</u> UNDP seeks to maintain and enhance the goods and services provided by biodiversity (Convention on Biological Diversity,) and ecosystems in order to secure livelihoods, food, water and health, enhance resilience, conserve threatened species and their habitats, and increase carbon storage and sequestration. UNDP is committed to managing and rehabilitating ecosystems for adaptation to and mitigation of climate change, strengthening the rights of affected populations including women, indigenous peoples, and local communities to sustainable use of resources.</p> <p><u>Objectives:</u> To conserve biodiversity ;to maintain and enhance the benefits of ecosystem services; to promote sustainable management and use of living natural resources; to ensure the fair and equitable sharing of the benefits from the utilization of genetic resources; to respect, preserve, maintain and encourage knowledge, innovations and practices of indigenous peoples and local communities relevant for the conservation and sustainable use of biodiversity and their customary use of biological resources</p> <p><u>Scope of application</u></p> <p>The applicability of this Standard is established during the social and environmental screening and categorization process. Requirements of this Standard apply to projects that (i) are located in modified, natural, and critical habitats; and/or (ii) potentially impact or are dependent on the ecosystem services of modified, natural, or critical habitats; and/or (iii) include production of living natural resources (e.g. agriculture, animal husbandry, fisheries, forestry).</p>
<p>Standard 2: Climate Change and Disaster Risks</p>	<p><u>Introduction:</u> UNDP ensures that its projects are sensitive to climate change and disaster risks and do not contribute to increased vulnerability to climate change and natural hazards. Reducing the negative impacts of climate change and disaster is pursued through three complementary strategies: mitigation (reducing greenhouse gas emissions⁵³ that are an anthropogenic root cause of climate change); adaptation (adjusting human systems to moderate harm and/or exploit beneficial opportunities from climate change); and Disaster risks (by reducing and addressing vulnerability to a broad range of potential hazards, including biological, environmental, geological and ..etc.).</p> <p><u>Objectives:</u> To ensure that UNDP projects are sensitive to climate change and disaster risks in order to strengthen resilience and to achieve sustainable development outcomes; and to reduce project-related greenhouse gas (GHG) emissions and intensity</p> <p><u>Scope of Application:</u> The applicability of this Standard is established during the social and environmental screening and categorization process. Requirements of this Standard apply to all projects that (i) have development outcomes that may be threatened by climate change or disaster risks; (ii) may contribute to increased exposure and/or vulnerability to climate change or disaster risks; or (iii) may produce significant GHG emission.</p>
<p>Standard 3: Community Health, Safety and Security</p>	<p><u>Introduction:</u> The Community Health and Safety Standard recognizes that project activities, equipment, and infrastructure can increase community exposure to risks and impacts. Potential negative impacts affecting health and safety may arise from a broad range of supported activities, including from infrastructure development and construction activities, changes in the nature and volume of traffic and transportation, water and sanitation issues, use and management of hazardous materials and chemicals, impacts on natural resources and ecosystems, the influx of project labour, and potential abuses by security personnel.</p> <p><u>Objectives :</u> 1)To anticipate and avoid adverse impacts on the health and safety of affected communities during the project life cycle from both routine and non-routine circumstances; 2)To ensure quality and safety in the design and construction of project-related infrastructure, preventing and minimizing potential safety risks and accidents; 3) To avoid or minimize community exposure to disaster risks, diseases and hazardous materials associated with project activities; 4) To ensure that the safeguarding of personnel and property minimizes risks to communities and is carried out in accordance with international human rights standards and</p>

	<p>principles; and 5) To have in place effective measures to address emergency events, whether human-made or natural hazards</p> <p>Scope of Application: . The applicability of this Standard is established during the social and environmental screening and categorization process. Requirements of this Standard apply to projects that may pose significant risks to human health and safety. Further requirements to avoid or minimize impacts on human health and the environment, due to pollution are included in Standard 8: Pollution Prevention and Resource Efficiency.</p>
<p>Standard 4: Cultural Heritage</p>	<p><u>Introduction:</u> UNDP recognizes that Cultural Heritage is central to individual and collective identity and memory, reflects and expresses people’s constantly evolving values, beliefs, knowledge, traditions, and practices. UNDP seeks to ensure that Cultural Heritage is preserved, protected, and promoted in project activities in a manner consistent with UNESCO Cultural Heritage conventions or any other national or international legal instruments</p> <p><u>Objectives:</u> 1) To protect Cultural Heritage from damage, inappropriate alteration, disruption, removal or misuse; 2) To preserve and safeguard Cultural Heritage; 3) To promote the equitable sharing of benefits from the use of Cultural Heritage; and 4)To promote meaningful consultation with stakeholders regarding preservation, protection, utilization and management of Cultural Heritage.</p> <p>Scope of Application: The applicability of this Standard is established during the social and environmental screening and categorization process. The Standard applies to projects that may adversely impact Cultural Heritage, including projects that meet any of the following criteria: (i) located in, or in the vicinity of, a Cultural Heritage site, (ii) involving significant excavations, demolitions, movement of earth, flooding, or other environmental changes; (iii) proposes to utilize tangible or intangible forms of Cultural Heritage for commercial or other purposes.</p> <p>This project will not be implemented in the cultural heritage site; therefore any intervention should check and assess to ensure there is no negative or harm impacts in the cultural heritage.</p>
<p>Standard 7: Labour and work Conditions</p>	<p><u>Introduction :</u>The pursuit of inclusive and sustainable economic growth, full and productive employment, and decent work for all requires the protection of workers’ fundamental rights, their fair treatment, and the provision of safe and healthy working conditions. Project activities seek to enhance employment promotion benefits, development outcomes and sustainability by ensuring sound worker-management relationships and cooperation in their design and implementation. The SES requirements have been guided by a number of international conventions and instruments, including those of the International Labour Organization (ILO) and the United Nations (UN)³.</p> <p><u>Objectives:</u> 1)To promote, respect and realize fundamental principles and rights at work through:</p> <ul style="list-style-type: none"> • Supporting freedom of association and the effective recognition of the right to collective bargaining • Preventing the use of child labour and forced labour • Preventing discrimination and promoting equal opportunity of workers <p>2) To protect and promote the safety and health of workers; 3) To ensure applicable parties comply with employment and labour laws, applicable rules and regulations and international commitments; and 4)To leave no one behind by protecting and supporting workers in disadvantaged and vulnerable situations, including a special focus, as appropriate, on women workers, young workers, migrant workers, and workers with disabilities</p> <p>Scope of Application: The applicability of this Standard is established during the social and environmental screening and categorization process. The requirements of this Standard are to</p>

³ These conventions (see link) include among others: *ILO Convention 87 on Freedom of Association and Protection of the Right to Organize*; *ILO Convention 98 on the Right to Organize and Collective Bargaining*; *ILO Convention 29 on Forced Labour and Protocol of 2014*; *ILO Convention 105 on the Abolition of Forced Labour*; *ILO Convention 138 on Minimum Age (of Employment)*; *ILO Convention 182 on the Worst Forms of Child Labour*; *ILO Convention 100 on Equal Remuneration*; *ILO Convention 111 on Discrimination (Employment and Occupation)*; *ILO Convention 155 on Occupational Safety and Health*; *ILO Convention 161 on Occupational Health Services*; *UN Convention on the Rights of the Child, Article 32*. *UN Convention on the Protection of the Rights of all Migrant Workers and Members of their Families*

	<p>be applied in an appropriately scaled manner based on the of contracting and nature and scale of the sub- project, its specific activities, the project’s associated social and environmental risks and impacts, and the type of contractual relationships with project workers.</p> <p>3. The requirements regarding labour and working conditions apply to all project workers, including full-time, part-time, temporary workers. Project workers are hired or contracted by IPs, should be adhered to UNDP’ SES and WB’ESS that agreed on the requirements of this Standard are to be addressed by the IPs during implementing the relevant sub-project activities as indicated in the LMP.</p>
<p>Standard 8: Pollution Prevention and Resource Efficiency</p>	<p><u>Introduction:</u> The Pollution Prevention and Resource Efficiency Standard recognizes that increased industrial activity, urbanization, and intensive agricultural development often generate increased levels of pollution to air, water, and land, and consume finite resources in a manner that may threaten people and the environment at the local, regional, and global level. Pollution prevention and resource efficiency are core elements of a sustainable development agenda and UNDP projects must meet good international practice in this regard.</p> <p>This Standard outlines a project-level approach to pollution prevention and resource efficiency. Reduction of greenhouse gas emissions that contribute to climate change is addressed <i>in Standard 2: Climate Change and Disaster Risks</i>.</p> <p><u>Objectives:</u> 1)To avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from project activities; 2)To promote more sustainable use of resources, including energy, land and water; 3)To avoid or minimize programming-related emissions of short and long-lived climate pollutants and ozone-depleting substances; 4)To avoid or minimize generation of hazardous and non-hazardous substances and wastes, and promote a human rights-based approach to the management and disposal of hazardous substances and wastes; and 5)To promote safe, effective, environmentally sound pest management.</p> <p><u>Scope of Application:</u> The applicability of this Standard is established during the social and environmental screening and categorization process. Requirements of this Standard apply to projects that (i) aim to improve existing waste management practices; (ii) generate or cause generation of solid, liquid or gaseous waste; (iii) use, cause use of, or manage the use, storage or disposal of hazardous materials and chemicals, including pesticides; and (iv) that significantly consume or cause consumption of water, energy, or other resources⁴.</p>

NOTE: This provides a summary of key relevant requirements, the full SES (www.undp.org/ses) should be referenced for a comprehensive list of requirements.

Table (6) shows the UNDP’s SESs generally, UNDP applies its SES as indicated in the table (2) including SES 2,3, 7,8 and social and environmental management system (SEMS) and stakeholder’s engagement and response mechanism (SRM).

The main risks identified through the SESP are summarized below along with minimum requirements that need to be considered and indicative management measures.

In 2022 UNDP has updated its SESs based on the WB new ESSs, this creates great synergy between the two sets, even though UNDP has 8 SESs and WB has 10 ESSs (WB has more two instruments than UNDP as ESS1 and ESS 9).

⁴ Pollution prevention: Avoid the release of pollutants, and when avoidance is not feasible, minimize and/or control the intensity and mass flow of their release. Apply international good practices and World Bank Group EHS Guidelines.

Wastes: Avoid/minimize generation of waste, ensure recovery, reuse, proper treatment, disposal. Ensure reputable contractors and chain of custody

Hazardous Materials: Avoid/minimize release of hazardous In materials. If avoidance not possible, assess health risks, including differentiated effects on women, men, children. No manufacture or use of materials subject to international bans or phase-outs (Stockholm POPs, Montreal Ozone)

Pesticides: Apply IPM/IVM, avoid/reduce synthetics, utilize least harmful, prohibit use of WHO Class Ia & Ib pesticides and control Class II, handle per FAO International Code of Conduct

Resource Efficiency: Apply feasible, cost effective resource efficiency measures (e.g. reduce water usage) to ensure no significant adverse impacts on others/ecosystems.

4.1 Conflict

4.1.1 Activities That May Result in Conflict Risks

Because of the ongoing conflict in Yemen, all sub-projects need to be developed using a conflict sensitive approach to ensure activities don't exacerbate conflict or violence. In addition, local conflicts may occur due to competition over limited jobs and conflict between contractors and local laborers. This is a key element of UNDP's commitment to human rights in the SES.

4.1.2 Management Measures

Management measures include mainly developing a clear definition of targeting and selection criteria based on data provided by the UN Clusters; participatory preparation and implementation of subprojects by communities and relevant stakeholders (refer to ESMF section on Stakeholder Engagement for further detail); frequent communication with communities and local stakeholders; grievance redress/ stakeholder response mechanism procedures to ensure timely handling of grievance redress; and public disclosure of the reasons for the rejection of subprojects, if any, to increase transparency.

PWP, SFD and SMEPs apply a conflict-sensitive approach to prevent and detect conflict and respond quickly to potential conflicts. This helps ensure that the implementation process will do no harm and leads to effective development interventions.

The following steps are taken to ensure achieving such a goal :

- Through transparent allocation of funds that is based on national statistics indicators in the governorate and district levels, followed by coordination with local actors and inclusive participatory process, PWP/SMEPS/SFD will be reducing conflict over resources.
- Selection of the community beneficiaries is based on a transparent eligibility criteria and consultations with communities and local leaders.
- Before implementation and during the participatory consultations with local communities to define the interventions, PWP/SMEPS/SFD 's teams analyzes the context in which the project will be implemented to make sure that PWP/SFD's intervention will not cause a conflict or escalate an existing conflict in that particular area. This analysis enables PWP/SMEPS/SFD to understand the interaction between the intervention and the context in a particular area. The steps will be as follows:
 - Understanding the context in which the project will be implemented.
 - Carrying out a conflict analysis and sensitivity.
 - Understanding the interaction between the intervention and the Context.
 - Linking the conflict analysis with the programming cycle of the Intervention.
 - Using this understanding to avoid negative impacts and maximize positive impacts.
 - Implement, monitor and evaluate the intervention under a conflict-sensitive approach (including redesign when necessary).
- Ensuring transparency of the procurement process including those of community contracting. Community contracting is a secondary implementation modality by both SFD and PWP to maximize the role of communities in managing and implementing specific initiatives. Community contracting involves the target communities, through elected community committees, in planning, implementing and managing development initiatives through community contractors and local suppliers. Therefore, the eligibility conditions for community contractors are less demanding (e.g. no condition of two subprojects experience and financial capability) and the liability is shared between them and the IP, particularly in conducting safeguard training and awareness, recruiting technical staff, enforcing safeguards measures and providing insurance of workers.
- Expected environmental and social impacts (including OHS risks) are identified during the project's preparation and mitigation measures are included in the design and implementation plan. Where investments are required to implement the measures, ensure reflection of these measures quantified as pay-items in the tender bill of quantities.
- During the implementation process, PWP/SMEPS/SFD 's staff keeps;
 - Monitoring the situation to predict and recognize possible conflicts around the project and try to keep risks at a minimal level.
 - Following-up in order to strengthen the partnership with local authorities and community committees as important players in conflicts resolution.

- PWP/SMEPS/SFD does not interfere with resolving existing conflict or be part of any conflict. However, PWP/SMEPS/SFD's intervention might help in reducing existing conflict.
- PWP/SMEPS/SFD might work in an environment where a conflict is prevailing such as the conflicts resulting from the war in some areas in Yemen or are due to tribal conflicts. The staff in such a case are fully aware of the conflicts that surround their work and activities, but their project does not deal directly with the conflict.
- The evaluation of LIWP and other community-based interventions found that PWP/SMEPS/SFD's intervention increases community solidarity and cooperation.
- PWP/SMEPS/SFD 's complaint mechanism will be a complementing tool to catch shortcomings that may arise, through of the above precaution measures, and deal with them transparently and learn lessons from them to improve performance in future programs. Project-affected people will be informed of the complaint mechanism.

In addition, a Conflict Sensitivity Framework has been developed with the cooperation of UNDP, SFD, SMEPS and PWP. This Conflict Sensitivity Framework is designed for use by the implementing entity supported by UNDP.

It builds on significant work already done by the implementing agencies to develop practical ways of working that are conflict-sensitive – within and beyond the ESPECRP and AF1 & AF2 – and to build staff and organizational capacity on conflict-sensitive practices. This framework directly uses content produced by the implementing agencies, supplemented by tools and guidance from international experiences, and adapted to the unique context in Yemen and the focus of the ESPECRP and AF1 & AF2. The intention is to facilitate the integration of the framework with the tools and guidance SFD and PWP already have in place.

The focus of this framework was mostly on the project level.⁵ However, both the implementing agencies are committed to integrating conflict sensitivity across their work and institutionally – although they have different needs. There are also important strategic conflict sensitivity risks and opportunities that are outlined in a separate Conflict Sensitivity Assessment and Conflict Analysis and Conflict Sensitivity Briefing.

While this framework focuses on the WB funded projects, SFD, SMEPs and PWP implement many other projects with other donors, and it is important to avoid them having to implement separate frameworks for each donor. As such, the Conflict Sensitivity framework should be seen as one component of a broader set of institutional tools and practices that SFD, SMEPs and PWP can take forward beyond the WB funded projects. This approach is in line with the ESPECRP and AF1 & AF2 commitment to enhancing the capacity of local institutions to function effectively during the conflict in Yemen and to provide much-needed – and conflict-sensitive – service delivery capacity in a future, post-conflict context.

All partners are committed to implementing the framework in a way that includes ongoing consultations with SFD, SMEPs and PWP managers in headquarters and in the branch offices to draw on their in-depth knowledge of the specific issues in each local context and to effectively respond to the unpredictable context in Yemen. This will also enhance institutional ownership and the usefulness of the framework.

A core characteristic of conflict sensitivity is being able to track and be responsive to the context, which means the framework cannot be applied as a blueprint. Instead, it is designed to provide a structure for conflict sensitive practices that can be used consistently within the ESPECRP and AF1 & AF2 while being adaptable to the specific conditions on the ground. It may therefore be useful to set review points to reflect on how the implementing agencies have not only used this framework, but also what other support they may need to continue pursuing conflict-sensitive practice institutionally. (See the Conflict Sensitivity framework for in depth details). See Annex 11

4.2 Gender and Social Inclusion

With persistent gender gaps existing even prior to the conflict (i.e. in education, legal restrictions on mobility and decision-making, barriers to female participation in the labor force and in political life, and few opportunities for voice, paid work and entrepreneurial activity), women are more vulnerable to the economic, social and security challenges that result from the conflict and should thus be proactively reached for access to cash to improve their purchasing power for food and basic necessities. The stark gender gaps are influenced by and set within the context of conservative and strict gender norms. The project includes specific actions and design parameters to ensure the inclusion and participation of women. Such design parameters will ensure women are provided an equal opportunity to benefit from the employment opportunities (for example, targeting female-headed households, allowing flexibility in work hours, and providing on-site

⁵ This was the focus of the TORs for the assignment to produce the framework, with the aim of promoting consistent, conflict-sensitive practice across Project implementation.

childcare). Besides a Gender and GBV plan has been developed to eliminate and mitigate any potential risks (see Annex 11).

Consideration for IDPs, women, people with disability and youth as specific vulnerable groups are included in the targeting as well as type of intervention. Improving the livelihood of the most vulnerable groups is central to poverty reduction and achieving the sustainable development goals. It can contribute to greater social equity, inclusion, cohesion, and human capital formation in Yemen, all of which are critical to breaking the cycle of poverty, deprivation, and social exclusion. The Yemen ESPECRP and AF have been instrumental in introducing adaptive and shock responsive safety net responses.

4.2.1 Activities That May Result in Gender and Social Inclusion Impacts or Risks

The ESPECRP PF and AF1 & AF2 are implemented in the midst of the current crisis in Yemen, where women are adversely affected and at the same time asked to take on new and additional roles as heads of households or income-earners. In this light, ESPECRP and AF1 & AF2 implementation may have the potential to reproduce discrimination against women based on gender and age, if adequate gender mainstreaming considerations are not taken into account within the Project approach. Management Measures

To the extent possible, the ESPECRP and AF1 & AF2 will promote gender equality and the empowerment of women and seek to reduce gender inequalities in access to and control over resources and the benefits of development according to Gender and GBV Plan.

- Sub-projects will ensure that both women and men are able to participate meaningfully and equitably, have equitable access to project resources, and receive comparable social and economic benefits.
- Sub-projects will not discriminate against women or girls or reinforce gender-based discrimination and/or inequalities.
- Sub-projects will ensure precautionary measures are in place to prevent potential exposure of beneficiaries, workers, and affected people to sexual exploitation and abuse.
- Sub-projects will ensure precautionary and control measures are in place to prevent potential exposure of beneficiaries, workers, and affected people to health and safety hazards.

Based on partial implementation of ESPECRP so far many lessons have been learned and will be applied effectively to the AF1 as well as the AF2 to ensure women equity of inclusion and leave NO one behind through :

1. Involving male key officials and influencers to ensure acceptance of women participation
2. Regular training and awareness sessions to ensure that the community are fully aware on the importance of women increased participation
3. Gender sensitive responsive measures at worksite (flexible working hours and facilitate issuance of ID for women).
4. Establishment of GRM system and Referral pathway. (Partnership with UNFPA to refer sensitive cases to receive required services and treatment once occurs)
5. Designing of Suitable & innovative opportunities, to employ more women in CFW and ensure sustainability of women getting more benefits.
6. Activate women roles in decision making and engage male-gender supporters.
7. Support women to have more active roles in the value chain, to enhance partnership with private sectors
8. Applying community contracting approach with a special focusing on women
9. Strengthen collaboration and partnership with other UNDP projects, UN agencies and other concerned bodies to improve complementary efforts.
10. Resource mobilization and allocation to pilot gender innovative approach.

The project will build upon existing services and pilot new approaches to improve the quality of targeted social care services and economic opportunities for people with disabilities. The ESPECRP and AF1 & AF2 have mainstreamed gender issues and is addressing gender equality from project identification, site selection, management and oversight. Appropriate management measures taken by the project are explained below. The project has actively targeted women to support their income-generation opportunities and contribute to the delivery of community service and livelihood assets through Cash for Work (CfW) and Cash for Services (CfS) as well as Cash for Nutrition (CfN), and through earmarked funding dedicated to address severe and acute malnutrition for pregnant and lactating women (and children) by providing cash assistance (mothers are the recipients) and facilitating the affected families' access to nutrition services.

To ensure women are targeted by the project, in cash for work interventions, the targeting unit is the household. Women are encouraged to participate by measures that make their participation easier and acceptable to households and the community: allowing flexible hours of on-site work, providing on-site childcare (this will also hire a caregiver from the community), having the subproject at the community level and at a location close to the villages, and by consulting women on the types of subprojects they can participate in. Women are assumed to benefit equally from the community infrastructures created. Women are the primary beneficiaries of the water harvesting schemes as these reduce time and effort in fetching water, a responsibility for women and girls. Women also benefit from having latrines at home, they regain dignity and freedom from humiliation of open defecation. Women are also the primary wage participants and beneficiaries of nutrition-based service delivery.

Additionally, nutrition activities target households with pregnant and lactating women and children under the age of five. Primary focus is given to eligible households from the list of the Social Welfare Fund (SWF) households – the poorest of the poor – but will not be limited to them, as many poor HHs are excluded from the SWF program. Women and children of the SWF households receive cash assistance for 12 months conditions to attending health education sessions and follow up on the treatment (provided by the health sector). Wider community members with mothers and children underage of 5 who have been screened and identified with severe or acute malnutrition benefit from a transportation and accommodation allowance and a treatment allowance during the treatment period (6-9 months).

4.3 Biodiversity and Natural Resources

Conserving biodiversity, maintaining ecosystem services, and sustainably managing natural resources are fundamental to sustainable development. The ESPECRP and AF1 & AF2 will seek to maintain and enhance the goods and services provided by biodiversity and ecosystems in order to secure livelihoods, food, water and health, enhance resilience, conserve threatened species and their habitats, and increase carbon storage and sequestration. ESPECRP and AF1 & AF2 will also promote sustainable use of natural resources to support livelihoods of vulnerable communities as well as benefit sharing between biodiversity conservation and livelihoods restoration.

4.3.1 Activities That May Result in Biodiversity and Natural Resources Impacts or Risks

The project will intervene in fisheries, agriculture and livestock and water resources.

Fisheries (Low Risk)

Project activities to support small scale fishermen through the provision of boat engines in order to increase food production were found to have a Low-Risk Rating. The project will target artisanal fishermen using small boats with one off-board petrol engine. There is a low risk that the project may impact on biodiversity and natural resources through overexploitation of fisheries. Potential engine hazards (fire) and loss of power which are a major cause of accidents for small fishing boats (which often lack basic safety equipment, are too small and otherwise unsuitable for offshore operations). The small boat engines are not used in deep waters, consequently this reduces the possibility of overfishing additionally fishermen will use traditional methods of fishing. Some of the fishermen will be equipped with fish finders, to help expedite the search of the fish and thus economize the use of fuel. The design of this activity under ESPECRP and AF1 & AF2 have provisions to ensure sustainability, and the project will carefully monitor the activities of the fishermen during its implementation. This will include relevant training and capacity building for artisanal fishermen to ensure such risks are mitigated.

Small scale farming and livestock (Low to Moderate Risk)

Activities will include Rehabilitation of Farmlands, Rehabilitation of Farm Irrigation Infrastructure, Agriculture Infrastructure that only concerns building irrigation system and does not include activities such as activities such as construction of pit latrines, sewage networks, flood protection channels; terracing, rainwater harvesting Agriculture Input Provision, Support to Livestock Producers. Livestock activities involve the creation of yards in addition to the helping the farmers to maintain the herd and achieving food security. Potential risks of using pesticides and fertilizers are expected.

Although the projects are small scale in nature, the cumulative effects of providing support to communities may involve inadvertent impacts on ecosystems that can result in negative effect to ecosystem services provision and biodiversity. It may also include adverse impacts in handling of plastic and waste generated from agricultural inputs. When further sub-project screening is conducted more information will be available as to the extent of the impacts and management measures required.

Additionally, under the section below on Pollution standards, a mention of potential impacts of the use of pesticides in the activity related to provision of agriculture inputs is addressed in more detail.

4.3.2 Management Measures

Considerations for the identification of management measures are summarized below, and additional guidance can be referred to in the [SES Biodiversity Conservation and Natural Resource Management](#).

Precautionary approach: The ESPECRP and AF1 & AF2 applies a precautionary approach to the use, development, and management of natural habitats, the ecosystem services of such habitats, and living natural resources.

Assessment: As an integral part of the social and environmental assessment process, direct and indirect impacts on natural resources, biodiversity and ecosystem services in the Project's area of influence are identified and addressed. In sub-projects that present potential significant impacts on natural resources, biodiversity and ecosystem services an assessment process will consider, inter alia (i) risks of habitat and species loss, degradation and fragmentation, invasive alien species, overexploitation, hydrological changes, nutrient loading, pollution, and (ii) differing values (e.g., social, cultural, economic) attached to biodiversity and ecosystem services by potentially affected communities. Potential cumulative and induced impacts will be assessed. Project-related impacts across potentially affected landscapes should be considered.

Sustainable management of living natural resources: Living natural resources will be managed in a sustainable manner. Sustainable resource management is the management of the use, development, and protection of resources in a way, or at a rate, that enables people and communities, including indigenous peoples, to provide for their social, economic, and cultural well-being while also sustaining the potential for those resources to meet the needs of future generations. This includes safeguarding biodiversity and the life-supporting capacity of air, water, and soil ecosystems. Sustainable management also ensures that people who are dependent on these resources are properly consulted, women and men have opportunities to equally participate in development, and benefits are shared equitably.

UNDP will ensure sustainable resource management through the application of appropriate, industry-specific best management practices, and where codified, through application of one or more relevant credible standards as demonstrated by an independent verification or certification system.

For Projects that involve the production, harvesting, and/or management of living natural resources by small-scale landholders and/or local communities, UNDP will support adoption of appropriate and culturally sensitive sustainable resource management practices.

In addition to the general requirements related to management measures as stated in the section above, project context specific management measures were identified for each sector. Capacity building and awareness raising towards the use of pesticides and fertilizers will be given to beneficiaries to address the risks and mitigation measures needed.

Project specific management measures in addition to standard SES UNDP measures (fisheries)

The project will work with fishing associations responsible for ensuring that fishing protocols that establish procedures for sustainable fisheries are in place and adhered to, to protect fish stocks and regulate seasonal controls on fishing. Development and strict implementation of policy, legislative and management tools are working to ensure harvest level of coastal resources are maintained within the biological limits of Yemen's coastal zones.

The project will be implemented in line with the Biodiversity Conservation and Sustainable NRM of the SES which includes fishing management. The concept of sustainable and responsible fishing will be promoted through this partnership. Through its direct support to small scale fishermen, the project will improve community livelihoods and training on quality and resource sustainability to include the reduction of wastage.

Project specific management measures in addition to standard SES UNDP to be developed based on the local context:

Social and environmental risk assessments should be conducted to address, among other issues, potential effects and impacts related to climate variability, water pollution, sedimentation, water-related disasters, drinking water supply, energy production, agriculture, and fisheries.

4.4 Climate Change

Climate change is a fundamental threat to sustainable development and the fight against poverty. It has the potential to stall and even reverse human development through its impacts on key development sectors and activities, including agriculture and food production, water, ecosystems and other natural resources, disaster risk management and health.

Climate change may exacerbate extreme weather events, increasing the risk of high-impact disasters. Communities that are already subjected to impacts from climate change may experience an acceleration and/or intensification of impacts due to Project activities that do not integrate and anticipate climate change risks.

Yemen has a predominantly semi-arid to arid climate and is highly vulnerable to climate change-related impacts such as drought, extreme flooding, changes of rainfall patterns, increased storm frequency/severity, sea level rise. Literature show that the main sectors under stress are: water resources, agriculture, and coastal zones. Water scarcity related to prolonged droughts, evaporation, drying up of wells, excessive rainfall (which produces flash flooding and can potentially wipe out crops) caused by rainfall variability are the key issues.

ESPECRP and AF1 & AF2 sub-projects will aim to be sensitive to climate change risks and not contribute to increased vulnerability to climate change.

4.4.1 Activities That May Result in Climate Change Impacts and Risks

The ESPECRP and AF1 & AF2 activities related to agriculture (including farming, livestock) and fisheries, will be most sensitive and vulnerable to the impacts of climate change. Infrastructure in areas of potential flooding may also be at risk.

Some project activities that aim to increase water availability, may inadvertently lead to depletion of groundwater resources or diversion of sources to some communities in detriment of others, if not properly managed based on appropriate climate risk information and management measures.

4.4.2 Management Measures

Climate change risk assessment: As an integral part of the social and environmental assessment process, Proposed subprojects are screened and assessed for climate change-related risks and impacts of and to projects. PWP and SFD will ensure relevant climatic information is identified and inform project design and management measures. If significant potential risks are identified, further scoping and assessment of vulnerability, potential impacts, and avoidance and mitigation measures, including consideration of alternatives to reduce potential risks, will be required. In projects, or a portfolio of projects, that present climate change risk, a climate change risk assessment may include the following, where relevant:

- a) Potential project-related increases in emissions that may exacerbate climate change, such as GHG emissions and black carbon emissions.
- b) The viability or longer-term sustainability of project outcomes due to potential climate change. This will involve the identification of components that are sensitive or vulnerable to emerging or anticipated manifestations of climate change.
- c) Risks that a project may increase exposure to climate change. Project components must be assessed for potential unintended or unforeseen increases in vulnerability to climate change.
- d) Potential social, gender, and age risks, based on the differentiated impacts of climate change.
- e) Opportunities for (i) facilitating adaptation via synergies with existing or planned activities, (ii) combining mitigation (e.g., reduction in GHG emissions) and adaptation measures, and (iii) exploiting potentially beneficial changes in climatic or environmental conditions to deliver developmental benefits.

4.5 Community Health, Safety and Working Conditions (including OHS)

The Social Protection and COVID-19 Response Project (ESPECRP) and AF1 & AF2 will avoid or minimize the risks and impacts to community health and safety that may arise from project-related activities, with particular attention given to marginalized groups. This includes Occupational Health and Safety (OHS) risks. Labor is one of a country's most important assets in the pursuit of poverty reduction. Respect of workers' rights and the provision of safe working conditions are keystones for developing a strong and productive workforce. The Social Protection and COVID-19 Response Project (ESPECRP) and AF1 & AF2 apply the World Bank Group Environment, Health and Safety (EHS) guidelines.

4.5.1 Activities That May Result in OHS and Community Health, Safety, Working Condition Impacts and Risks

Sub-project activities, equipment, and infrastructure can increase community exposure to risks and impacts. While this is identified as a High Risk, some projects have experienced high levels of OHS risks. This has therefore been identified as a priority risk and management measures put in place to reduce risk and manage risks.

Such project activities such as working from height, excavation works, rock extraction, working in confined areas, lone workers, traffic, and weak management of work site such as housekeeping and access and egress controls, may pose hazards and risk threats to the workers and local community members. Exacerbating this, stakeholders have noted that there is a weak culture of using safety precautions and safety gear in Yemen.

In addition, given the context in Yemen, there is a risk of airstrikes that needs to be minimized to the extent possible. Another challenge is that some of the safety gear needed is not always available in the local markets.

Moreover, implementing partners and members of community organizations would be interacting with communities to inform them about the subprojects and support them to prepare the applications. These interactions would increase the risk of exposure to the Covid-19 virus for these workers and also the risk for the communities, especially if proper hygiene, safety precautions and social distancing measures are not adhered to.

Basic services, such as water and sewer, may face disruption and present community health and safety risks to those affected.

Project activities that may trigger such risks to local communities and workers are detailed under the “Hazard identification, risk assessment and determining controls” section of the latter report and in the UNDP’s Social and Environmental Screening Procedure and Bank ESF are listed below:

- Project construction, operation (fall from heights (human and material), slip, trip and falls, transportation including traffic injuries, material and equipment handling and transfer, excavation work, others e.g. weather elements, physical exertion, etc.)
- Failure of structural elements of the project may pose risks to communities (e.g. collapse of buildings or infrastructure, demolishing work, accumulation of soil)
- Potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections)
- Project implementation activities result in a disruption in basic services, such as water or sewer, temporarily affecting the local community.
- Risks related to ongoing conflict in Yemen and potential for airstrikes.

4.5.2 Management Measures

Appropriate management measures have been put in place. A detailed OHS risk categorization was developed for the purpose of identifying sub-project level risks to enable halting any salient high-risk projects from further implementation until adequate management measures are put in place. Additionally, support to the Responsible Parties has been reinforced by training and monitoring and capacity assessments.

Community health and safety: Community health and safety refers to protecting local communities from hazards caused and/or exacerbated by project activities including flooding, landslides, contamination or other natural or human-made hazards, disease, and the accidental collapse or failure of Project structural elements such as dams. Project-related activities may directly, indirectly or cumulatively change community exposure to hazards. A significant concern with major development projects is the spread of communicable diseases such as the Covid-19 from the workforce to the surrounding communities.

Risks to, and potential impacts on, the safety of affected communities and workers during the design, construction, operation, and decommissioning of projects will be assessed to establish preventive measures and plans to address them in a manner commensurate with the identified risks and impacts. These measures will favor the prevention or avoidance of risks and impacts over their minimization and reduction. Consideration will be given to potential exposure to both accidental and natural hazards, especially where the structural elements of the project are accessible to members of the affected community or where their failure could result in injury to the community. Sub-projects will avoid or minimize the exacerbation of impacts caused by natural or man-made hazards, such as landslides or floods that could result from land use changes due to activities.

Infrastructure and equipment design and safety: Structural elements of schools, health centers, etc will be designed and constructed by competent professionals and certified or approved by competent authorities or professionals. Structural design will take into account climate change considerations, as appropriate. Sub-projects with structural elements or components whose failure or malfunction may threaten the safety of communities, will ensure that: (i) plans for Project supervision, operation, and maintenance are developed and monitored; (ii) independent expertise on the verification of design, construction, and operational procedures is used; and (iii) periodic safety inspections are carried out.

Safety of services: Where the project involves provision of services to communities, UNDP will establish and implement appropriate quality management systems to anticipate and minimize risks and impacts that such services may have on community health and safety.

Traffic and road safety: The potential traffic and road safety risks to workers, affected communities and road users will be identified, evaluated, mitigated, in order to give proper measure to safety signage on the roadway to prevent accidents, and monitored throughout the project life cycle and, where appropriate, measures and plans will be developed to address them. All road safety incidents and accidents shall be recorded and reported to identify negative safety issues and establish and implement measures to resolve them.

Ecosystem services: Where appropriate and feasible, responsible parties will identify the project's potential risks and impacts on ecosystem services that may be exacerbated by climate change. Adverse impacts will be avoided, and if they are unavoidable, appropriate mitigation measures will be implemented.

Management and safety of hazardous materials: The responsible parties will avoid or minimize the potential for community exposure to hazardous materials and substances that may be released by the project. Where there is a potential for the public (including workers and their families) to be exposed to hazards, particularly those that may be life threatening, special care will be exercised to avoid or minimize their exposure by modifying, substituting, or eliminating the condition or material causing the potential hazards. Measures and actions to control the safety of deliveries of hazardous materials, and of storage, transportation and disposal of hazardous materials and wastes will be implemented, as well as measures to avoid or control community exposure to such hazardous material.

Emergency preparedness: Responsible Parties will be prepared to respond to accidental and emergency situations in a manner appropriate to prevent and mitigate any harm to people and/or the environment. This preparation, reflected in planning documents, will include the identification of areas where accidents and emergency situations may occur, communities and individuals that may be impacted, response procedures, provision of equipment and resources, designation of responsibilities, communication, and periodic training to ensure effective response. Risk hazard assessment (RHA) will be conducted, as part of the environmental and social assessment. Based on the results of the RHA, the Recipient will prepare an Emergency Response Plan (ERP) in coordination with the relevant local authorities and the affected community, and will take into account the emergency prevention, preparedness and response arrangements put into place with project workers.

An emergency response plan will include: (a) engineering controls (such as containment, automatic alarms, and shutoff systems) proportionate to the nature and scale of the hazard; (b) identification of and secure access to emergency equipment available on-site and nearby; (c) notification procedures for designated emergency responders; (d) diverse media channels for notification of the affected community and other stakeholders; (e) a training program for emergency responders including drills at regular intervals; (f) public evacuation procedures; (g) designated coordinator for ERP implementation; and (h) measures for restoration and cleanup.

The emergency preparedness and response activities will be periodically reviewed and revised, as necessary to reflect changing conditions. The differential impacts of emergency situations on women and men, the elderly, children, disabled people, and potentially marginalized groups will be considered, and the participation of women in decision-making processes on emergency preparedness and response strategies will be strengthened. Appropriate information about emergency preparedness and response activities, resources, and responsibilities will be disclosed to affected communities.

Community exposure to disease: Sub-projects will avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases, and communicable diseases that could result from activities, taking into consideration the differentiated exposure to and higher sensitivity of marginalized groups. Sub-projects will avoid or minimize transmission of communicable diseases that may be associated with the influx of temporary or permanent project labor. Standing water will be minimized, covered or treated to minimize mosquito breeding. Local authorities and the Responsible Parties will coordinate closely to ensure any disruption to local basic services, such as water and sewer, is avoided where possible and otherwise minimized. Gender-based Violence

(GBV)/Sexual Exploitation and Abuse (SEA)/Sexual Harassment (SH): Stand-alone GBV Action Plan was prepared for the parent project and updated for the AF, and it includes a deep SH/SEA risk assessment. Sub-projects will ensure that gender sensitive interventions are mainstreamed across all project components, creating pathways for employment and participation in society and playing a key role in building resilience to shocks, improving livelihoods and mitigating social constraints. A GBV assessment will be undertaken for subprojects prior to implementation to have a sense of potential GBV, Sexual Exploitation and Abuse (SEA)/SH. The assessment will be conducted to cover activities under components 1 and 2 since there will be interaction between male workers and female beneficiaries and laborer provided by contractors. Also,

Labor influx: In some cases, worker unavailability or lack of technical skills and capacity among local community will require the implementing partner/ contractor to bring skilled labor from outside the project area. Depending on the size and the skill level of the local workforce, a share of the workers required for the project will be recruited locally. This is generally easier for unskilled workers, while more specialized staff (typically required in smaller numbers) frequently will be hired from nearby communities, hence no camps will be required. UNDP, PWP, SMEPs, SFD will ensure Transparent local community engagement and participation during initial project decision-making and continue routinely throughout the life of the project through GRMs to ensure effective Information disclosure, community involvement.

Work standards: Sub-projects will comply with national labor and occupational health and safety laws, with obligations under international law, and consistency with the principles and standards embodied in the International Labor Organization (ILO) fundamental conventions, including freedom of association, elimination of discrimination in employment and occupation, elimination of forced or compulsory labor, elimination of the worst forms of child labor, and implementing partners/contractors will be required to prepare and draft Code of Conduct that workers have to explicitly acknowledge at recruitment. The (ESPECRP) and AF1 & AF2 will not employ people under the age of 18; however, youth that are 16-17 years old may be eligible for employment training and capacity building. Hence documentary evidence (passport, identity card or birth certificate) of all workers prior to involving them on activities of the project, shall be verified. This applies for: Direct workers, contracted workers, and community workers.

Occupational health and safety: Occupational health and safety refers to protecting workers from accident, injury or illness associated with exposure to hazards encountered in the workplace. Hazards can arise from materials (including chemical, physical and biological substances and agents), environmental or working conditions (e.g. oxygen-deficient environments, excessive temperatures, improper ventilation, poor lighting, faulty electrical systems), or work processes (including tools, machinery and equipment).

Responsible Parties' commitment to the OHS Framework strengthens compliance with OHS requirements and protection of staff/workers, as well as middle management accountability to implement the policy and roles and responsibilities and enforcing control measures and procedures. Risk assessment will be conducted for each sub-project site to identify potential hazards and risks and develop and implement corresponding risk control measures. Safety measures hierarchy will be applied starting with hazard elimination, substitution, engineering controls, administrative controls and finally use of PPEs. Furthermore, safe working systems and procedures will be developed and used as well as permit-to-work forms for high-risk activities to control risks, authorize implementation confirming compliance to OHS requirements and ensuring close monitoring and inspections to control and minimize risks. In addition, the development of positive OHS behavior and strong culture of adherence and compliance to OHS systems will be promoted including setting up and activating branch OHS committees and conducting of field inspections regularly by managers to ensure improvement of OHS systems and practices and promote conducive OHS culture.

Workers will be provided with a safe and healthy working environment, taking into account risks inherent to the particular sector (including gender bias) and specific classes of hazards in the work areas. Steps will be taken to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work and will ensure the application of preventive and protective measures consistent with the World Bank Group's Environmental, Health, and Safety Guidelines and other international good practice, as reflected in internationally recognized standards. The involved workers will be insured, and the insurance payment will be covered directly by the IP in case of direct implementation modality and as a pay item through bills of quantities in the bidding documents in case of contracting modality. UNDP in cooperation with IPs has developed an OHS framework that details all the guidelines and requirements under the ESPECRP project and AF1 & AF2. Please refer to the OHS Framework for details (Framework for Actions on Occupational Health and Safety (Annex 5)

Security-related issues: Sub-projects under ESPECRP and AF1 & AF2 do not engage security personnel. In case sub-projects do require involvement and engagement of security personnel to protect facilities and personal property,

security arrangements should be provided in a manner that does not violate human rights or jeopardize the community's safety and security. Potential risks posed by security arrangements to those within and outside the project area will be assessed, those providing security will be appropriately vetted and trained, and security arrangements will be appropriately monitored and reported.

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In summary, no non-compliance occurrences have been reported related to child labor during the implementation of ESPCERP parent fun and AF1 by all Ips. A total of 1,176,832 working hours has been reported by SFD with total of 10 accidents of which all were minor that required first aid treatment at project site. PWP has reported 839,680 working hours and 37 accidents of which 4 required first aid at site and the rest were anomalies with no injuries

4.6 Cultural Heritage

The ESPECRP and AF1 & AF2 seek to ensure that Cultural Heritage is protected in the course of sub-project activities. UNDP seeks to ensure equal participation, access and contribution of women and men in protecting and sharing the benefits of Cultural Heritage.

The Standard applies to sub-projects that may adversely impact Cultural Heritage, including projects that meet any of the following criteria: (i) located in, or in the vicinity of, a Cultural Heritage site; (ii) involving significant excavations, demolitions, movement of earth, flooding, or other environmental changes; (iii) proposes to utilize tangible or intangible forms of Cultural Heritage for commercial or other purposes. (iv) interventions to preserve the cultural heritage sites.

4.6.1 Activities That May Result in Cultural Heritage Impacts and Risks

As the project conducts small scale infrastructure activities related to community works such as road paving, community reservoirs and piping, and repairing of school buildings in addition to others these project activities, although many do not target the rehabilitation of Cultural Sites directly, they may be located in the vicinity of cultural heritage sites and consequently produce unintended negative effects on such sites. In addition, those sub-projects that target the preservation and protection of cultural heritage need to ensure risk management measures are in place.

4.6.2 Management Measures

Avoidance: Avoid significant adverse impacts to Cultural Heritage through alternative project siting and design. The impacts on Cultural Heritage resulting from project activities, including mitigating measures, may not contravene the national legislation, or its obligations under relevant international treaties and agreements.

Mitigation: Where potential adverse impacts are unavoidable, appropriate mitigation measures will be identified and incorporated as an integral part of the social and environmental assessment process. Where potential adverse impacts may be significant, a Cultural Heritage Management Plan should be developed as part of the Environmental and Social Management Plan (ESMP).

Use of experts: For projects with potential adverse impacts, qualified and experienced independent experts will assess the project's potential impacts on Cultural Heritage using, among other methodologies, field-based surveys and involving meaningful, effective, and informed stakeholder consultations as part of social and environmental assessment process. Note that SFD has a Cultural Heritage unit.

Use of Cultural Heritage: Where a project proposes to utilize Cultural Heritage, including the knowledge, innovations, or practices of local communities, affected communities will be informed of their rights, the scope and nature of the proposed development, and the potential consequences of such development. The project will not proceed without meaningful, effective participation of affected communities and unless (i) good faith negotiations with affected communities result in a documented outcome, and (ii) the Project provides for fair and equitable sharing of benefits from any commercialization of such knowledge, innovation, or practice, consistent with the affected community's customs and traditions. For Projects that propose to utilize Cultural Heritage of indigenous peoples, the requirements of Standard 6: Indigenous Peoples apply.

Chance finds procedure: When the social and environmental assessment process determines that Cultural Heritage is expected to be found in the project area, chance find procedures will be included in the ESMP/ ESAP. In case of a suspected chance finds is located the following steps will be followed:

- 1- work at subproject site will be suspended immediately
- 2- technical engineer to notify the consultant engineer and subproject officer immediately

- 3- the area will participate to prevent any damage to the area.
- 4- Competent specialist will conduct investigation on the area to evaluate the situation
- 5- Implementing partner will initiate communication with concerned governmental agency.
- 6- Implementing partner will prepare a report detailing the location, time and the finding and what steps has been taken.

Chance finds will not be disturbed until an assessment by a competent specialist is made and actions consistent with these requirements are identified.

Conditions for removal: The project will not remove any Cultural Heritage unless the following conditions are met: (i) no alternatives are available; (ii) the overall benefits of the Project substantially outweigh the anticipated Cultural Heritage loss from removal; and (iii) any removal employs best available techniques and is conducted in accordance with relevant provisions of national and/or local laws, regulations, and protected area management plans and national obligations under international laws.

XX No cases of chance finding have occurred during the period of the parent fund nor the AF1.

4.7 Pollution Prevention and Resource Efficiency

4.7.1 Activities That May Result in Pollution and Resource Efficiency Impacts and Risks

The project will support the construction and/or rehabilitation of pit latrines, provide agriculture inputs that may include pesticides, support small health care centers infrastructure or services, cleaning up public spaces that may include municipal solid waste, and sewage that may include hazardous/human excreta and waste.

Pit latrines may consist of a pit—circular, rectangular, or square—dug into the ground and covered with a concrete slab or floor with a hole through which excreta falls. Unimproved pit latrines are those without slabs or platforms. In the latter there are risks of groundwater contamination. Additionally, the project will intervene by providing small scale farmers with agriculture inputs, that may involve pesticides. Other activities may include the improvement of health care services through support to small health care center and cleaning of public spaces including municipal solid waste.

In relation to pit latrines, there are ecological health impacts that may be associated with microbiological and chemical contamination of groundwater due to use of latrines. In Yemen, the main drinking water sources are extracted from groundwater (in addition to rainwater harvesting). A concern is that pit latrines, that generally lack a physical barrier, such as concrete, between stored excreta and soil and/or groundwater. Contaminants from pit-latrines excreta may potentially leach into groundwater, thereby threatening human health through well-water contamination.

Agriculture related interventions which include the use of pesticides trigger both environmental, health and occupational health and safety concerns. Runoff waters from agriculture may contaminate local drinking water sources, such as streams, wells, other leading to potential disease downstream. Project workers and small-scale farmers handling pesticides are also exposed to health hazards. Potential hazards of using fertilizers is they seep through the soil into the groundwater and other water sources, leading to contamination. Thus, projects will not use products that fall in Classes Ia (extremely hazardous) and Ib (highly hazardous) of the World Health Organization Recommended Classification of Pesticides by Hazard

Support to small health care centers may include rehabilitation of sewage systems that may consequently involve hazardous wastewater. Cleaning up of public spaces may involve the handling, disposal and transport of municipal solid waste. Waste may also be generated during construction and implementation activities.

4.7.2 Management Measures

Pollution prevention: Sub-projects will avoid the release of pollutants, and when avoidance is not feasible, minimize and/or control the intensity and mass flow of their release. This applies to the release of pollutants to air, water, and land due to routine, non-routine, and accidental circumstances.

Pollution prevention and control technologies and practices consistent with international good practice are applied during the project life cycle. The technologies and practices applied will be tailored to the hazards and risks associated with the nature of the Project.

When construction of pit latrines appropriate siting is necessary to ensure no leaks will happen to the ground water and this will be addressed in the design of the subproject to ensure latrines are not placed near to ground water source.

Ambient considerations: To address adverse impacts on existing ambient conditions (such as air, surface water, groundwater, and soils), a number of factors will be considered, including the finite assimilative capacity of the environment, existing and planned land use, existing ambient conditions, the Project's proximity to ecologically sensitive or protected areas, the potential for cumulative impacts with uncertain and irreversible consequences, and strategies for avoiding and minimizing the release of pollutants.

Wastes: Sub-projects will avoid the generation of hazardous and non-hazardous waste materials. Where waste generation cannot be avoided, Projects will reduce the generation of waste, and recover and reuse waste in a manner that is safe for human health and the environment. Where waste cannot be recovered or reused, it will be treated, destroyed, or disposed of in an environmentally sound manner that includes the appropriate control of emissions and residues resulting from the handling and processing of the waste material. If the generated waste is considered hazardous, reasonable alternatives for its environmentally sound disposal will be adopted while adhering to the limitations applicable to its transboundary movement. When hazardous waste disposal is conducted by third parties, UNDP and Implementing Partners will ensure the use of contractors that are reputable and legitimate enterprises licensed by the relevant government regulatory agencies and that chain of custody documentation to the final destination is obtained.

Hazardous materials: Projects will avoid or, when avoidance is not feasible, minimize and control release of hazardous materials resulting from their production, transportation, handling, storage and use. Where avoidance is not possible, the health risks, including potential differentiated effects on men, women and children, of the potential use of hazardous materials will be addressed in the social and environmental assessment. Projects will consider the use of less hazardous substitutes for such chemicals and materials and will avoid the manufacture, trade, and use of chemicals and hazardous materials subject to international bans or phase-outs due to their high toxicity to living organisms, environmental persistence, potential for bioaccumulation, or potential for depletion of the ozone layer.

Pesticide use and management: While pesticide use is not anticipated, sub-projects that may involve pest management activities, integrated pest management approaches will be applied and aim to reduce reliance on synthetic chemical pesticides. The integrated pest/vector management programme will entail coordinated use of pest and environmental information along with available pest/ vector control methods, including cultural practices, biological, genetic and, as a last resort, chemical means to prevent unacceptable levels of pest damage. When pest management activities include the use of pesticides, pesticides that are low in human toxicity, known to be effective against the target species, and have minimal effects on non-target species and the environment will be selected. The health and environmental risks associated with pest management should be minimized with support, as needed, to institutional capacity development, to help regulate and monitor the distribution and use of pesticides and enhance the application of integrated pest management.

Projects will not use products that fall in Classes Ia (extremely hazardous) and Ib (highly hazardous) of the World Health Organization Recommended Classification of Pesticides by Hazard. WHO Class II (Substantially hazardous) pesticides will not be used if the relevant Responsible Party lacks restrictions on distribution and use of these chemicals or facilities to handle, store, apply and dispose of these products properly, or if they are likely to be accessible to personnel without proper training and equipment. Pesticides will be handled, stored, applied and disposed of in accordance with international good practice such as the FAO International Code of Conduct on the Distribution and Use of Pesticides.

Capacity building and awareness raising towards the use of pesticides and fertilizers will be given to beneficiaries to address the risks and mitigation measures needed

4.8 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement

ESS5 recognizes that project-related land acquisition and restrictions on land use can have adverse impacts on communities and persons. Project-related land acquisition or restrictions on land use may cause physical displacement (e.g., relocation, loss of residential land, or loss of shelter), economic displacement (e.g. loss of land, assets, or access to assets, leading to loss of income sources or other means of livelihoods), or both. The term "involuntary resettlement" refers to these impacts. Resettlement is considered involuntary when affected persons or communities do not have the right to refuse land acquisition or restrictions on land use that result in displacement.

4.8.1 Management Measures

The project will not be implemented in land that is restricted, acquitted or has involuntary resettlement. There will be obtained consensus first in using any common resources.

There would be no involuntary resettlement and that land would be acquired through voluntary land donation only.

Only three main conditions are accepted:

- Public property
- A waiver document from the owner of the land
- Land purchase document by local council

The waiver or purchase document shall be baptized by the local council, and beneficiaries committee, or by the court and it shall be attached with project documents. XXX

There was no negative impact on any private land nor assets caused by the project during the implementation of the parent fund and AF1. While some subprojects which counts for less than 5% of the total subprojects required land donation, Ips had ensure that all procedures are followed and:

- the potential donor or donors have been appropriately informed and consulted about the project and the choices available to them.
- potential donors are aware that refusal is an option and have confirmed in writing their willingness to proceed with the donation.
- the amount of land being donated is minor (less than 10%) and will not reduce the donor's remaining land area below that required to maintain the donor's livelihood at current levels.
- no household relocation is involved.
- the donor is expected to benefit directly from the project; and
- for community or collective land, donation can only occur with the consent of individuals using or occupying the land.

UNDP and IP's will maintain a transparent record of all consultations and agreements reached.

5 Procedures to Address Social and Environmental Risks and Impacts

5.1 Sub-Project Screening and Classification Requirements

The ESPECRP and AF1 & AF2 will support a series of sub-projects over the course of its implementation. The type of sub-projects is described in Section 2 of this ESMF. Each sub-project will be screened for social and environmental risks (including OHS risks) and impacts applying PWP, SMEPs, and SFD screening tools which already reviewed and aligned with WB ESF and are annexed to this instrument. Screening and classification will be completed prior to approval of sub-projects and signing of the Financial Agreement. The screening of sub-projects will also be updated if there are any significant changes in the sub-project's design or context that may materially change its social and environmental risk profile.

. Based on the screening, the sub-project is categorized according to the degree of potential social and environmental risks and impacts. In some cases, applicability of specific SES requirements will need to be determined through additional scoping, assessment, or management review. The screening process results in one of the following three categories for the proposed sub-project

- Low Risk: Projects that include activities with minimal or no risks of adverse social or environmental impacts. Further assessment of potential adverse social and environmental risks and impacts is not required.
- Moderate Risk: Projects that include activities with potential adverse social and environmental risks and impacts, that are limited in scale, can be identified with a reasonable degree of certainty, and can be addressed through application of standard best practice, mitigation/control measures and stakeholder engagement during Project implementation.
- Substantial Risk: Substantial Risk Projects will require social and environmental assessment and review to determine how the potential impacts identified in the screening will be avoided or when avoidance is not

possible, minimized, mitigated and managed. A site-specific Environmental and Social Management Plan (scaled to nature of the risks) should be in place to ensure risks are managed.

- **High Risk:** Projects that include activities with potential significant and/or irreversible adverse social and environmental risks and impacts (including OHS), or which raise significant concerns among potentially affected communities and individuals as expressed during the stakeholder engagement process. High Risk activities may involve significant impacts on physical, biological, socioeconomic, or cultural resources. Further impact assessment is required, and an Environmental and Social Management Plan will be in place. High Risk Projects require tight control measures, closer monitoring, enhanced internal and external support.

Note that High Risk sub-projects will be excluded from Yemen ESPECRP and additional financing. If high level risks are identified during implementation, Senior management of the Responsible Party and UNDP Project Manager will be notified immediately, and relevant activities will be halted until management measures put in place to reduce the levels of risk.

Screening results inform final appraisal and sub-project approval. An initial gap analysis shows that PWP and SFD screening processes are generally aligned with SES requirements, as described below

SFD, and PWP undertakes screening of the subproject proposals and classifies subprojects into high, substantial, moderate, and low. The classification is based on the significance of impacts which depend on the type, location, sensitivity, and scale of the subproject and the nature and magnitude of its potential environmental impacts. Accordingly, Low Risk are those which are known to have no adverse environmental and social impacts and accordingly will not require any further assessment or follow-up, and, in this case, it was agreed with the WB safeguard team that Low risks subproject will need to have environmental, social and safety clauses. Ips (SFD and PWP) has already drafted clauses and it has been revised by UNDP and approved by WB. Any subproject rated low as per will share the clauses and sub project information such as sub project title, ID, location, implementing modality, Department Implementing, Estimated Cost, scope of work with UNDP and UNDP will revise the information for the intervention and its screening to ensure it fills under low risk and it has all the related mitigation measures and then inform the WB. Once WB has been informed then will start the implementation of the interventions accordingly.

Moderate risks interventions are those that are likely to have moderate environmental and social impacts that are temporary and/or site specific and can be reduced/avoided/mitigated with the implementation of appropriate mitigation measures, and these subprojects would require a scoping to be undertaken and in this case a limited site specific Environmental and Social Action Plan is prepared.

Substantial risks interventions are those that are likely to have limited adverse environmental and social impacts that are temporary and/or site specific and can be reduced/avoided/mitigated with the implementation of appropriate mitigation measures, and these subprojects would require a scoping to be undertaken and, in this case, a limited site specific Environmental and Social Management Plan is prepared.

At the design stage, a checklist of expected environmental and social impacts to be addressed is completed by an assigned Environmental and social specialist. This is an important stage as it will pave the way for the implementation and operation stages. All the expected environmental and social impacts will be identified at this stage and the mitigation measures will be designed and incorporated in the subproject design and tender documents, particularly, in the BoQ so that it becomes obligatory. The checklist contains questions about the expected environmental and social mitigation measures to be included in the project documents for each type of intervention and the answer will be "Yes" or "No". If the answer is "No", then the consultant will need to justify this in the design report, and also include the completed checklist as an annex to the design report

If the sub-project involves more than one type of activity, all relevant checklists should be completed. Screening results in substantial and moderate will require development of ESAPs and ESMPs by SFD / PWP safeguard team and will be sent to UNDP and WB for clearance as well as be recorded in the MIS. SMEPS applies similarly as SFD and will develop ESMPs regarding MFI and MSME and applies the WB's ESSs and UNDP's SESs, if the risk is categorized as moderate or substantial.

Annex 2 shows summary of project activities, risks and measurement measures for the Responsible Parties (SFD and PWP)

5.2 Site-Specific Assessment and Management Requirements

UNDP SES and SESP as well as the World Bank Environmental and Social Framework' ESF require that social and environmental assessments and adoption of appropriate mitigation and management measures be completed, disclosed, and discussed with stakeholders prior to implementation of any activities that may cause adverse social and

environmental impacts. An indicative outline for an Environmental and Social Management Plan as well as Environmental and Social Action Plans are provided in Annex 4.

Environmental and Social Management Plans (ESMPs) will be undertaken for all Substantial Risk sub-projects once project activities/sub-projects and sites are identified, the Environmental and Social Action plans (ESAPs) will be undertaken for all moderate risk subprojects, in case of low risks subproject will need to have environmental, social and safety clauses. The assessment(s) will be conducted in a manner consistent with national regulations, PWP, SMEPs or SFD operating procedures, the UNDP SES, and the World Bank’s Environmental and Social Framework and lead to the development of appropriately scaled management measures and plans to address the identified risks and impacts.

OHS requirements will be incorporated in site-specific ESMPs as well as ESAPs so that it is effectively implemented, and its implementation is properly monitored. Site specific Environmental and Social Management Plan (ESMPs) or Environmental and Social Acton Plan include occupational health and safety measures related to safety of workers and other persons, noise, maintenance of equipment, prevention of spread of diseases, debris, cleanliness, ease of movement, any social disputes and general appearance of the subproject including tree planting, etc.

The IPs shall establish, implement and maintain procedures to monitor and measure OH&S performance on a regular basis. These procedures shall provide for: both qualitative and quantitative measures, proactive and reactive measures of performance.

All site-specific assessments and management plans both (ESAPs and ESMPs) will be **cleared by World Bank and UNDP**. In cases where similar activities are being conducted in a particular region, these activities may be grouped and covered under one site-specific ESMP/ESAP. All ESMPs/ESAPs will be available upon request.

under the parent fund a total of 41 ESMPs has been developed by Ips and cleared by WB that cover 271 subproject and a total cost of \$ 24,792,038. Below table summarizes the ESMPs per IP

	PWP	SFD
ESMP	18	23
Subprojects	172	99
Cost USD	\$ 11,506,950	\$ 13,285,088.00

under the additional fund a total of 4 ESAPs has been developed by PWP that cover 8 subproject and a total cost of \$ 534918.

6 Institutional Arrangements and Capacity Building

6.1 General Management Structure and Responsibilities

6.1.1 World Bank

The ESPECRP and AF1 & AF2 are funded by the World Bank. A World Bank senior management task team has been established to oversee and make decisions about remedies in connection with the UNDP-implemented activities. In particular, the task team’s functions/responsibilities consist of: (a) reviewing periodic financial progress and results reports measured by targets and benchmarks agreed at the time of project approval; (b) applying the agreed process for dealing with serious issues, including significant social and environmental issues; (c) reviewing progress reports on actions taken to address a serious situation and results obtained, including details of any recovery of funds or write-off of losses; and (d) exercising remedies of suspension and termination in accordance with the provision of the legal agreement, if necessary (see World Bank documents (P173582, P177020, and P180358).

The World Bank is also engaged in the Biannual Review Meetings (see Section 6.1.2) and conducts Technical Review Missions.

6.1.2 Project Board (Biannual Review Meetings)

The Project Board (UNDP, World Bank, PWP, SFD) has oversight and advisory authority, representing the highest body for coordination, strategic guidance, oversight and quality assurance. The body facilitates collaboration between UNDP, the two responsible parties (PWP and SFD), the World Bank, and other stakeholders for the implementation of the

Project. The Project Board reviews and endorses the Annual Work Plans (AWPs), provides strategic direction and oversight, reviews implementation progress, and reviews narrative and financial progress reports. The Project Board will be convened by UNDP and meet at least on a 6-monthly basis. It will coincide with the timing of World Bank technical review missions.

A progress report will be presented to the Project Board and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk log with mitigation measures, and any evaluation or review reports prepared over the period.

6.1.3 UNDP

UNDP will be responsible for overseeing the implementation and compliance with the ESMF, working closely with PWP, SMEPs and SFD. The ESMF and developed management plans will be part of any tender documentation.

UNDP will be responsible for the revision or updates of this document during the course of project, in consultation with PWP, SMEPs, SFD and the World Bank.

Project Management Team

The Project Management Team ensures effective implementation of activities on the ground, risk mitigation, and timely delivery of the results. The team has geographic presence in both Sana'a and Aden to ensure inclusion and to minimize political sensitivities.

The Project Manager is responsible for day-to-day management and decision-making for the project. The Project Manager's prime responsibility is to ensure that the project produces the results specified in the Project Document to the required standard of quality and within the specified constraints of time and cost. The Project Manager is responsible for overseeing implementation of the ESMF and required environmental and social risk management actions.

The Project Manager is supported by a team that includes National Coordinators, an International M&E Specialist, National M&E officer, International Environmental and Social officer, National Environmental and Social officer, National Occupational Health and Safety Officer, national gender and social safeguard officer, Finance Officer, Admin Assistant, and Communication Officer. A Management Information System is in final stages of development to support project management. It is overseen by the UNDP Programme Team on Economic Resilience and Recovery which has the responsibility of ensuring technical/substantive quality assurance as well as on other aspects such as finance, HR, audit, and M&E (pls see section below). The Project Management Team is also supported by experts in UNDP Headquarters and Regional Hubs, including SES expertise.

The project safeguard team including an International Safeguards Specialist, National SES, National OHS, National gender and social safeguard experts will be responsible for enhancing the capacity of UNDP and Responsible Parties to improve social, environmental and occupational health standards and services, contributing to improved decision making and to capitalize on potential opportunities while ensuring that adverse social and environmental risks are avoided, minimized, mitigated and managed.

Project Oversight and Assurance

The 'project assurance' function of UNDP is to support the Project Board by carrying out objective and independent project oversight and monitoring functions. This role ensures appropriate project management milestones are managed and completed. Project assurance has to be independent of the Project Manager; therefore, the Project Board cannot delegate any of its assurance responsibilities to the Project Manager. Furthermore, UNDP provides quality assurance for the project; ensures compliance with UNDP policies and procedures, including its Social and Environmental Standards and implementation of the requirements of this ESMF.

The oversight and quality assurance for ESPECRP PF and (AF1 & AF2) are done by the UNDP ERR Programme Team, which oversees the UNDP Yemen programme portfolio focusing on livelihoods and service delivery. UNDP Headquarters will provide corporate oversight and management support including finance, human resources, audit and investigations.

6.1.4 Responsible Parties

During operations the Responsible Parties will be accountable for implementation of the ESMF. Personnel working on the project have accountability for preventing or minimizing environmental and social impacts.

The Responsible Parties are directly accountable to UNDP in accordance with their Letter of Agreement. There are three responsible parties for the project: PWP, SMEPs and SFD. Responsible Parties include HQ offices, branch offices, site

engineers, site supervisors, and workers that also play a role in implementation of the ESMF. Ultimately the Responsible Parties are responsible for ensuring implementation of the ESMF within their portfolio of sub-projects and ensuring all relevant documentation is available and reported to UNDP.

The top management of the Responsible Parties is ultimately responsible for implementation of the ESMF within all sub-projects that are part of the ESPECRP and AF1 & AF2. The top management shall demonstrate its commitment by ensuring the availability of resources essential to establish, implement, maintain and improve implementation of the ESMF; defining roles, allocating responsibilities and accountabilities, and delegating authorities, to facilitate effective ESMF implementation. Responsible Parties shall be responsible for developing, implementing and monitoring contractors.

Implementing partners has a safeguard team including GRM team based in Headquarters to oversee the implementation of the environmental and social requirements supported by focal points in each branch as well as assigning responsibilities to staff working in field. Responsible Parties' staff in their capacity at head office and branch offices will be responsible for engagement and management of contractors. The field staff are assisted by Supervisory Consultants, and technical engineer, and safeguards focal point in branch offices for the management of contractors and workers, ensuring compliance and monitoring of activities and providing labor instructions on safety and security. During the implementation phase of the services, UNDP, SFD and PWP will organize planned and unplanned visits to contractors' offices and/or places where work is being performed. In these visits the progress achieved, health and safety-related issues and child and forced labor employment status will be observed. The site reports will include the KPIs for contractor management and the outputs will be reflected in the monthly reports. In case of non-compliance by the contractors, corrective actions will be taken.

ES Clauses, ESAPs and ESMPs will be prepared by SFD, SMEPS, and PWP. Contractors' ESMPs/ESAPs will be prepared by national contractors, however, this arrangement doesn't apply to community contractors. SFD and PWP's ESAPs/ESMPs will be cleared by the Bank and UNDP, while contractors' ESMPs will be cleared by SFD and PWP

Environmental and social management duties is assigned to **site engineer** and technical engineers (Responsible Parties) and **site manager** (contractors). They have been trained in social and environmental risk management and have direct access and reporting channels to most senior management level. Some of the duties of the site engineer/manager include:

- organize and conduct of training programmers, including induction training for all workers on the site;
- organization of information to be passed from management to workers, including those of subcontractors.
- provision and implementation of environmental and social management measures, including occupational health and safety measures.
- Investigation and review of the circumstances and causes of environmental and social risks so as to advise to the senior management on preventive measures, and participation in pre-site planning.

Technical engineer duties will include:

- working conditions and equipment are safe.
- workplace safety and risk management measures are regularly inspected.
- potential environmental and social risks are managed effectively and responded to quickly if unexpected issues arise.
- workers have been adequately trained for the job they are expected to conduct.
- social and environmental management measures, including workplace safety measures, are implemented.
- the best solutions are adopted using available resources and skills.
- necessary personal protective equipment is available and used.

Making the work site safe require regular inspections and provision of the means for taking remedial measures. The training of **workers** enables them to recognize the risks involved and how they can overcome them. Workers are shown the safe way of getting a job done. The workers are involved directly, such as through the following:

- "Tool-Box Briefing", a five- to ten-minute session with the supervisor just prior to starting a task gives the workers and the supervisor a chance to talk about social and environmental risks, including safety problems, likely to be encountered and potential solutions to those problems. This activity is simple to implement, and it may prevent a serious accident or issue.
- "Safety Check", a check by workers that the work environment is safe before starting an operation may allow them to take remedial action to correct an unsafe situation that could later endanger them, another worker, or surrounding community.

6.1.5 Third Party Monitors

The Third-Party Monitoring Agent (TPMA) reports directly to the UNDP Project Manager, working in close collaboration with the project M&E Specialist and with the Responsible Parties for project implementation. The TPMA supports the UNDP project management team to provide the Project Board and other stakeholders with better means for learning from field experience, improving service delivery to community, planning and allocating resources, and demonstrating results. The TPMA is expected to provide UNDP with quarterly reports.

Reporting templates/checklists incorporate social and environmental risk monitoring, Gender and social issue and includes monitoring implementation of agreed management measures. Each TPMA report includes a specific section to address the OHS, GRM, Environmental, and social implementation in the subprojects. Regular meetings with TPM team, Ips and UNDP are in place to discuss the findings and improve the tools.

6.2 Capacity Building and Training

Responsible Parties (UNDP, SFD, SMEPS, PWP) have the responsibility for ensuring systems are in place so that relevant employees, contractors and other workers are aware of the environmental and social requirements for project implementation, including the ESMF. Project stakeholders have noted that capacity building and training needs to be a priority.

All project personnel will attend an initial induction training of relevant ESMF requirements, including health, safety, environment and cultural heritage requirements, LMP, OHS, SEP, GBV Action Plan, Security Management Framework.

Training will be conducted on a sustained basis. The following capacity building and training programmers will be in place:

- Capacity building of headquarters and branch offices senior management (led by UNDP): To impart awareness on essential regulatory and other requirements and elements of the ESMF, to help understand the importance of social and environmental management from design stage through implementation. It is essential that the trained manpower shall further carry out training and capacity building in their respective organisations by acting as resource persons for such trainings.
- Capacity building of site engineers/site supervisors on ESMF requirements and E&S screening and ESMP preparation (led by Responsible Parties, with UNDP support): To create awareness on specific social and environmental risks and management measures for their control as applicable to the project activities and to build their capacity to carry out monitoring and supervision activities in the field.
- Training and awareness of workers including contractual workers and local labour (led by Responsible Parties): All workers engaged in any activity with the potential to cause serious social and/or environmental harm will receive task specific training and SEA/SH, GRM, etc. To ensure implementation of the site-specific management measures.

Training procedures shall take into account differing levels of responsibility, ability, language skills, literacy and risk exposure.

The Responsible Parties shall ensure that persons under their control performing tasks related to environmental and social risk management are competent on the basis of appropriate education, training or experience, and shall retain associated records. Each sub-project should include a budget line for training to ensure capacities and skills of workers to implement risk management measures.

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During parent fund and AF1 SFD has conducted training on the safeguards for a total of 2351 (1774 Male, 577 Female) that covers consultants and technical engineers. Awareness session for a total of 21,111 workers has been taken place of which (14,464 Male, 6,647 Female). PWP has conducted awareness sessions for a total of 14399 workers (13605 male, 794 female) and has trained a total of 161 staff and 344 consultants. Training and awareness were covering various safeguard issues, GRM , GBV, and working procedures

7 Stakeholder Engagement and Information Disclosure

7.1 Principles for Meaningful, Effective and Informed Stakeholder Engagement

The ESPECRP and AF1 & AF2 and its Responsible Parties will ensure meaningful, effective and informed stakeholder engagement in the design and implementation of all sub-projects. Civil society actors and organizations, local

communities and other key stakeholders are crucial partners for project delivery and advancing sustainable development.

Stakeholder engagement supports the development of strong, constructive, and responsive relationships that are critical for sound project design and implementation. Effective stakeholder engagement enhances project acceptance and ownership and strengthens the social and environmental sustainability and benefits of supported interventions. It is both a goal in itself – upholding the rights of citizens and others to participate in decisions that may affect them – as well as an effective means for achieving project outcomes, including those related to democratic governance, protecting the environment, promoting respect for human rights, and preventing and resolving conflict.

Meaningful, effective and informed stakeholder engagement will possess the following characteristics:

- Free of external manipulation, interference, coercion, and intimidation.
- Gender and age-inclusive and responsive.
- Culturally appropriate and tailored to the language preferences and decision-making processes of each identified stakeholder group, including disadvantaged or marginalized groups.
- Based on prior and timely disclosure of accessible, understandable, relevant and adequate information, including draft documents and plans.
- Initiated early in the project design process, continued iteratively throughout the project cycle, and adjusted as risks and impacts arise.
- Addresses social and environmental risks and adverse impacts, and the proposed measures and actions to address these.
- Seeks to empower stakeholders, particularly marginalized groups, and enable the incorporation of all relevant views of affected people and other stakeholders into decision-making processes, such as project goals and design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues.
- Documented and reported in accessible form to participants, in particular the measures taken to avoid or minimize risks to and adverse impacts on the project stakeholders.
- Consistent with States' duties and obligations under international law.

7.2 Information Disclosure

Information disclosure refers to the provision of timely, accessible information regarding the project and its potential social and environmental impacts to stakeholders in order to facilitate their meaningful, effective and informed participation in project design and implementation. Stakeholders require access to relevant project and sub-project information in order to understand potential project-related opportunities and risks and to engage in design and implementation.

In addition to having access to general project information, stakeholders need access to screening reports, draft and final assessments and management plans. This information is to be disclosed in a timely manner, in an accessible place, and in a form and language understandable to affected persons and other stakeholders. These elements of effective disclosure are briefly elaborated below:

- Timely disclosure: information on potential project-related social and environmental impacts and mitigation/management measures should be provided in advance of decision-making. Draft screenings, assessments and management plans should be provided in advance as part of the stakeholder consultation process. In all cases, draft and final screenings, assessments and management plans must be disclosed and consulted on prior to implementation of activities that may give rise to potential adverse social and environmental impacts.
- Accessible information: Stakeholders need to be able to readily access information regarding assessments and management plans. While local regulatory requirements might mandate availability of environmental assessments in government offices, this may not be sufficient to ensure that local stakeholders can access the information. Other means of dissemination may need to be considered, such as posting on websites, public meetings, local councils or organizations, newsprint, television and radio reporting, flyers, local displays, direct mail.
- Appropriate form and language: Information needs to be in a form and language that is readily understandable and tailored to the target stakeholder group who speaks Arabic language. Summary information from assessments and management plans may need to be translated and presented by various means (e.g., written, verbal). Level of technical detail, local languages and dialects, levels of literacy, roles of women and men, and

local methods of disseminating information are important considerations in devising appropriate forms of disclosure. A general solicitation of feedback on project documents may not be an appropriate form of information sharing and solicitation of input. Rather, the material may need to be presented in a contextual manner, such as the presentation of options with key information and questions designed to solicit feedback. Appropriate forms of proactive disclosure should be utilized beyond web posting of information. These may include radio broadcasts, brochures, community postings, SMS, oral presentations, etc. Also, it is vital to ensure that appropriate communication methods are devised to reach potentially marginalized and disadvantaged groups.

The stakeholder engagement process is an excellent moment to solicit from stakeholders the types of information they want and need and the most appropriate formats and languages and mechanisms for dissemination.

The SES contain requirements for the disclosure of screening reports; draft and final social and environmental assessments and management plans; and any required social and environmental monitoring reports. For further detail on UNDP SES stakeholder engagement and information disclosure requirements please refer to the UNDP guidance [UNDP Guidance on Stakeholder Engagement](#) -Annex 3.

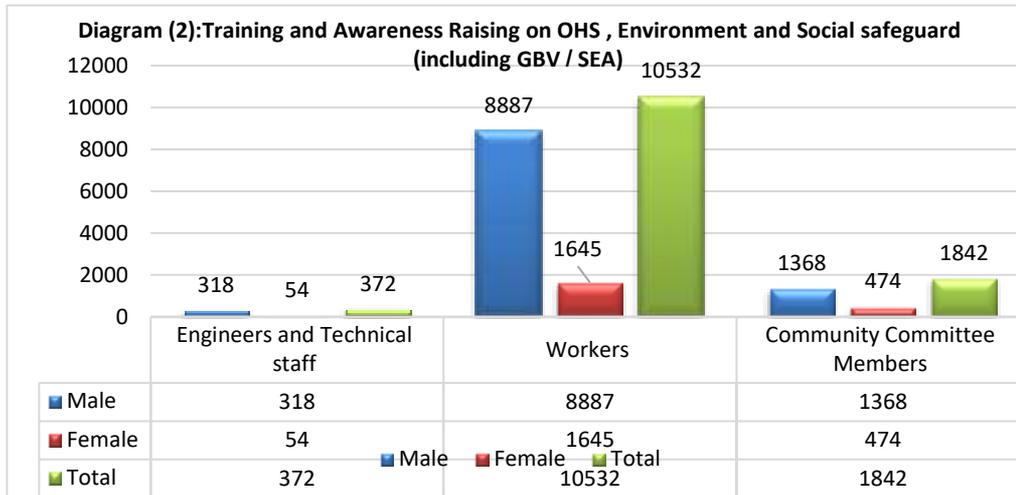
The ESMF LMP, ESCP and SEP will be disclosed with representatives of stakeholder groups in Arabic language during consultation meeting, and it will be also available in the UNDP and IPs websites⁶ as well as at IPs branches, offices and beneficiaries' locations.

8 XXX Updates on Public Consultations and Capacity Building during the implementation of the Parent Project and AF - UNDP

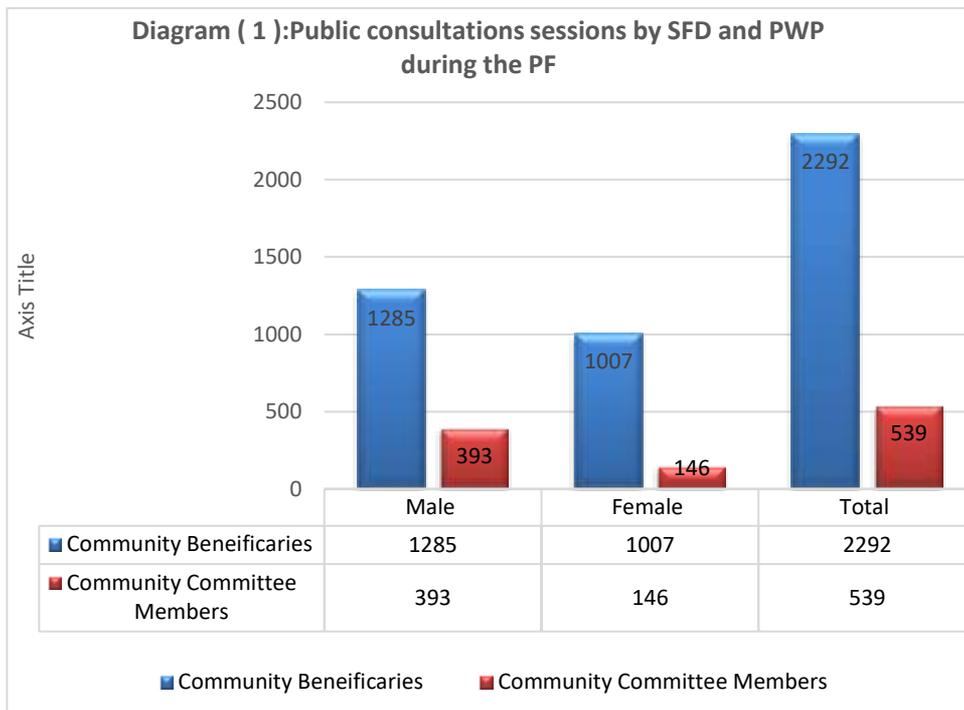
1. During project implementation of the parent project, several public and community consultations were carried out to ensure all the subprojects have been designed and met the beneficiaries' needs and ensured full participation. SFD and PWP completed 101 subprojects with communities' consultations and capacity building for Community Committees members, workers, contractors, and others as indicates in the below two diagrams:

- Consultation sessions were conducted in all targeted villages and for all sub-projects to introduce communities to identify the subproject priorities, safeguard topics including OHS, Environment, gender and GBV and GRM to report any case in a confidential manner and get their feedback. Nearly, 2292 community beneficiaries were consulted including 1007 females and 1285 males. The community committees were established for 101 subprojects with 146 female and 393 male members. During the consultation process, SFD and PWP conducted capacity building and awareness sessions in which 1363 male and 474 female community committee members were trained on the environmental and social requirements, and their awareness was raised to the potential risk and impacts. Beside that UNDP and IPs have agreed to review and improve all the training and awareness sessions and modules for AF.
- No consultations were conducted for the AF and the plan to be conducted during Q1 of 2023. UNDP and the IPs will conduct two stakeholder consultations in Aden and Sana'a for AF1 and AF2 in the first quarter of 2023 to ensure information disclosure and review the beneficiaries' needs.

⁶ <https://www.undp.org/yemen/projects/emergency-social-protection-enhancement-and-covid-19-response-project-especrp>



2. The key findings of the conducted stakeholder engagement under the parent project are as follows:
- Stakeholders agreed on the proposed interventions and confirmed their needs.
 - Stakeholders emphasized on the equal work opportunities during implementation.
 - Emphasized to give more attention to prevention of all kinds of exploitation.
 - Women beneficiaries highlighted to provide female friendly activities suiting their capacities and apply specific measures such as flexible working hours to encourage more women to participate and get the optimal benefit.
 - Emphasized to ensure the inclusion of women in community committees.
 - An agreement is reached on collecting and transporting construction residues to designated landfills.
 - Emphasized on constructing temporary latrines with handwashing at worksites for workers.
 - Agreed on the required coordination with the community committee to manage the sub-projects issues.
 - Agreed on the required coordination to manage the traffic issues.
 - Agreed to placing a fence and warning sights around the work area to prevent pedestrians from entering work sites or falling during excavation work.



3. These consultations and meetings' activities have been conducted by UNDP, IPs (at HQ and branches levels) and local authorities' level on bundling issues as early-stage preparations to additional financing (AF), in summary there are following activities have been conducted:

- **Signing agreements at the level of local authorities**
 - Meetings and coordination took place with the local authorities in (31) districts, and (31) cooperation and coordination agreements were signed with the local authorities in the targeted districts, including the role of IPs and local authorities in implementing community studies.
 - **Coordination meetings with partners**
 - Meetings and coordination took place with the partners (PWP) and SFD's programs (cash for work - nutrition - SMED) at the branch level, as the number of meetings that were held reached (8) meetings except for the Amran branch, in addition to (2) Meetings were held at the headquarters level with UNDP team and PWP team.
 - **Training of field work teams**
 - 335 males and females from the field teams and consultants were trained in project's components and activities, including (164) females. The field teams will be distributed each within the framework of the sub district in which they work. The training focused on building the teams' capacities to identify the needs of the community according to the goals and determinants of the fund.
 - **The number of formed village councils**
 - 30 village cooperation councils that were formed in each of Al-Wazira's sub district, Al-Adain district, Ibb Governorate, and the sub district of sharqi Al-Khamisin, Khairan Al-Muharraq district, where the teams worked to motivate the community to choose members of the village councils who will represent the community in determining needs by training them on a set of community participation tools That helps them analyze the situation and then prioritize needs.
 - **The number of village council members**
 - The 362 members of the Village Cooperation Councils including (180) women are trained on the mechanism of data collection and analysis to come up with a list of needs to be agreed upon among them. A village report is prepared that includes a community resilience plan.
 - **Community consultations at the village level**
 - The number of 30 community consultations at the village level are conducted, including total number of 362 persons (180 were women).
 - **Working at sub district level**
 - Working in 7 Sub districts, seven sub district committees were formed, with a total number of (165) members, including (82) women. These are the representative at the sub district level, whose capacities were built on a set of community participation tools, to analyze the situation of sub district and identify the needs.
 - **Community consultations at sub district level**
 - The number of community consultations at the sub district level reached (7) consultations, and 165 predicants attended (including 82 women).
 - **Approval of the plan at the level of sub district by male and female representatives**
 - Work is still in progress for the (7) sub districts that have been started.
 - Note: there is no stakeholder's engagement workshops are conducted for the AF & AF2 and it has planned to be conducted during Q1 and Q2 of 2023. UNDP and IPs will conduct two stakeholder's consultations in Aden and Sana'a for AF & AF2 in the first quarter of 2023 to ensure better information disclosure for AF1 & AF2 and review the beneficiaries' needs.
4. A number of challenges were noted during the implementation of stakeholder's engagement as follows:
- Movement restriction for the female social team without accompanying "male from her family", this results movement rejections and not obtaining permission from the local authorities in the North of Yemen;
 - High Sensitivity of talking about GBV aspects with communities and local authorities in some areas due to the Yemeni culture refusing those terminologies;
 - The local authorities make gathering restriction in some areas which constrain the stakeholder's engagement activities; and
 - Some local communities do not allow women to participate in the engagement and consultation process which impact the inclusion approach of stakeholder's engagement.
5. The following solutions are proposed and lessons learned include:
- Improve coordination with the local authority to facilitate the IPs' personnel movement;
 - To give allowances to female staff and consultants for the accompanying "male from her family" during stakeholder's engagement and public consultation visits;

- Close coordination with local authorities in the targeted districts to get permission for consultation and gathering process; and
- Encourage the local communities and women to participate and ensure female facilitators for women's consultation.

8.1 ESPECRP and AF1 & AF2 Systems in Place for Stakeholder Engagement and Information Disclosure

Meaningful stakeholder engagement is required by PWP, SMEPS and SFD for participation in project intervention by communities and individuals. The Standard Operating Procedures of both Responsible Parties include procedures for community and stakeholder engagement within their project cycles as a key component of project identification, design and implementation, and described a key component of the longer-term sustainability strategy for operations and maintenance.

Community committees are set up at the onset of project at the identification and design phase and play a key role in supporting field teams, facilitating implementation and in the sustainability of community assets that are built through subprojects, after project closure. To sustain such community participation and engagement, subprojects include provisions to set up such community committees and provide resources for training whenever required.

The principles for sub-project cycle identification, development, and management specify that communities are involved from the outset in the selection and design phases, through project closure with disseminating hard copies for ESMF, GM and others. To engage communities and reduce potential risks of conflicts between stakeholders over sub-projects a careful selection criterion was developed by UNDP and Responsible Parties. While laying out an objective set of criteria, and national data-based distress index, communities are also called upon to participate at the municipal level to engage in prioritization of community projects and identification of beneficiaries.

Subprojects must meet the basic needs according to poverty and service needs provided in national indicators. Priority is given to poorer communities. In addition to data provided through national indicators, community groups are consulted to identify who will benefit from sub-projects, in this way stakeholders themselves are involved in the selection and decision of who benefits from sub-projects.

In addition, some procedures include financial contributions by beneficiaries, proportionate to their means. This contribution is seen as a necessary component for stakeholder engagement, providing a guarantee to future sustainability through operation and maintenance of subprojects and aims to enhance the firm commitment to the active participation of beneficiaries.

Both institutions include gender mainstreaming provisions as a means to ensuring equal participation of all stakeholders in subprojects and provide opportunities to improve women's participation in decision-making as indicated in the above section on project impacts and risk, gender section.

Furthermore, in accordance with the ESPECRP and AF1 & AF2 approaches to strengthening livelihoods and local economics, in sub-projects that use community contracting, the procurement of civil works, construction materials, and the hiring of equipment, transport and skilled laborers, is done by the communities themselves.

The TPMA also helps ensure stakeholders have been duly consulted and monitors their level of satisfaction with the ESPECRP and AF1 & AF2 including with the sub-project selection criteria. TPM surveys have verified implementation of planned interventions, adherence to agreed implementation procedures, quality of implemented interventions, beneficiaries and community satisfaction on various aspects of project interventions and its effects/impacts on targeted beneficiaries and communities. Women and men, youth are interviewed separately to enable meaningful participation by marginalized groups. An example provided by TPMA report (see TPMA Report from April-June 2018) shows that cash for work interventions were highly satisfactory to beneficiaries in various aspects including project existence (98.5 percent) and beneficiary selection (98 percent).

In addition, A stakeholder engagement plan SEP for the Social Protection and Covid Response Project (ESPECRP) and AF1 & AF2 have been developed. The SEP recognizes the importance of open and transparent engagement between the Recipient and project stakeholders as an essential element of good international practice. Effective stakeholder engagement can improve the environmental and social sustainability of projects, enhance project acceptance, and make a significant contribution to successful project design and implementation. Stakeholder engagement is an inclusive process conducted throughout the project life cycle. Where properly designed and implemented, it supports the

development of strong, constructive, and responsive relationships that are important for successful management of a project's environmental and social risks.

The overall objective of this SEP is to define a plan of action for stakeholder engagement, including technically and culturally appropriate approach to public consultation and information disclosure, throughout the entire project cycle. The SEP outlines the ways in which the project team will communicate with stakeholders and includes a mechanism by which people can raise concerns, provide feedback, or make complaints about project activities.

The involvement of different stakeholders, including the local population and farmers is essential to the success of the project in order to ensure smooth collaboration between project staff and local communities.

The SEP focuses on:

- Identifying individuals or groups who may be directly or indirectly adversely affected, and who will need additional information to understand the limits of project impacts.
- Mapping the project impact zones and locating the affected communities within a specific geographic area can help define or refine the project's area of influence.
- Engaging and consulting the project' beneficiaries in the planning, implementation, monitoring and reporting process.
- Enhancing participatory approaches in all project cycles by each selected community with SWF families, and other families with pregnant and locating women and children.
- Ensuring confidentiality and social protection to the disadvantaged, indigenous and marginalized groups through the use Stakeholder Response Mechanism (GRM) that provides an opportunity for the affected people to response and grief for any problems and concerns in confidentiality and anonymity.
- Mainstreaming human rights, women empowerment, full participation, transparency, information disclosure, and environmental sustainability in all project's actions; and
- Building robust, innovative, and transparent Information Management System (MIS) that reflect all activities, and which are implemented in accordance with the project identification and proposal.

8.2 Project-Level Grievance Mechanism

During the design, construction and implementation of any sub-project, a person or group of people may perceive or experience potential harm, directly or indirectly due to the project activities. The grievances that may arise can be related to social issues such as eligibility criteria and entitlements, disruption of services, temporary or permanent loss of livelihoods and other social and cultural issues. Grievances may also be related to environmental issues such as excessive dust generation, damages to infrastructure due to construction related vibrations or transportation of raw material, noise, traffic congestions, decrease in quality or quantity of private/ public surface/ ground water resources during irrigation rehabilitation, damage to home gardens and agricultural lands, etc.

Should such a situation arise, there must be a mechanism through which affected parties can resolve such issues in a cordial manner with the project personnel in an efficient, unbiased, transparent, timely and cost-effective manner. To achieve this objective, a Grievance Redress Mechanism has been included in the ESMF for this project.

The Grievance Redress Mechanism:

- a. provides a legitimate process that allows for trust to be built between stakeholder groups and assures stakeholders that their concerns will be assessed in a fair and transparent manner.
- b. allows simple and streamlined access to the Grievance Mechanism for all stakeholders and provide adequate assistance for those that may have faced barriers in the past to be able to raise their concerns.
- c. provides clear and known procedures for each stage of the Grievance Redress Mechanism process, and provides clarity on the types of outcomes available to individuals and groups.
- d. ensures equitable treatment to all concerned and aggrieved individuals and groups through a consistent, formal approach that, is fair, informed and respectful to a concern, complaints and/or grievances;
- e. provides a transparent approach, by keeping any aggrieved individual/group informed of the progress of their complaint, the information that was used when assessing their complaint and information about the mechanisms that will be used to address it; and
- f. enables continuous learning and improvements to the Grievance Redress Mechanism. Through continued assessment, the learnings may reduce potential complaints and grievances.

The GRM will be gender- and age-inclusive and responsive and address potential access barriers to women, the elderly, the disabled, youth and other potentially marginalized groups as appropriate to the Project. The GRM will not impede

access to judicial or administrative remedies as may be relevant or applicable and will be readily accessible to all stakeholders at no cost and without retribution.

Information about the Grievance Redress Mechanism and how to make a complaint and/or grievance is communicated during the stakeholder engagement process and placed at prominent places for the information of the key stakeholders.

All complaints and/or grievances regarding social and environmental issues can be received either orally (to the field staff), by phone, in complaints box or in writing to the UNDP, PWP or SFD. A key part of the grievance redress mechanism is the requirement for the Project Management Team and construction contractor to maintain a register of complaints and/or grievances received at the respective project site offices, this includes grievances from workers. The following information will be recorded:

- a. time, date and nature of enquiry, concern, complaints and/or grievances.
- b. type of communication (e.g., telephone, letter, personal contact, site box, SMS, email);
- c. name, gender, contact address and contact number if available.
- d. anonymity complaints are also registered, investigated, and solved
- e. response and review undertaken because of the enquiry, concern, complaints and/or grievances; and
- f. actions taken and name of the person acting.
- g. In case the complainant is not satisfied with resolution and settlement of the complaints, he/she escalates the cases to higher level (five levels of escalation the complaint).
- h. IPs' GRM system will settle and mitigate the GRM at three levels (field, branch and HQ offices)
- i. UNDP will investigate the case doesn't solve at IPs' three levels, at project level, UNDP Country Office in Yemen, also at UNDP regional office in Amman/Headquarter office in NY. Also, UNDP will share the highest escalated cases with WB.⁷

The project GRM is managed by PWP, SMEPs and SFD, who have a grievance mechanism in place. UNDP will work with the Responsible Parties to assess the effectiveness of existing GM and work to address capacity, accessibility, transparency, gaps, etc.

In cases complaints are not resolved by IPs, they should be escalated to UNDP for further investigation, study and closure. Complaints Handling Mechanisms (HCM) will be applied as following:

- IPs GRM will apply, and will activate at three levels of compliant settlement (at 1st field, 2nd branch, 3rd Head Office levels);
- RVM (Remote Verification Mechanism) consultant is assigned by UNDP to verify the lists of complaints on monthly basis and provide a report.
- UNDP will dedicate a number (call, SMS, WhatsApp) for complainants who might not be satisfied with IPs' resolution and would want to escalate to higher level (4th level of HCM).
- IPs will ensure that the dissatisfied complainants are well-informed to the UNDP's dedicated number. RVM may also assist in this issue, by calling all complainants and refer the dissatisfied ones to the 4th level of UNDP)
- If still complainant is dissatisfied after UNDP's intervention, another level will be introduced to escalate to UNDP SRM HQ (UNDP Regional office).
- Complainants may also opt to use judicial procedures or arbitration.

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Information about the grievance mechanism (awareness) has been shared by all Ips with a total 71265 beneficiaries (12788 male, 49477 females) including workers and communities. in total 3210 complaints under ESPECERO during the parent fund and AF1 of which all was handled and closed. Types of Complaints received includes the following categories administrative, Environmental, OHS, contractual, technical, issues related to equity of targeting which was contained about 90% of the complains (2034 complain), and general issues. No GBV, exploitations or abuse has been reported during the project.

⁷ the complainants have the option to access UNDP's Accountability Mechanism, with both compliance and grievance functions. The Social and Environmental Compliance Unit investigates allegations that UNDP's Standards, screening procedure or other UNDP social and environmental commitments are not being implemented adequately, and that harm may result to people or the environment. The Stakeholder Response Mechanism offers locally affected people an opportunity to work with other stakeholders to resolve concerns, complaints and/or grievances about the social and environmental impacts of a UNDP project. Stakeholder Response Mechanism is intended to supplement the proactive stakeholder engagement that is required of UNDP and its Implementing Partners throughout the project cycle (www.undp.org/secu-srm). TPM Reports and the ESMF stakeholder consultations noted that there was little awareness by project-affected people of the GM and their options. This will be an area to be strengthened moving forward.

8.3 UNDP Accountability Mechanism

In addition to the project-level and national grievance redress mechanisms, complainants have the option to access [UNDP's Accountability Mechanism](#), with both compliance and grievance functions. The [Social and Environmental Compliance Unit](#) investigates allegations that UNDP's Standards, screening procedure or other UNDP social and environmental commitments are not being implemented adequately, and that harm may result to people or the environment. The Social and Environmental Compliance Unit is housed in the Office of Audit and Investigations and managed by a Lead Compliance Officer. A compliance review is available to any community or individual with concerns about the impacts of a UNDP programme or project. The Social and Environmental Compliance Unit is mandated to investigate valid requests independently and impartially from locally impacted people, and to report its findings and recommendations publicly.

The [Stakeholder Response Mechanism](#) offers locally affected people an opportunity to work with other stakeholders to resolve concerns, complaints and/or grievances about the social and environmental impacts of a UNDP project. Stakeholder Response Mechanism is intended to supplement the proactive stakeholder engagement that is required of UNDP and its Implementing Partners throughout the project cycle. Communities and individuals may request a Stakeholder Response Mechanism process when they have used standard channels for project management and quality assurance and are not satisfied with the response (in this case the project level grievance redress mechanism). When a valid Stakeholder Response Mechanism request is submitted, UNDP focal points at country, regional and headquarters levels will work with concerned stakeholders and Responsible Parties to address and resolve the concerns. Visit www.undp.org/secu-srm for more details. Monitoring, Reporting and Evaluation of ESMF Implementation

The ESMF and its procedures are to be reviewed regularly and on an ongoing basis by UNDP staff, PWP and SFD. The objective is to update the ESMF to reflect knowledge gained during the course of project delivery/construction and to reflect new knowledge.

Amendments will be made to the ESMF if:

- There are relevant changes to social and environmental conditions or generally accepted management practices; or
- New or previously unidentified social and environmental risks are identified; or
- Information from the project monitoring and surveillance methods indicate that current control measures require amendment to be effective; or
- There are changes to legislation that are relevant to the project; or
- There is a request made by a relevant regulatory authority.

When an update is made, all site personnel are to be made aware of the revision as soon as possible, e.g. through a toolbox meeting or written notification.

The Project Manager will monitor the overall implementation of the ESMF, most particularly the:

- i. timely preparation of environmental and social screening forms for all subprojects (list of subprojects by risk category by date)
- ii. timely preparation and clearance of subproject ESMPs, as needed (list of instruments with dates)
- iii. monitoring of ESMP implementation, including monitoring of mitigation measures and monitoring of contractors environmental and social performance (indicators)
- iv. training of project staff, implementing partners, and contractors (list of persons, dates and places)

The Project Manager will prepare:

- i. biannual reports summarizing monitoring results, to be included in the Project's Biannual Review Meetings
- ii. an annual evaluation of all environmental and social monitoring activities, which will be submitted to the World Bank as part of overall project implementation reporting

Monitoring and audit reports will be shared with the World Bank.

8.4 Monitoring and Reporting Indicators:

UNDP with its partners agreed and discussed several indicators that can be used for Gender and GBV and SEA/SH reporting and monitoring that not limited to:

- Number of Children promoted to work under/ above 18
- Number of women and men discriminated based on gender, disability, and age
- Number of trainings conducted on preventing gender discrimination. GBV and SH
- Number of GBV/SH survivor referred to services providers or legal support with high confidentiality
- Number of work of GBV/SH complains received and registered in GRM
- No of skilled/all workers signed COC.
- No of training and capacity building provided to senior management staff, gender specialists, FPs, community members on Gender/GBV and SEA
- Number of awareness raising conducted and contact number/GRM provided
- Number of male and female workers.

Occupational Health and Safety Indicators for reporting and monitoring include but not limited to:

- Incidents / anomalies reports
- Monthly reports
- Events analysis & synthesis
- root case analysis and safeguard corrective action plan
- Safety Feedback Notice
- Incidents/ anomalies action plan
- Project/ Site OHS Plan
- Risk assessment register
- Safeguard training records
- Field visits records
- Emergency response plan

8.5 Management Information System (MIS)

Both PWP and SFD have a Management Information System in place to record project information and monitoring results. The MIS provides an important mechanism to track information on environmental and social safeguard implementation. A review will be conducted to identify opportunities to strengthen environmental and social safeguard elements in the MIS. UNDP MIS is under implementation and will include safeguard reporting, monitoring and KPI indicators.

8.6 Sub-Project Self-Monitoring and Reporting

For construction activities, the Responsible Party/site supervisor will be responsible for daily inspections (e.g., environmental inspections, Occupational Health & Safety) of the construction site.

The Responsible Parties will be responsible for the day-to-day compliance of the ESMF at the specific project site. The Responsible Parties will maintain and keep all administrative and social and environmental records which would include a log of complaints and incidents together with records of any measures taken to mitigate the cause of the complaints or incidents (see below sections on incident reporting and on complaints). Implementing partners will prepare a monthly report that includes (training, GRM, Accidents, working hours, field visits, and other highlights) and will be submitted to UNDP. A quarterly report that summarizes the safeguard highlights will also be prepared by IPs and submitted to UNDP.

8.6.1 Social, environmental and OHS incident reporting

Any incidents, including non-conformances to the procedures of the ESMF, are to be recorded using an Incident Record and the details entered into a register. For any incident that causes or has the potential to cause material or significant social and/or environmental harm, the site supervisor/designated officer shall notify the Responsible Party senior management and ESPECRP Project Manager as soon as possible and no later than **24 hours**. UNDP will also ensure significant incidents are reported to the World Bank within 48 hours. The Responsible Party must cease work until remediation has been completed as per the approval of Project Manager. All accidents are also kept in the register log at subproject site.

8.6.2 Daily and weekly inspection checklists

A daily social and environmental checklist (including OHS issues) is to be completed for active work sites with Substantial risks by the relevant site supervisor/designated officer and maintained within a register. A weekly social and environmental checklist is to be completed and will include reference to any issues identified in the daily checklists completed by the site supervisor or designated person. The completed checklist is to be forwarded to the site engineer for review and follow-up if any issues are identified. Copy of all inspections are kept at the worksite in the register log.

8.6.3 Corrective Actions

Any non-conformances to the ESMF are to be noted in weekly social and environmental inspections and logged into the register. Depending on the severity of the non-conformance, the site supervisor/designated officer may specify a corrective action on the weekly site inspection report. The progress of all corrective actions will be tracked using the register. Any non-conformances and the issue of corrective actions are to be advised to Responsible Parties senior management and ESPECRP Project Manager .

8.7 Sub-Project Third-Party Monitoring and Reporting

The TPMA is expected to provide an independent perspective and extend the reach of UNDP in the field. The TPMA will monitor activities of the identified responsible parties (SFD SMEPs, and PWP) financed by the project. The TPMA is expected to visit project sites quarterly based on a sampling methodology.

Monitoring of environmental and social risks and implementation of management measures will be included in Third Party Monitoring templates and reports.

8.8 Monitoring Plan

Table 4. Summary of ESMF Monitoring, Reporting and Evaluation Activities

Monitoring Activity	Purpose/Action	Frequency	Expected Action	Indicators and means of Verification	Roles and Responsibilities
Annual evaluation	To ensure Project Board is updated on ESMF implementation, issues, and lessons learned.	Annually	Include reporting on ESMF implementation in documentation prepared for Biannual Review Meetings	X of annual evaluation report successful submitted each year.	ESPECRP and AF1 & AF2 Manager (informed by TPM reports, self-reporting of sub-projects, field visits)
Biannual reports (for biannual review meetings)	To ensure Project Board is updated on ESMF implementation, issues, and lessons learned.	Biannually	Include reporting on ESMF implementation in documentation prepared for Biannual Review Meetings	X of biannual reports submitted to review meeting	ESPECRP Project Manager (informed by TPM reports, self-reporting of sub-projects, field visits)
Quarterly Monitoring	Qualitative and quantitative review of selection of projects, engaging with local stakeholders. Identification of any new social and environmental risks and monitoring of implementation of site-specific management plans.	Quarterly	Apply TPM methodology, to include consideration of social, environmental and occupational health risks and management	X of quarterly monitoring report submitted	TPMA and UNDP project team
Field Visits	Conduct field visits to spot-check sub-projects and ensure effective	Periodic	Ensure risks are appropriately identified and managed. If new risks		UNDP Project Management Team

	implementation, including ESMF implementation		identified, screening and management plan to be updated.		
Learning	Knowledge, good practices and lessons learned regarding social and environmental risk management will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	At least annually	Relevant lessons are captured by the project team and used to inform management decisions.		UNDP Project Management Team and UNDP Programme
Review and adapt activities and approach as necessary	Internal review of data and evidence from all monitoring actions to inform decision making.	At least annually	Performance data, risks, lessons and quality will be discussed by the project board and used to make course corrections.	X mitigation measures and adaptive activities conducted to inform the decision making	UNDP Project Management Team and UNDP Programme
Project Progress Reports	As part of progress report to be presented to the Project Board and key stakeholders, analysis, updating and recommendations for risk management will be included.	Annually, and at the end of the project (final report)	Incorporation of progress on risk management elements, including ESMF	No of project progress report submitted and endorsed with analysis, key stakeholders and recommendations for risk management	UNDP Project Management Team
Site Inspections	To ensure early identification and resolution of potential risks	Daily and weekly	Completion of site inspections checklists (to include social, environmental and occupational hazards and risks)	X of daily and weekly inspections' checklists checked to ensure ES and OHS in place	Responsible Parties (Site Supervisors) SMED unit can include OHS when they conduct spot check.
Child Protection	To ensure child protection and rights are at the centre included in all activities of the subproject cycle. NO children are pushed by their families to work due to need of money	Daily	Child labour is not permitted - All workers are 18 Years old and above. Verifying Age by checking IDs and other available documents. Ensure Labour Log is available, and all workers are registered	X of Children permitted to work under 18. X of the workers who permitted to work above 18.	Gender specialists/ focal point Technical Resident Engineer / Community Committee SMED unit can include OHS when they conduct spot check.
Gender fairness and equality	To ensure equal access and treatment, no discrimination to all selected beneficiaries are provided. No discrimination against women and persons with disabilities when selecting beneficiaries	Daily and every activity and action to IPs and UNDP and Quarterly to WB	SFD, PWP and SMEPS adopt a non-discrimination policy that ensures non-discriminatory and inclusive manner, including women and persons with disabilities when selecting beneficiaries. As well as inclusion of women in community	X of women and men (including people with disability) are members of community committee. X of cases received on discrimination based on gender, disability, and age.	Gender specialist & Gender FP/ focal points at fields and branches, Technical Resident Engineer / Community Committee

			committees as well, including, three project components	X male and female workers hired per subproject	
Elimination and protection from GBV and SEA	To ensure all project staff and workers are aware and well informed and protected from gender discrimination, GBV and SEA risks and have rights to report immediately and confidentially on GBV and SEA.	Daily and at any time to IP and UNDP. Quarterly to WB	UNDP provides capacity building on GBV and SEA, in collaboration with GBV and SEA focal points and sub- WGs. Besides the IPs' leadership and technical gender, safeguard, GRM, MFI/MSME staff work on daily basis to build capacity and ensure mitigation the GBV and SEA risks immediately and workers are aware and signed to COC at field level. UNDP and IPs work collaboratively with GBV and SEA focal points to established Referral pathway and SOPs.	X training on gender, GBV and SEA.X no of cases well reported and treated on GBV and SEA. X cases reported under sub-component 3.2. regarding to MFI and MSMEs X of workers trained and signed COC. A Referral system developed and agreed.	UNDP and IPs Gender/ specialists/ focal point Technical Resident Engineer / Community Committee/ GRM and safeguard specialist/GBV and Gender focal point
Capacity building on social , environmental and OHS new requirements for ESSs and SESs	To ensure all partners having sufficient capacity and experience to implement the new safeguard requirements. Several capacity building activities should be conducted at leadership, technical , field staff, including the three project components	Monthly IPs to UNDP and Quarterly reports on capacity building updates	UNDP provides technical support to IPs and accordingly IPs train their field staff regarding new requirements of ESMF, ES, OHS, SEP, Gender and GBV, LMP and any relevant issues	X of capacity building/ training/ workshops/ seminars conducted by UNDP. X participants per gender disaggregated data (attendance sheet.) X of capacity building activities at field and branch levels conducted by IPs	UNDP and IPs
Best practices and research/ studies and assessment	Best practices, successful stories, assessment and studies will be conducted to ensure and provide evidence of safeguard requirements are in place with sufficient mitigation to potential risks.	Annually	UNDP and IPs carry out assessments and study and best practices (such as impact of cash assistance, best practices, women empowerment and economic development)	X of best practices, assessment and study completed and published	UNDP in collaboration of IPs and TPM

9 Budget estimation to implement the ESMF

ESMF implementation costs are allocated according to the budget line items in table below. Such costs include costs for monitoring and trainings as well as all other stakeholders' engagement and communication for 4 years (PF and AF).

ESMF Implementation Costs (Estimated 4 Years) PF and AF	TOTAL
Staffing	\$ 2,202,574,00
Capacity Building (Trainings and workshops)	\$ 540,000.00
Field Monitoring and TPM	\$ 765,000.00
Assessments and preparation of ESMPs	\$ 669,710.50
TOTAL	\$ 4,176,574

10 Annexes

Annex 1. Yemen ESPECRP and AF1 & AF2 Revised SES Screening

Final Sign Off

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Emergency Social Protection Enhancement and COVID-19 Response Project (ESPECRP) and additional financing
2. Project Number (i.e. Atlas project ID, PIMS+)	00128217 PF , 00141815 AF1, and P180358 AF2
3. Location (Global/Region/Country)	Sana’a, Republic of Yemen
4. Project stage (Design or Implementation)	Implementation
5. Date	23/02/2021 PF and AF 1 02/03/2022 and AF2 Apr 1 st 2023

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

The project upholds the principles of accountability and the rule of law, participation and inclusion, and equality and non-discrimination based on gender, age, religion, political views or affiliation to parties to the current conflict, social or geographical origin, birth or other status. UNDP will also ensure the meaningful, effective and informed participation of stakeholders in the formulation, implementation, monitoring and evaluation of the SPECPR and AF. The project also establishes a dedicated grievance mechanism and capacity to ensure that the duty-bearers are accountable to the rights-holders for the actions undertaken in the course of the project.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

The project recognizes that in the midst of the current crisis in Yemen, women are adversely affected and at the same time asked to take on new and additional roles as heads of households or income-earners. The project will actively target women (at least 30%) to support their income-generation opportunities and contribute to the delivery of community service and livelihood assets through Cash for Work and Cash for Services, and through earmarked funding dedicated to address severe and acute malnutrition for pregnant and lactating women (and children) by providing cash assistance (mothers are the recipients) and facilitating the affected families' access to nutrition services. The project ensures applying gender disaggregated data, preventing gender-based violence (GBV) and Sexual Exploitation and Abuse (SEA), including elderly and people with disability.

Briefly describe in the space below how the project mainstreams sustainability and resilience

The Project's Theory of Change assumes that if income-generation and livelihoods opportunities are increased for vulnerable households (including IDPs), with essential service delivery restored and key local businesses revived, Yemeni households and communities will be able to better cope with the impact of the current crisis and be strong drivers of the sustainability, resilience-building and recovery efforts. SPECPR and AF contributes to the preservation and sustainability of its implementation capacity of much needed service delivery programmes. The nationwide coverage of RPs will enable scale-up and its wide network of non-state partners like CBOs, NGOs and private sector (e.g. contractors and small and micro enterprises) will also extend the outreach. At the community level, the project is expected to pave a way to a more inclusive future in Yemen by actively seeking to build social cohesion, empower communities and foster a role for non-state actors.

Briefly describe in the space below how the project strengthens accountability to stakeholders

Developing the local partners' GRM systems strengthens accountability, operations oversight, citizen engagement, and learning. In addition to continuing these methods, UNDP will enhance the GRM system by operating a TPM call centre facility for outbound calls to project beneficiaries and targeted communities for verification. Weekly, monthly, and quarterly reports including a summary of opened/processed complaints focusing on the performance of the GRM will be generated automatically by the system. UNDP and RPs will involve the project stakeholders and affected people through applying effective stakeholder engagement and full participation in all project's phases Besides, RPs have got in place social and environmental sustainability standards to help mitigate potentially high adverse environmental and social impacts in the selection, prioritization and implementation of subprojects at community level, which UNDP will also closely monitor any negative environmental and social impact and ensure compliance with the safeguards through Third Party Monitoring.

Part B. Identifying and Managing Social and Environmental Risks

<p>QUESTION 2: What are the Potential Social and Environmental Risks?</p> <p><i>Note: Complete SESP Attachment 1 before responding to Question 2.</i></p>	<p>QUESTION 3: What is the level of significance of the potential social and environmental risks?</p> <p><i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i></p>			<p>QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High</p>
<p><i>Risk Description (broken down by event, cause, impact)</i></p>	<p><i>Impact and Likelihood (1-5)</i></p>	<p><i>Significance (Low, Moderate, Substantial, High)</i></p>	<p><i>Comments (optional)</i></p>	<p><i>Description of assessment and management measures for risks rated as Moderate, Substantial or High</i></p>
<p>Elements of Project construction, operation, or decommissioning pose potential safety risks to direct beneficiaries and/or local communities</p>	<p>I = 3 L = 3</p>	<p>Substantial</p>	<p>Potential minor environmental impacts may include insufficient safety standards used in construction/ rehabilitation of small-scale infrastructure; dust and noise during construction/ rehabilitation; and/or insufficient removal of construction waste after project completion.</p>	<p>These risks are mitigated, however, by the 20-years of experience of SFD and PWP in managing project impacts successfully according to their Operational Manual (endorsed/approved by UNDP BMS) that lay out clear criteria for small-scale infrastructure rehabilitation to identify, eliminate and address potential safety risks and UNDP field monitoring including TPM.</p>
<p>There is a significant risk for fatal incidents or serious injuries to occur under the project, particularly under the labor-intensive community subprojects of Component 2.</p>	<p>I = 4 L = 4</p>	<p>High</p>	<p>This is anticipated as the project will provide temporary work opportunities to a large number of local community members who are unskilled, largely illiterate, and with little or no knowledge or</p>	<p>Implementing partners will adopt and implement occupational, health and safety (OHS) measures as described in the ESMF and ESMPs. Implementing partners will develop and implement, either as subsection of the LMP or a standalone document (and prior to the commencements of any construction work), a site-specific OHS management plan and will perform safety audits and site visits to be carried out monthly. OHS</p>

			experience in applying OHS measures.	<p>requirements will be incorporated in all contracts as part of the bidding/contractual agreements.</p> <p>Implementing partners will also:</p> <ul style="list-style-type: none"> • Ensure that an appropriate level of management and resources are in place to comply with the OHS requirements, including the free distribution of personal protective equipment (PPE) and first aid kits. • Prepare risk identification matrices. • Prepare emergency plans and analyze all incidents and accidents;
Failure of structural elements of the Project poses risks to communities (e.g. collapse of buildings or infrastructure)	I = 2 L = 2	Moderate	The project aims to support the rehabilitation/reconstruction of community infrastructure (i.e. damaged classrooms, small-scale infrastructure for flood prevention etc.).	These will be mitigated by the 20-years of experience of PWP in managing project impacts successfully according to their Operational Manual (endorsed/approved by UNDP BMS) that lay out clear criteria for small-scale infrastructure rehabilitation to identify, eliminate and address potential safety risks and UNDP field monitoring including TPM.
Reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits.	I = 3 L = 3	Substantial	The project will ensure that gender sensitive interventions are mainstreamed across all project components creating pathways for employment and participation in society and playing a key role in building resilience to shocks, improving livelihoods and mitigating social constraints.	A GBV assessment will be undertaken for the project prior implementation to have a sense of potential Sexual Exploitation and Abuse (SEA)/SH. The assessment will be conducted to cover activities under components 1 and 2 since there will be interaction between male workers with female beneficiaries and laborers provided by contractors. The project will prepare a Gender Action Plan.

<p>There is a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals</p>	<p>I = 3 L= 3</p>	<p>Moderate</p>	<p>The conflict context may produce social tensions during project implementation, concerning prioritization of subprojects, locations, and selection of participants.</p>	<p>Measures to be undertaken include a clear definition of targeting and selection criteria based on data provided by the UN Clusters; participatory preparation and implementation of subprojects by communities and relevant stakeholders; frequent communication with communities and local stakeholders; grievance redress/ stakeholder response mechanism procedures to ensure timely handling of grievance redress; and public disclosure of the reasons for the rejection of subprojects, if any, to increase transparency. In addition, the project will have a communication strategy which will include consultations with government counterparts, citizen engagement and public outreach. The project is implemented by SFD and PWP which are operating relatively independently from the government.</p>
<p>There is a risk that the project would involve or lead to activities adjacent to or within a Cultural Heritage site.</p>	<p>I = 1 L= 1</p>	<p>Low</p>	<p>A chance finds procedure will be included in all contracts relating to construction of the project.</p>	<p>Chance finds procedures will be included in ESMPs and any contractual documents prior to the implementation of any relevant sub-project activity.</p>
<p>The Project involves significant extraction, diversion or containment of surface or ground water</p>	<p>I = 1 L= 1</p>	<p>Moderate</p>	<p>The project will target artisanal fishermen using small boats with one off-board petrol engine, i.e. not used in deep waters and will not risk overfishing. The project will carefully monitor the activities of the fishermen during its implementation</p>	<p>Some of the fishermen will be equipped with fish finders, to help expedite the search of the fish and thus economize the use of fuel. As the targeted fishermen will be operating on small boats using traditional methods of fishing, there is low risk that the use of the fish finders will lead to over catching the fish. The project will also work with fishing associations responsible for ensuring that fishing protocols are in place and adhered to, to protect fish stocks and regulate seasonal controls on fishing. The project will be implemented in line with the Biodiversity Conservation</p>

				and Sustainable NRM of the SES which includes fishing management. The concept of sustainable and responsible fishing will be promoted through this partnership. Through its direct support to small scale fishermen, the project will improve community livelihoods and training on quality and resource sustainability to include the reduction of wastage
The Project involves significant extraction, diversion or containment of surface or ground water, risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health.	I = 1 L= 1	Moderate	The project rehabilitates existing water supply infrastructure at a small-scale and will not create new extraction points or new infrastructure for containment or diversion of water	The project aims to rehabilitate or construct a total of 77,000 m3 of water supply (including water catchments, reservoirs and maintenance of clean water supply etc.), which are relatively small-scale. Overall the associated risks are low. Subprojects will be screened against environmental and social criteria that will be included in the ESMF, and subsequent site-specific environmental assessment instruments will be prepared -if needed- during the implementation phase and before the commencement of any physical activities.
QUESTION 4: What is the overall project risk categorization?				
		<i>Low Risk</i>	<input type="checkbox"/>	
		<i>Moderate Risk</i>	<input type="checkbox"/>	
		<i>Substantial Risk</i>	<input type="checkbox"/>	
		<i>High Risk</i>	<input checked="" type="checkbox"/>	Although the majority of risks are low and moderate in terms of significance, the overall risk for the project has been identified as High due to some fatalities that happened previously under other projects in Yemen and in order to enforce stronger compliance to safeguard measures. These will be carefully monitored through

			regular updates and consultations with the responsible parties (SFD , SMEPs, and PWP) and key stakeholders.
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
<i>Is assessment required? (check if “yes”)</i>	<input type="checkbox"/>		<i>Status? (completed, planned)</i>
<i>if yes, indicate overall type and status</i>	<input type="checkbox"/>	Targeted assessment(s)	
	<input checked="" type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)	
	<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)	
<i>Are management plans required? (check if “yes”)</i>	<input checked="" type="checkbox"/>		
<i>if yes, indicate overall type</i>	<input checked="" type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Stakeholder Engagement Plan (SEP) and Labour Management Procedures (LMP) Management Plan, others)	
	<input checked="" type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)	
	<input checked="" type="checkbox"/>	ESMF (Environmental and Social Management Framework)	
<i>Based on identified risks, which Principles/Project-level Standards triggered?</i>		Comments (not required)	

	<i>Overarching Principle: Leave No One Behind</i>		
	<i>Human Rights</i>	✓	
	<i>Gender Equality and Women’s Empowerment</i>	✓	
	<i>Accountability</i>	✓	
	<i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i>	✓	
	<i>2. Climate Change and Disaster Risks</i>	✓	
	<i>3. Community Health, Safety and Security</i>	✓	
	<i>4. Cultural Heritage</i>	✓	
	<i>5. Displacement and Resettlement</i>	□	
	<i>6. Indigenous Peoples</i>	□	
	<i>7. Labour and Working Conditions</i>	✓	
	<i>8. Pollution Prevention and Resource Efficiency</i>	✓	

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

<i>Signature</i>	<i>Date</i>	<i>Description</i>
QA Assessor		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted.

QA Approver		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC.
PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

SESP Attachment 1. Sample ESPECRP and AF1 & AF2 overall Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
<p>INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.</p>		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	NO
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	NO
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	NO
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	NO
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ⁸	Yes
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	NO
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	NO
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	NO
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	NO
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	NO

⁸ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

P.11	limitations on women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	NO
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	NO
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		
Accountability: Screening questions focus on ensure transparency, stakeholder engagement during the project cycles, participatory implementation, monitoring and reporting.		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	NO
P.14	grievances or objections from potentially affected stakeholders?	NO
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	NO
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	NO
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	NO
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	NO
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	NO
1.5	exacerbation of illegal wildlife trade?	NO
1.6	introduction of invasive alien species?	NO
1.7	adverse impacts on soils?	NO
1.8	harvesting of natural forests, plantation development, or reforestation?	NO
1.9	significant agricultural production?	NO
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	Yes
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	NO

1.12	handling or utilization of genetically modified organisms/living modified organisms? ⁹	NO
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ¹⁰	NO
1.14	adverse transboundary or global environmental concerns?	NO
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	NO
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? <i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	NO
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	NO
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	yes
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	NO
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	Yes
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	NO
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	NO
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	yes
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	NO
3.7	influx of project workers to project areas?	NO
3.8	engagement of security personnel to protect facilities and property or to support project activities?	Yes
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	NO

⁹ See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

¹⁰ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	NO
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	NO
4.4	alterations to landscapes and natural features with cultural significance?	NO
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	NO
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	NO
5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	NO
5.3	risk of forced evictions? ¹¹	NO
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	NO
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	NO
6.2	activities located on lands and territories claimed by indigenous peoples?	NO
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	NO
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	NO
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	NO
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	NO

¹¹ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	NO
6.8	risks to the physical and cultural survival of indigenous peoples?	NO
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	NO
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	NO
7.2	working conditions that may deny freedom of association and collective bargaining?	NO
7.3	use of child labour?	NO
7.4	use of forced labour?	NO
7.5	discriminatory working conditions and/or lack of equal opportunity?	NO
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	NO
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	NO
8.2	the generation of waste (both hazardous and non-hazardous)?	Yes
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	NO
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	NO
8.5	the application of pesticides that may have a negative effect on the environment or human health?	NO
8.6	significant consumption of raw materials, energy, and/or water?	Yes

Attachment 2. SFD Environmental and social screening checklist

The Natural Environment	YES	NO
Are there any environmentally sensitive areas or threatened species that could be adversely affected by the subproject (specify below)?	<input type="checkbox"/>	<input type="checkbox"/>

Intact natural forests	<input type="checkbox"/>	<input type="checkbox"/>
Riverine forest	<input type="checkbox"/>	<input type="checkbox"/>
Wetlands (lakes/rivers/seasonally inundated areas) If yes, how far are the nearest wetlands (lakes, rivers, seasonally inundated [flooded] areas)? _____ km	<input type="checkbox"/>	<input type="checkbox"/>
Habitats of endangered species for which protection is required under Yemeni laws and/or international agreements	<input type="checkbox"/>	<input type="checkbox"/>
Others (describe)(e.g. cultural sites, burial places, etc.)	<input type="checkbox"/>	<input type="checkbox"/>
Fauna and Flora	YES	NO
Will subproject involve the disturbance or modification of existing drainage channels (rivers, canals) or surface water bodies (wetlands, marshes)?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to the destruction or damage of terrestrial or aquatic ecosystems or endangered species directly or by induced development?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to the disruption/destruction of wildlife through interruption of migratory routes, disturbance of wildlife habitats, and noise-related problems?	<input type="checkbox"/>	<input type="checkbox"/>
Livestock/Poultry	YES	NO
Will this project involve restocking of livestock/poultry?	<input type="checkbox"/>	<input type="checkbox"/>
Destruction/Disruption of Land and Vegetation	YES	NO
Will the subproject lead to unplanned use of the infrastructure being developed?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to long-term or semi-permanent destruction of soils in cleared areas not suited for agriculture?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to the interruption of subsoil and overland drainage patterns (in areas of cuts and fills)?	<input type="checkbox"/>	<input type="checkbox"/>

Will the subproject lead to landslides, slumps, slips and other mass movements in road cuts?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to erosion of lands below the roadbed receiving concentrated outflow carried by covered or open drains?	<input type="checkbox"/>	<input type="checkbox"/>
Will the subproject lead to health hazards and interference of plant growth adjacent to roads by dust raised and blown by vehicles?	<input type="checkbox"/>	<input type="checkbox"/>
Protected areas	YES	NO
Does subproject occur within/adjacent to any protected areas designated by government (national park, national reserve, world heritage site, etc.)	<input type="checkbox"/>	<input type="checkbox"/>
If subproject is outside of, but close to, any protected area, is it likely to adversely affect the ecology within the protected area (e.g. interference with migration routes of mammals or birds)	<input type="checkbox"/>	<input type="checkbox"/>
Would this project increase the current impact on the surrounding environment for example by using more water, chemicals or machinery than previously? If yes HOW	<input type="checkbox"/>	<input type="checkbox"/>
Geology and Soils	YES	NO
Based upon visual inspection or available literature, are there areas of possible geologic or soil instability (erosion prone, landslide prone, subsidence-prone)?	<input type="checkbox"/>	<input type="checkbox"/>
Based upon visual inspection or available literature, are there areas that have risks of large-scale increase in soil salinity?	<input type="checkbox"/>	<input type="checkbox"/>
Landscape/aesthetics	YES	NO
Is there a possibility that subproject will adversely affect the aesthetic attractiveness of the local landscape?	<input type="checkbox"/>	<input type="checkbox"/>
Historical, archaeological or cultural heritage site	YES	NO

Based on available sources, consultation with local authorities, local knowledge and/or observations, could the subproject alter any historical, archaeological or cultural heritage site or require excavation nearby?	<input type="checkbox"/>	<input type="checkbox"/>
Resettlement and/or Land Acquisition	YES	NO
Will the subproject require land acquisition?	<input type="checkbox"/>	<input type="checkbox"/>
If So, is the land privately owned?	<input type="checkbox"/>	<input type="checkbox"/>
If So, is the land size required exceed 20% of the owner land?	<input type="checkbox"/>	<input type="checkbox"/>
Is the land is free from encroachers/squatters	<input type="checkbox"/>	<input type="checkbox"/>
If so, will this land acquisition be involuntary?	<input type="checkbox"/>	<input type="checkbox"/>
If so, will this involuntary land acquisition lead to relocation or loss of shelter, loss of assets, or access to assets?	<input type="checkbox"/>	<input type="checkbox"/>
- If so, will this involuntary land acquisition lead to loss of income sources or means of livelihood (whether or not affected persons must move to another location)?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject lead to involuntary restriction of access to legally designated parks and protected areas resulting in adverse impacts on livelihoods of displaced persons?	<input type="checkbox"/>	<input type="checkbox"/>
Tenure	YES	NO
Will this project permanently or temporarily remove people from their homes or means of production/livelihood or restrict their access to their means of livelihood?	<input type="checkbox"/>	<input type="checkbox"/>
Will the project bring about consolidation or adjustment of tenure rights?	<input type="checkbox"/>	<input type="checkbox"/>
Loss of Household Infrastructure	YES	NO
Will subproject result in permanent or temporary loss of household infrastructure (such as granaries, outside toilets and kitchens, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>

Noise pollution during Construction and Operations	YES	NO
Will operating noise level exceed allowable/ambient noise limits?	<input type="checkbox"/>	<input type="checkbox"/>
Solid or Liquid Wastes, including Medical Waste	YES	NO
Will subproject generate large amounts of residual wastes (solid or liquid wastes), including medical waste?	<input type="checkbox"/>	<input type="checkbox"/>
If “Yes”, does subproject include plan for collection & disposal?	<input type="checkbox"/>	<input type="checkbox"/>
Pesticides, Insecticides, Herbicides or any other Poisonous or Hazardous Chemicals	YES	NO
Will the subproject require the use of such chemicals?	<input type="checkbox"/>	<input type="checkbox"/>
If, “Yes”, does subproject include plan for safe handling, use & disposal?	<input type="checkbox"/>	<input type="checkbox"/>
Plant Genetic Resources for Food and Agriculture	YES	NO
Will this project introduce crops and varieties previously not grown?	<input type="checkbox"/>	<input type="checkbox"/>
Will this project provide seeds/planting material for cultivation?	<input type="checkbox"/>	<input type="checkbox"/>
Will this project involve the importing or transfer of seeds and or planting material for cultivation or research and development?	<input type="checkbox"/>	<input type="checkbox"/>
Will this project supply or use modern biotechnologies or their products in crop production?	<input type="checkbox"/>	<input type="checkbox"/>
Animal Genetic Resources for Food and Agriculture	YES	NO
Will this project introduce non-native or non-locally adapted species, breeds, genotypes or other genetic material to an area or production system, or modify in any way the surrounding habitat or production system used by existing genetic resources?	<input type="checkbox"/>	<input type="checkbox"/>
Water and Soil Contamination	YES	NO

Will subproject require large amounts of raw materials/construction materials?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject generate large amounts of residual wastes, construction material waste or cause soil erosion?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject result in soil or water contamination (e.g. from oil, grease and fuel from equipment)?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject lead to contamination of ground and surface water bodies by herbicides for vegetation control and chemicals for dust control?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject lead to increase in suspended sediments in streams affected by road cut erosion, decline in water quality & increased sedimentation downstream?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject lead to the destruction of vegetation and soil in the right-of-way; borrow pits, waste dumps, and equipment yards?	<input type="checkbox"/>	<input type="checkbox"/>
Will subproject lead to the creation of stagnant water bodies in borrow pits, quarries, etc., encouraging for mosquito breeding and other disease vectors?	<input type="checkbox"/>	<input type="checkbox"/>
Will this project include the development of a large irrigation scheme?	<input type="checkbox"/>	<input type="checkbox"/>
Will this project aim at improving an irrigation scheme (without expansion)?	<input type="checkbox"/>	<input type="checkbox"/>
- Will this project change the water quality and quantity in the project area or areas connected to it	<input type="checkbox"/>	<input type="checkbox"/>
Will this project involve the intensification of production systems that leads to land- use changes (e.g. deforestation), higher nutrient inputs leading to soil or water pollution, changes of water regimes (drainage, irrigation)?	<input type="checkbox"/>	<input type="checkbox"/>
Decent Work	YES	NO
Will this project affect the current or future employment situation of the rural poor, and in particular the labour productivity, employability, labour conditions and rights at work of self-employed rural producers and other rural workers?	<input type="checkbox"/>	<input type="checkbox"/>
Safety	YES	NO

Will the project cause any labor influx		
If yes, will the labor influx affect project areas negatively in terms of public infrastructure, utilities, housing, sustainable resource management and social dynamics		
Will the project impact the safety of site workers?	<input type="checkbox"/>	<input type="checkbox"/>
Will the project impact community's health and/or safety?	<input type="checkbox"/>	<input type="checkbox"/>
Child Labor	YES	NO
Will children be hired to work in the project?	<input type="checkbox"/>	<input type="checkbox"/>
will age verification process will be in place to ensure minimum age of worker is 18 years old?	<input type="checkbox"/>	<input type="checkbox"/>
Gender	YES	NO
Could this project risk overlook existing gender inequalities in access to productive resources, goods, services, markets, decent employment and decision-making? For example, by not addressing existing discrimination against women and girls, or by not taking into account the different needs of men and women	<input type="checkbox"/>	<input type="checkbox"/>

Attachment 3. PWP Environmental and Social Checklist

1: The Natural Environment	Answer (Yes/No)
<p>1.1 Are there any environmentally sensitive areas or threatened species that could be adversely affected by the subproject (specify below)?</p> <p>Intact natural forests</p> <p>Riverine forest</p> <p>Wetlands (lakes/rivers/seasonally inundated areas)</p> <p>If yes, how far are the nearest wetlands (lakes, rivers, seasonally inundated [flooded] areas)? _____ km</p>	

Habitats of endangered species for which protection is required under Yemeni laws and/or international agreements Others (describe)(e.g. cultural sites, burial places, etc.)	
2.Fauna and Flora	
2.1 Will subproject involves the disturbance or modification of existing drainage channels (rivers, canals) or surface water bodies (wetlands, marshes)?	
2.2 Will the subproject lead to the destruction or damage of terrestrial or aquatic ecosystems or endangered species directly or by induced development?	
2.3 Will the subproject lead to the disruption/destruction of wildlife through interruption of migratory routes, disturbance of wildlife habitats, and noise-related problems?	
3.Destruction/Disruption of Land and Vegetation	
3.1 Will the subproject lead to unplanned use of the infrastructure being developed?	
3.2 Will the subproject lead to long-term or semi-permanent destruction of soils in cleared areas not suited for agriculture?	
3.3 Will the subproject lead to the interruption of subsoil and overland drainage patterns (in areas of cuts and fills)?	
3.4 Will the subproject lead to landslides, slumps, slips and other mass movements in soil?	
3.5 Will the subproject lead to erosion of lands?	
3.6 Will the subproject lead to health hazards and interference of plant growth by the dust raised and blown by vehicles?	
4. Protected areas	
4.1 Does subproject occur within/adjacent to any protected areas designated by the government (national park, national reserve, world heritage site, etc.)	

4.2 If subproject is outside of, but close to, any protected area, is it likely to adversely affect the ecology within the protected area (e.g. interference with migration routes of mammals or birds)	
4.3 Would this project increase the current impact on the surrounding environment for example by using more water, chemicals or machinery than previously? If yes HOW	
5. Geology and Soils	
5.1 Based on visual inspection or available literature, are there areas of possible geologic or soil instability (erosion-prone, landslide-prone, subsidence-prone)?	
5.2 Based upon visual inspection or available literature, are there areas that have risks of a large-scale increase in soil salinity?	
6 Landscape/aesthetics	
6.1 Is there a possibility that the subproject will adversely affect the aesthetic attractiveness of the local landscape?	
7. Historical, archaeological or cultural heritage site	
7.1. Based on available sources, consultation with local authorities, local knowledge and/or observations, could the subproject alter any historical, archaeological or cultural heritage site or require excavation nearby?	
8. Resettlement and/or Land Acquisition	
8.1 Will the subproject require land acquisition?	
8.2 If So, is the land privately owned?	
8.3 If So, is the land size required exceed 20% of the owner land?	
8.4 Is the land is free from encroachers/squatters	
8.5 If so, will this land acquisition be involuntary?	
8.6 If so, will this involuntary land acquisition lead to relocation or loss of shelter, loss of assets, or access to assets?	

8.7 If so, will this involuntary land acquisition lead to loss of income sources or means of livelihood (whether or not affected persons must move to another location)?	
8.8 Will subproject lead to involuntary restriction of access to legally designated parks and protected areas resulting in adverse impacts on the livelihoods of displaced persons?	
9. Noise pollution during Construction and Operations	
9.1 Will operating noise level exceeds allowable/ambient noise limits?	
10. Solid or Liquid Wastes, including Medical Waste	
10.1 Will subproject generate large amounts of residual wastes (solid or liquid wastes), including medical waste?	
10.2 If “Yes”, does subproject include plan for collection & disposal?	
11. Pesticides, Insecticides, Herbicides or any other Poisonous or Hazardous Chemicals	
11.1 Will the subproject require the use of such chemicals?	
11.2 If, “Yes”, does subproject include plan for safe handling, use & disposal?	
12. Water and Soil Contamination	
12.1 Will the subproject require large amounts of raw materials/construction materials?	
12.2 Will subproject generate large amounts of residual wastes, construction material waste or cause soil erosion?	
12.3 Will subproject result in soil or water contamination (e.g. from oil, grease, and fuel from equipment)?	
12.4 Will subproject lead to contamination of ground and surface water bodies by herbicides for vegetation control and chemicals for dust control?	

12.5 Will subproject lead to an increase in suspended sediments in streams affected by a road cut erosion, a decline in water quality & increased sedimentation downstream?	
12.6 Will subproject lead to the destruction of vegetation and soil in the right-of-way; borrow pits, waste dumps, and equipment yards?	
12.7 Will subproject lead to the creation of stagnant water bodies in borrow pits, quarries, etc., encouraging for mosquito breeding and other disease vectors?	
12.8 Will this project include the development of a large irrigation scheme?	
12.9 Will this project aims at improving an irrigation scheme (without expansion)?	
12.10 Will this project change the water quality and quantity in the project area or areas connected to it	
12.11 Will this project involve the intensification of production systems that leads to land-use changes (e.g. deforestation), higher nutrient inputs leading to soil or water pollution, changes of water regimes (drainage, irrigation)?	
13. Decent Work	
13.1 Will this project affect the current or future employment situation of the rural poor and in particular the labor productivity, employability, labor conditions, and rights at work of self-employed rural producers and other rural workers?	
13.2 Will the project cause any labor influx?	
13.3 If yes, will the labor influx affect project areas negatively in terms of public infrastructure, utilities, housing, sustainable resource management and social dynamics	
14. Child Labor	
14.1 Will children be hired to work in the project?	

14.2 Will age verification process will be in place to ensure minimum age of worker is 18 years old?	
15. Gender	
15.1 Could this project risk overlook existing gender inequalities in access to productive resources, goods, services, markets, decent employment, and decision-making? For example, by not addressing existing discrimination against women and girls, or by not taking into account the different needs of men and women	
16. Community Health, Safety, and Working Conditions	
16.1 Are indigenous peoples present in the Project area (including Project area of influence)?	
16.2 Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples?	
16.3 Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples?	
16.4 Would the Project adversely affect the development priorities of indigenous peoples as defined by them?	
16.5 Will this project permanently or temporarily removes people from their homes or means of production/livelihood or restrict their access to their means of livelihood?	
16.6 Will the project bring about consolidation or adjustment of tenure rights?	
16.7 Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?	
16.8 Would the Project pose potential risks to community health and safety due to transport, storage, construction?	
16.9 Would the Project pose potential risks to community health and safety due to the use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel, and other chemicals during construction and operation)?	

16.10 Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)?	
16.11 Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases)?	
16.12 Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?	
16.13 Will the Project activities cause any risks for workers during the construction?	

Attachment 4. SMEPS Environmental and Social Checklist

Impact	Y	N
A. Zoning and Land Use Planning		
1. Will the subproject affect land use zoning and planning or conflict with prevalent land use patterns?		
2. Will the subproject involve significant land disturbance or site clearance?		
3. Will the subproject land be subject to potential encroachment by urban or industrial use or located in an area intended for urban or industrial development?		
B. Utilities and Facilities		
4. Will the subproject require the setting up of ancillary production facilities?		
5. Will the subproject require significant levels of accommodation or service amenities to support the workforce during (e.g., Farmers will need more than 20 workers)?		
C Water and Soil Contamination		
6. Will the subproject require large amounts of raw materials or materials?		
7. Will the subproject generate large amounts of residual wastes, material waste or cause soil erosion?		
8. Will the subproject result in potential soil or water contamination (e.g., from oil, grease and fuel from equipment yards)? or lead to contamination of ground and surface waters by herbicides for Horticulture control and chemicals (e.g., calcium chloride) for dust control? or involve the use of chemicals or solvents?		
9. Will the subproject lead to an increase in suspended sediments in streams affected by road cut erosion, decline in water quality and increased sedimentation downstream?		
10. Will the subproject lead to the destruction of Horticulture and soil in the right-of-way, borrow pits, waste dumps, and equipment yards?		
11. Will the subproject lead to the creation of stagnant water bodies in borrow pits, quarries, etc., encouraging for mosquito breeding and other disease vectors?		
D. Noise and Air Pollution Hazardous Substances		
12. Will the subproject increase the levels of harmful air emissions? and ambient noise levels?		
13. Will the subproject involve the storage, handling or transport of hazardous substances?		
E. Destruction/Disruption of Land and Horticulture		

14. Will the subproject lead to unplanned use of the infrastructure being developed?		
15. Will the subproject lead to long-term or semi-permanent destruction of soils in cleared areas not suited for agriculture?		
16. Will the subproject lead to the interruption of subsoil and overland drainage patterns (in areas of cuts and fills)?		
17. Will the subproject lead to landslides, slumps, slips and other mass movements in road cuts?		
18. Will the subproject lead to erosion of lands below the roadbed receiving concentrated outflow carried by covered or open drains?		
19. Will the subproject lead to long-term or semi-permanent destruction of soils in cleared areas not suited for agriculture?		
20. Will the subproject lead to health hazards and interference of plant growth adjacent to roads by dust raised and blown by vehicles?		
F. Expropriation and Social Disturbance		
21. Will the subproject impact internally displaced persons (IDP) negatively?		
22. Will the subproject lead to induced settlements by workers and others causing social and economic disruption?		
23. Will the subproject lead to environmental and social disturbance by camps?		
24. Will the subproject cause economic displacement?		
25. Will the subproject temporarily displace squatters, economically or physically, or other informal groups?		
26. Will the subproject cause a loss in productive assets or income source?		
27. Will the subproject restrict access to resources?		
28. Will the subproject affect the livelihoods or vulnerable people, such as persons with disabilities, widows or the elderly?		
29. Will the subproject create social conflict over the distribution of benefits or resources?		
30. Will the subproject affect workers' safety?		
31. Will the project cause any labor influx		
32. If yes, will the labor influx affect project areas negatively in terms of public infrastructure, utilities, housing, sustainable resource management and social dynamics		

Annex 2. Specific Risks and Management Measures by Project Activity¹²

Sub-Project Activity	Potential Impacts	Proposed Mitigation Measures	Means of insurance and compliance
<p>Building New Terraces</p>	<p>Air Quality and Noise</p> <p>Construction</p> <p>Construction may impact air quality and generate noise. This results mainly from excavation, site grading, vehicle loading and unloading, and other construction-related activities.</p> <p>Operation</p> <p>Potential impacts on ambient air quality would result from odors and gaseous emissions generated by stagnant water—water may increase humidity</p> <ul style="list-style-type: none"> - Children are pushed by their families to work due to need of money - Exposure to or production cracks, humidity exfoliation may happen due to high humidity in the area - Odor due to increase relative humidity - Deterioration of terraces walls may lead to erosion or flash flood 	<p>Air Quality and Noise</p> <p>Construction</p> <p>Use dust control measures onsite, such as water spraying for dust suppression;</p> <p>Regulate site access;</p> <p>Cover lorries transporting friable construction materials and spoil;</p> <p>Prohibit open air burning;</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered 	<p>SFD with beneficiaries CBOs oversees construction and operation activities and conducts visual inspection with the assistance of a representative of the local community</p> <p>Contracts and PCU coordinator ensures that contractors implement environmental management plans/regulations and that contractors perform continuous inspection and monitoring of areas of potential pollution and/or uses with the potential to result in soil contamination;</p>

¹² Regarding the ESA/SH issues, the stand-alone GBV Action Plan was prepared for the parent project and was updated for the AF and it includes a deep SH/SEA risk assessment.

	<p>- Disturbing the stability of slopes and soils due to large quantities of materials extracted to build the terraces</p> <p>- Interruption of some runoff water</p> <p>COVID-19 spread causing illness</p> <p>Soil Quality and Surface/Ground water Pattern/contamination</p> <p>Construction</p> <p>Impacts on soil quality may result from the following construction activities:</p>	<p>Maintain machinery in good working conditions to minimize emissions; and</p> <p>Provide adequate protective wear for workers, and equipment must be maintained regularly to avoid any emissions;</p> <p>Pre-treat gases emitted</p> <p>Operation</p> <p>Conserve energy use to reduce fuel combustion;</p> <p>Mitigation to the first factor could be addressed by frequent inspection to the terraces construction and apply the required maintenance. Regular inspection and examinations for mentioned impacts and address them through maintenance replacement of materials spoiled. Minimizing entrance of heavy machines to reduce vibration impact. For handling and occupational health applying strict protection regulation for occupational health measurements is critical and a site-specific management plan is required-including all operational stages from handling, washing, classification, freezing, backing up to loading and distribution to consumption</p> <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <p>-Ensure face masks are available and used by all workers.</p> <p>-Ensure awareness sessions are conducted on COVID-19 with all workers.</p> <p>-Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed.</p>	<p>Complaints from local community</p> <p>Review of tender and bid documents by SFD</p>
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	<p>- Change in composition, filtration.</p> <p>- Minor oil spills from construction equipment</p> <p>Operation</p> <p>Contamination of soils and groundwater with chemicals used in farming and chemicals and oil spills from equipment.</p> <p>Spills and leaks at liquid impoundment areas for fuels, solvents, waste and from infrastructure equipment, may infiltrate through soil pores, under gravitational forces, and contaminate ground water aquifers;</p> <p>Discharge into surface waters, or alteration of surface water quality, including but not limited to temperature, dissolved oxygen, turbidity, solids</p> <p>Children are pushed by their families to work due to need of money</p>	<p>-Ensure social distancing is applied in the work site.</p> <p>Soil Quality and Surface/Ground water pattern/contamination</p> <p>Construction</p> <p>Apply, inspect and maintain temporary/permanent erosion and sediment control measures (e.g. silt fences, rapid growth vegetation, erosion control matting) to exposed areas;</p> <p>Restrict movement of vehicles to designated tracks;</p> <p>Operation</p> <p>Maintain periodically vehicles and equipment to prevent leaks;</p> <p>Maintain records and procedures for equipment maintenance, handling and storage of liquid fuels and chemicals; lab regular testing for ground and surface water</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <p>-Verifying age by checking IDs and other available documents.</p> <p>-Ensure a Labour Log is available, and all workers are registered</p>	
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	<p>COVID-19 spread causing illness</p> <p>Wastewater</p> <p>Potential generation of waste water resulting from the project activities and/ or sub-projects during both construction and operation.</p> <p>_contamination of water, soil and agricultural products with insecticides and herbicides</p> <p>Biological Resources- Flora & Fauna</p> <p>Removal or disturbance of natural vegetation, A loss or disturbance to a unique, rare or threatened plant community, A reduction in the numbers or restriction in the range of any unique, rare or threatened species of plants such as bushes, A deterioration of existing wildlife habitat, Introduction of any factors (light, fencing, noise, human presence and/or domestic animals)</p>	<p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <p>-Ensure face masks are available and used by all workers.</p> <p>-Ensure awareness sessions are conducted on COVID-19 with all workers.</p> <p>-Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed.</p> <p>-Ensure social distancing is applied in the work site.</p> <p>Wastewater</p> <p>Encourage drying mechanisms to avoid stagnant water</p> <p>Use of bio-treatment to prevent chemical contamination</p> <p>Apply IPMP to avoid negative impact of pesticides and herbicides if required, apply biological control for pests. ¹³</p> <p>Biological Resources- Flora & Fauna</p> <p>Applying environmental operational standards within the legal, policy and management framework of the project to minimize the negative impact on the environment using the comparative advantage of the different project counterparts. Compliance with SFD's ESMF is critical for the conservation of biodiversity Coordination with relevant stakeholders</p>	
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¹³ Integrated Pest Management Plan as per World Bank operational manual at <http://web.worldbank.org/WBSITE/EXTERNAL/TOPICS/EXTARD/EXTPESTMGMT/0>

	which could hinder the normal activities of wildlife	is very important, Proper selection of sites as to avoid damaging natural habitat. Tender document will have to include provisions for site specific ESMP.	
Open Catchment Covered Rainwater Harvesting Cisterns for Domestic Use	<p>Air Quality and Noise Construction</p> <p>Construction may impact air quality and generate noise. This results mainly from excavation, site grading, vehicle loading and unloading, and other construction-related activities.</p> <p>Dispute on land and land acquisition</p>	<p>Air Quality and Noise Construction</p> <p>Use dust control measures onsite, such as water spraying for dust suppression;</p> <p>Regulate site access;</p> <p>Cover lorries transporting friable construction materials and spoil;</p> <p>Prohibit open air burning;</p> <p>Maintain machinery and vehicles in good working conditions to minimize emissions; and</p> <p>Provide adequate protective wear for workers</p> <p>Ensure that land is available to implement the activity</p> <p>Ensure Land is owned by the beneficiary /Community/or Government</p> <p>Ensure land acquisition is done voluntarily</p> <p>Ensure land is free from encroachers/squatters</p> <p>Ensure land size does not exceed 20% of the owner land.</p> <p>Ensure a waiver procedure is obtained and no conflict is raised on the land</p>	<p>Villages CBOs with support from Governorate lined Units in addition to SFD/PWP conducting visual inspection with the assistance of a representative of the local community.</p> <p>Contracts and ECO ensures that contractors implement environmental and social management plans/regulations and that Technical officials with CBOs perform continuous inspection and monitoring of areas of potential pollution and/or uses with the potential to result in soil contamination; Review of tender and bid documents by SFD</p>

	<p>Children are pushed by their families to work due to need of money</p> <p>Operation</p> <ul style="list-style-type: none"> - Bad odors from donkeys' droppings together with water spills from extracting water - Mosquito breeding may occur as well as algae growth, <p>COVID-19 spread causing illness</p> <p>Soil Quality and Ground Water Contamination</p> <p>Construction</p> <p>Impacts on soil quality may result from the following construction activities: Site clearance, site grading, excavation, infrastructure, and oil leaks from vehicles/equipment.</p> <p>Operation</p> <p>Donkeys' droppings together with water spills may percolate and contaminate groundwater.</p> <ul style="list-style-type: none"> -Potential for stagnant water <p>COVID-19 spread causing illness</p>	<p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered <p>Operation</p> <ul style="list-style-type: none"> -Assess the ecology of disease carriers in the watershed - Employ suitable prevention and mitigation measures, including education of local people and construction workers, -Monitor disease and public health indicators, after construction, and take corrective measures (e.g. education, medical) as needed - Treatment of stagnant water to avoid biological contamination <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. <p>Biological Resources- Flora & Fauna</p>	
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	<p>Biological Resources- Flora & Fauna Construction & Operation</p> <p>Removal or disturbance of natural vegetation, A loss or disturbance to a unique, rare or threatened plant community, A reduction in the numbers or restriction in the range of any unique, rare or threatened species of plants such as indigenous herbs, A deterioration of existing wildlife habitat, Introduction of any factors (light, fencing, noise, human presence and/or domestic animals) which could hinder the normal activities of wildlife</p>	<ul style="list-style-type: none"> - Applying environmental operational standards within the legal, policy and management framework of the project to minimize the negative impact on the environment using the comparative advantage of the different project counterparts. - Proper selection of sites as to avoid damaging natural habitat. - Tender document will have to include provisions for site specific ESMP and implementation, when required. 	
<p>Rooftop rainwater harvesting cisterns</p>	<p>Construction</p> <ul style="list-style-type: none"> - Owners tend to have the cistern very near to the house sometimes directly adjacent to the house and this may cause house damage due possible water leakage, - Land acquisition problem may arise, <p>Child Labor</p> <p>Operation</p> <ul style="list-style-type: none"> - There is a possibility for Mosquito breeding and algae growth, 	<p>Construction</p> <ul style="list-style-type: none"> - Proper selection of sites far from buildings and insure water tightness of the cistern, Help solving land acquisition amicably, Ensure that land is available to implement the activity and its owned by the beneficiary or a waiver has been already obtained and conflict is raised on the land <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered 	<ul style="list-style-type: none"> - House owner and supervising team to select good location for the cistern. - Supervising technician and engineer to insure the quality of work and the water tightness of the cistern

	<p>- Contamination of stored water as a result of using dirty bucket and rope for extracting water.</p> <p>Clogging of the inlet coarse filter may cause loss of precious water to the owner.</p> <p>COVID-19 spread causing illness</p>	<p>Operation</p> <ul style="list-style-type: none"> - Educated the owners to keep the cistern close after each use and use clean bucket and rope for extracting water, - Clean the coarse filter frequently, - Frequently inspect the cistern for leakage and the houses for expected damages from leakages, Ensure adherence to COVID-19 precautionary measures by all workers. -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. 	
<p>Flood Protection</p>	<p>Alteration or damaging natural habitat during construction, contamination may occur from construction infrastructure and materials, run-off surface water obstacles and divert to cause other flooding hazards</p> <p>COVID-19 spread causing illness</p>	<p>Avoiding damaging natural habitat during constructions or minimize it (proper site selection, use environmentally friendly materials, prepare materials off-site, etc.)</p> <p>Tender document will have to include provisions for site specific ESMP.</p> <p>Good practice in design</p> <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. 	<p>All technical stakeholders are involved in inspection of quality assurance with village CBO and overall supervision of SFD/PWP</p>

	<p>Child labor</p>	<p>-Ensure social distancing is applied in the work site.</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <p>-Verifying age by checking IDs and other available documents.</p> <p>-Ensure a Labour Log is available, and all workers are registered</p>	
<p>Water Saving Irrigation Systems</p>	<p>Plastic installation and dioxin made material could be a source of contamination for water and soil and thus public health</p>	<p>Using materials made from environmentally friendly materials and good practice</p> <p>Apply specific site ESMP</p>	<p>All technical stakeholder is involved in inspection of quality assurance and WEU with village CBO</p>
<p>Stone Pavement</p>	<p>Air Quality and Noise Construction</p> <p>Construction may impact air quality and generate noise. This results mainly from excavation, site grading, vehicle loading and unloading, and other construction-related activities.</p> <p>- Use of explosives to cut or drill on stones or rocky areas.</p>	<p>Air Quality and Noise Construction</p> <p>Use dust control measures onsite, such as water spraying for dust suppression;</p> <p>Regulate site access;</p> <p>Cover lorries transporting construction materials;</p> <p>Prohibition of use of explosives in all activities under ESCERP</p> <p>Prohibit open air burning;</p> <p>Maintain machinery in good working conditions to minimize emissions; and</p> <p>Provide adequate protective wear for workers</p> <p>Pre-treat gases emitted</p> <p>Operation</p>	<p>SFD/PWP with beneficiaries CBOs oversees construction and operation activities and conducts visual inspection with the assistance of a representative of the local community.</p> <p>PCU coordinator ensures that contractors implement environmental management plans/regulations and that contractors perform continuous inspection and monitoring of areas of potential pollution and/or uses with the potential to result in soil contamination;</p>

	<p>Operation</p> <p>Child labor</p> <p>Potential impacts on ambient air quality would result from odors and gaseous emissions generated by stagnant water – water may increase humidity</p> <ul style="list-style-type: none"> - Exposure to or production cracks, humidity exfoliation may happen due to high humidity in the area - Odor due to increase relative humidity - Deterioration of stone pavement may lead to erosion or flash flood - disturbing the stability of slopes and soils due to large quantities used in building pavements - interruption of some runoff water <p>COVID-19 spread causing illness</p>	<p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered <p>Conserve energy use to reduce fuel combustion;</p> <p>Regular inspection and examinations for mentioned impacts and address them through maintenance replacement of materials spoiled. Minimizing entrance of heavy machines to reduce vibration impact. Applying occupational health protection regulations and measurements is critical for all operational stages from stone cutting through finish of pavement.</p> <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. 	<p>Complaints from local community</p> <p>Review of tender and bid documents by SFD/PWP</p>
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	<p>Sexual harassment, abuse, gender-based violence and discrimination</p> <p>Soil Quality and Surface/Ground water pattern/contamination</p> <p>Construction</p> <p>Impacts on soil quality may result from the following construction activities: Change in composition, filtration.</p> <p>Operation</p>	<p>Mandatory and repeated training and awareness raising for the workforce about refraining from unacceptable conduct toward local community members, specifically women.</p> <p>-Informing workers about national laws that make sexual harassment and gender-based violence a punishable offence which is prosecuted.</p> <p>-Raise awareness on CHM/GRM system and how it can be used to report any GBV/ SH cases, and received anonymity complaints.</p> <p>Soil Quality and Surface/Ground water pattern/contamination</p> <p>Design</p> <p>Include groundwater recharging means such as leaching and pits and trenches to mitigate the impacts of low infiltration resulted from pavement</p> <p>Construction</p> <p>Apply, inspect and maintain temporary/permanent erosion and sediment control measures (e.g. silt fences, rapid growth vegetation, erosion control matting) to exposed areas;</p> <p>Restrict movement of vehicles to designated tracks</p> <p>Operation</p>	
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	<p>Contamination of soils and groundwater with chemicals used in construction and chemicals and oil spills from equipment.</p> <p>Spills and leaks at liquid impoundment areas for fuels, solvents, waste and from infrastructure equipment, may infiltrate through soil pores, under gravitational forces, and contaminate ground water aquifers;</p> <p>Discharge into surface waters, or alteration of surface water quality, including but not limited to temperature, dissolved oxygen, turbidity, solids</p> <p>COVID-19 spread causing illness</p> <p>Wastewater</p> <p>Potential generation of wastewater resulting from the project activities and/ or sub-projects during both construction and operation.</p> <p>Biological Resources- Flora & Fauna</p> <p>Removal or disturbance of natural vegetation, A loss or disturbance to a</p>	<p>Periodically maintain vehicles and equipment to prevent leaks;</p> <p>Maintain records and procedures for equipment maintenance, handling and storage of liquid fuels and chemicals; lab regular testing for ground and surface water</p> <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. <p>Wastewater</p> <p>Encourage drying mechanisms to avoid stagnant water</p> <p>Use of bio-treatment to prevent chemical contamination</p> <p>Biological Resources- Flora & Fauna</p> <p>Applying environmental operational standards within the legal, policy and management framework of the</p>	
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	<p>unique, rare or threatened plant community, A reduction in the numbers or restriction in the range of any unique, rare or threatened species of plants such as bushes, A deterioration of existing wildlife habitat, Introduction of any factors (light, fencing, noise, human presence and/or domestic animals) which could hinder the normal activities of wildlife</p>	<p>project to minimize the negative impact on the environment using the comparative advantage of the different project counterparts. Compliance with SFD criteria is critical for the conservation of biodiversity. Coordination with relevant stakeholders is very important. Proper selection of sites as to avoid damaging natural habitat. Tender document will have to include provisions for site specific ESMP.</p>	
<p>Basic services facilities: Education, Health, etc.</p>	<p>Construction Dispute over the land ownership -Dispute among beneficiaries on the Location -Water pollution due to wastewater disposal of temporary latrines -Spread out of construction waste -Spread out of solid municipal waste</p>	<p>Ensure that land is available to implement the activity</p> <p>Ensure Land is owned by the beneficiary /Community/or Government</p> <p>Ensure land acquisition is done voluntarily</p> <p>Ensure land is free from encroachers/squatters</p> <p>Ensure land size does not exceed 20% of the owner land.</p> <p>Ensure a waiver procedure is obtained and no conflict is raised on the land</p> <p>Proper selection of sites as to avoid dispute among beneficiaries on the location.</p> <p>Tender document will have to include provisions for site specific ESMP. -Applying occupational health risk control measures regulations and procedures -Locate latrines, septic systems and soak ways at least 30m from any water body (e.g. stream, river, lake, pond) -Ensure adequate design, installation and maintenance of latrines, holding tanks, septic</p>	<p>All technical stakeholders are involved in inspection of quality assurance with village CBO</p>

	<p>COVID-19 spread causing illness</p> <p>Operation Child labor Types of health waste can include: -Human tissue and blood; -Soiled surgical dressings and swabs; -Discarded syringe needles; -Other contaminated sharp instruments; - Microbiological cultures and potentially infected wastes from laboratories;</p>	<p>systems and wastewater soak ways. This is especially important where the water table is high or soils have a high clay or sand content</p> <ul style="list-style-type: none"> -Ensure adequate spacing between latrines and soak ways - Tender document for hazardous wastes will have to include provisions for site specific management measures specified in ESMP. Determine the approximate volumes of waste materials by category (e.g. sharps, body tissues, dressings, pharmaceutical products, non-hazardous healthcare wastes, etc.), and design the management system to deal with each waste category separately as required <p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered <p>- Assess current practices and address the priority gaps and risks, building on any successful aspects of</p>	
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	<ul style="list-style-type: none"> -Excretions; and -Drugs and other pharmaceutical products. -Radioactive wastes need to be managed and treated separately from other healthcare wastes, and are not covered here (will require separate EMP) -Human feces spread around the site -Water pollution due to wastewater disposal of school bathrooms 	<p>the current system. All measures and facilities should be planned within an overall strategy for hazardous healthcare waste management. This overall strategy will ensure consistent and efficient methods and sharing of good practices.</p> <ul style="list-style-type: none"> - Provide specialized, clearly labeled containers for hazardous healthcare wastes to separate them at source, manage the risks of exposure, and secure the wastes before removal for treatment or storage. - Plan, design, construct and operate a shared treatment facility. Types of facilities include heat treatment disinfection, incineration, chemical & biological treatment. - Until a shared treatment facility is established, employ interim measures to ensure the safe and secure storage of wastes. In some cases, an appropriate interim measure will be to bury the waste in deep (e.g. >2m) trenches in municipal disposal sites, and ensure the trenches are immediately covered with other municipal solid waste. -Implementing the Self Monitoring and Follow up and sewage treatment <p>Implementing the items in the TD related to dealing with municipal solid wastes and the components needed for SWMP</p>	
<p>Rural Feeder Road</p>	<p>Construction Dispute over the land ownership</p>	<p>Ensure that land is available to implement the activity</p> <p>Ensure Land is owned by the beneficiary /Community/or Government</p> <p>Ensure land acquisition is done voluntarily</p> <p>Ensure land is free from encroachers/squatters</p> <p>Ensure land size does not exceed 20% of the owner land.</p> <p>Ensure a waiver procedure is obtained and no conflict is raised on the land</p>	<p>All technical stakeholders are involved in inspection of quality assurance with village CBO and overall supervision of SFD</p>

	<p>Child Labor</p> <p>Construction may impact air quality and generate noise. This results mainly from excavation, site grading, vehicle loading and unloading, and other construction-related activities.</p> <p>Solid wastes</p> <p>Construction wastes</p> <p>Historical monuments</p> <p>Green areas</p> <p>Sanitary drainage</p> <p>Deformation of grades</p> <p>Blockage of water canals and drainage course at their intersection with road.</p> <p>Alteration or damaging natural habitat during construction, contamination may occur from construction infrastructure and materials, run-off surface water obstacles and divert to cause other flooding hazards. A deterioration of existing wildlife habitat, Introduction of any factors (light, fencing, noise, human presence and/or domestic animals) which could hinder the normal activities of wildlife</p> <p>Operation</p> <p>Abrasion of road</p> <p>Increase of traffic accidents</p>	<p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered <p>-Good practice during construction. Proper treatment of solid waste and other byproduct during construction</p> <p>-Applying occupational health risk control measures regulations and procedures</p> <p>-Apply suitable design for natural water courses and putting measures for preserving wildlife</p> <p>-Avoiding damaging natural habitat during constructions or minimize it (proper site selection, use environmentally friendly materials, prepare materials off-site, etc.)</p> <p>-Avoid creating congested and unsafe road conditions at intersections, and in villages and towns</p> <p>-Good practice in design to avoid traffic accidents such as Sloping of road Curves</p> <p>-Include components that reduce traffic accidents such as speed breakers and speed limits signs</p> <p>-Tender document will have to include provisions for site specific ESMP.</p>	
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	COVID-19 spread causing illness	<p>Ensure adherence to COVID-19 precautionary measures by all workers.</p> <ul style="list-style-type: none"> -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. 	
Improving Rural Markets	<p>Construction</p> <p>Child labor</p> <p>Construction may impact air quality and generate noise. This results mainly from excavation, site grading, vehicle loading and unloading, and other construction-related activities.</p> <p>Solid wastes</p> <p>Construction wastes</p> <p>Historical monuments</p> <p>Green areas</p> <p>Sanitary drainage</p> <p>Deformation of grades</p>	<p>Construction</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered -Maintain equipment in good working condition to reduce emissions and noise, -Use dust control measures onsite, such as water spraying for dust suppression; -Good practice associated with quality assurance and regular site inspection -Cover lorries transporting friable construction materials -Maintain a system for collecting and disposing garbage during construction -Collect construction wastes and transport them to the agreed site 	District/town/village councils with support from CBOs and SFD

	<p>COVID-19 spread causing illness</p> <p>Operation</p> <p>Solid waste, wastewater from market latrines may cause soil and water sources pollution</p>	<ul style="list-style-type: none"> -Tendering should include specific site ESMP -Applying occupational health risk control measures regulations and procedures -Ensure adherence to COVID-19 precautionary measures by all workers. -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. <p>Operation</p> <ul style="list-style-type: none"> -Enforce the solid waste management system that should have been developed by the SA at the preparation stages -Maintain good operation and maintenance of market latrines 	
<p>Water Supply</p>	<p>During design</p> <ul style="list-style-type: none"> -Health: Vector breeding sites; -Land use: Disputes about designed project site 	<ul style="list-style-type: none"> -Hygienic conditions around public collection points by paving at least 1 m² apron concrete slab under the water taps with proper drainage and fencing. -Discuss the planned site with landowners to 	<p>SFD/PWP</p> <p>Environmental Specialist</p> <p>And Local NGOs</p>

	<p>on privately owned land, or disconcerting areas of public, touristic interest, disturbing wildlife etc.</p> <p>-Disputes about designed route of pipes through privately owned land</p> <p>-Land Resources: Ground water pollution from pit latrines polluting the underlying aquifer.</p> <p>Child labor</p>	<p>get approval. If land cannot be obtained through voluntary land donation, it needs to change the design to communal owned land or to land with less expected conflicts. Consider drop of sub- project if problems are not resolved.</p> <p>-Discuss the planned route with landowners to get their approval. If the land cannot be obtained through voluntary land donation, it needs to change the planned route to avoid conflicts.</p> <p>- Ground water quality testing at source development and regular intervals. Soil/site inspection before latrine construction. Latrines to be more than 50 m from wells.</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <p>-Verifying age by checking IDs and other available documents.</p> <p>-Ensure a Labour Log is available, and all workers are registered</p> <p>-Applying occupational health risk control measures regulations and procedures</p> <p>-Coordinate with local authorities to avoid or minimize disruption to basic services such as water and sewer.</p> <p>-Inform nearby households.</p> <p>Protect excavation works with proper shielding scaffolds.</p>	
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	<p>During construction:</p> <ul style="list-style-type: none"> - Air: Increased dust during excavation and burial of pipes. - Health: Collection, handling and disposal of solid waste. <p>COVID-19 spread causing illness</p> <ul style="list-style-type: none"> - Infrastructure services: Possible damage to water supply pipes paved roads, cables, existing cesspits, leaking or blowout of water reservoir. 	<p>Spraying water during excavation might reduce the dust. Workers wear protective masks</p> <ul style="list-style-type: none"> - Take health and safety measures when disposal of excavated soil to a safe location. -Proper maintenance and inspection of water pipes and community reservoirs to ensure leaks or damage are avoided and repaired quickly if/when identified to prevent ruptures. -Avoid causing damages. Dispose all waste and soil to a safe location. Repair pavement on the completion of the Works -Ensure adherence to COVID-19 precautionary measures by all workers. -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. - Inform nearby houses. Avoid work during night hours. Provide workers with Protection - Protect work zones with portable scaffold 	
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	<p>-Noise: Increased levels of noise and vibration</p> <p>- Safety: possibility of Accidents</p> <p>- Increased Traffic: Disruptions of water supply and local access</p> <p>- Water: Pit latrines can pollute the underlying aquifer.</p> <p>Archaeological find: Damaging important and/or precious cultural heritage</p> <p>Safety: possibility of accidents</p> <p>During Operation:</p> <p>- Health: The possible formation of vector breeding stagnant effluent ponds.</p> <p>Water: Ground water Pollution from Pit latrine</p>	<p>sheets.</p> <p>Provide proper support for trench sides to protect against their collapse.</p> <p>Improve the readiness of health facilities in the region to deal with emergency cases. Provide workers with protective clothing.</p> <p>- Inform the affected houses in advance and keep disruptions as short as possible</p> <p>Contracts to include provisions for chance find. Training will take place for crew/supervisors, to spot potential archaeological finds. In the event of a potential find, liaise with the archaeological department at MoC or a local university for quick assessment and action</p> <p>Protect construction site from trespassers. Improve the readiness of health facilities in the region to deal with emergency cases. Provide workers with protective clothing.</p> <p>Take necessary actions for fighting vectors (spraying with insecticides, reclamation of stagnant pools, using nets on windows and beds, etc.)</p> <p>- Ground water quality testing at source at regular intervals.</p>	
<p>Sewerage Networks</p>	<p>During design: Water: Land use: Disputes about</p>	<p>Ensure that land is available to implement the activity and its owned by the beneficiary or a waiver</p>	<p>SFD/PWP Environmental</p>

	<p>designed route of pipes through privately owned land</p> <p>During construction:</p> <ul style="list-style-type: none"> - Air: Increased dust during excavation and burial of pipes <p>Child labor</p> <ul style="list-style-type: none"> - Health: Removal and disposal of waste material from (existing) pits. Collection, handling and disposal of solid waste. <p>COVID-19 spread causing illness</p> <ul style="list-style-type: none"> - Infrastructure services: Possible destruction of water supply pipes, paved roads, cables, existing cesspits, .etc. 	<p>has been already obtained and conflict is raised on the land</p> <ul style="list-style-type: none"> - Discuss the planned route with landowners to get their approval. If the land cannot be obtained through voluntary land donation, it needs to change the planned route to avoid conflicts <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <ul style="list-style-type: none"> -Verifying age by checking IDs and other available documents. -Ensure a Labour Log is available, and all workers are registered <p>-Applying occupational health risk control measures regulations and procedures</p> <p>Spraying water during excavation might reduce the dust. Workers wear protective masks</p> <ul style="list-style-type: none"> -Ensure adherence to COVID-19 precautionary measures by all workers. -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. 	<p>Specialist</p> <p>EPA</p> <p>Local NGOs</p>
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	<p>Noise: Increased levels of noise and vibration</p> <p>Occupational Health and Safety</p> <p>Traffic: Disruptions of water supply and local access</p> <p>- Archaeological find: Damaging important and/or precious cultural heritage</p> <p>Safety: possibility of accidents</p>	<p>-Ensure social distancing is applied in the work site.</p> <p>-Avoid causing damages. Take health and safety measures when demolishing existing cesspits and on the disposal of sludge and polluted excavated soil. Dispose all polluted waste and soil to a safe location.</p> <p>Repair pavement on the completion of the Works</p> <p>- Inform nearby houses. Avoid work during night hours.</p> <p>- Protect work zones with portable scaffold sheets.</p> <p>Provide proper support for trench sides to protect against their collapse.</p> <p>Improve the readiness of health facilities in the region to deal with emergency cases. Provide workers with proper safety equipment and clothing.</p> <p>Inform the affected houses in advance and keep disruptions as short as possible.</p> <p>- Contracts to include provisions for chance find. Training will take place for crew/supervisors, to spot potential archaeological finds. In the event of a potential find, liaise with the archaeological department at MoC or a local university for quick assessment and action.</p> <p>- Protect construction site from trespassers.</p>	
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		<p>Improve the readiness of health facilities in the region to deal with emergency cases.</p>	
Schools	<p>During design:</p> <p>Land use: Disputes about designed project site on privately owned land, or disconcerting areas of public, touristic interest, disturbing wildlife etc.</p> <p>Health: Lack or failure of sanitation facilities</p> <p>During construction:</p> <p>Noise: Increased levels of noise and vibration.</p> <p>Child Labor</p> <p>- Archaeological find: Damaging important and/or precious cultural heritage.</p>	<p>Ensure that land is available to implement the activity</p> <p>Ensure Land is owned by the beneficiary /Community/or Government</p> <p>Ensure land acquisition is done voluntarily</p> <p>Ensure land is free from encroachers/squatters</p> <p>Ensure land size does not exceed 20% of the owner land.</p> <p>Ensure a waiver procedure is obtained and no conflict is raised on the land</p> <p>Add sanitation facilities to the design or upgrade existing facilities.</p> <p>Inform nearby houses. Avoid work during night hours.</p> <p>Ensure child labour is not permitted and all workers are 18 years old and above</p> <p>-Verifying age by checking IDs and other available documents.</p> <p>-Ensure a Labour Log is available, and all workers are registered</p> <p>- Training will take place for crew/supervisors, to spot potential archaeological finds. In the event of a potential</p>	<p>SFD/PWP</p> <p>Environmental Specialist</p> <p>EPA</p> <p>Local NGOs</p>

	<p>COVID-19 spread causing illness</p> <p>Safety: Possibility of accidents/hazards</p>	<p>find, liaise with the archaeological department at MoC or a local university for quick assessment and action.</p> <p>Chance finding procedure will be followed</p> <ul style="list-style-type: none"> -Ensure adherence to COVID-19 precautionary measures by all workers. -Ensure face masks are available and used by all workers. -Ensure awareness sessions are conducted on COVID-19 with all workers. -Ensure availability of hygiene kits, soap, clear water, and hygiene etiquettes are followed. -Ensure social distancing is applied in the work site. - Protect construction site from trespassers. <p>Provide proper support for trench sides to protect against their collapse.</p> <p>Improve the readiness of health facilities in the region to deal with emergency cases.</p> <p>Provide workers with protective clothing.</p> <ul style="list-style-type: none"> -Applying occupational health and safety risk control measures regulations and procedures 	
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Annex 3. Environmental and Social Management Plan (ESMP) - Indicative Outline

Please refer to the [UNDP SES Guidance Note on Assessment and Management](#) for additional information.

The content of the ESMP will be presented as per the ESPRECP ESMP template that was agreed with SFD and PWP. It will include as minimum the following elements:

1. Introduction: includes
 - Name of Subproject,
 - Subproject ID Subproject;
 - Location Sector and type of Subprojects;
 - Department implementing Subprojects Estimated cost of SB
 - Field Visit Was consultation carried out Proposed Class of the SB (A to D Low to High)
 - A Table indicates: date, agency name and safeguard staff who screen and review and approve the ESMPs
2. Sub project Description: comprises
 - 2.1 Scope of work
 - Location
 - Land Acquisition
 - Resources and services' access restriction
 - COVID19 Sensitivity
 - 2.2 Gender and Social related issues
 - Child Labour
 - Gender: Number affected and disadvantaged people of men, women, boys, girls, elderly people, and people with disability
 - GBV and SEA
 - Conflict sensitivity and Do NO Harm
3. Environmental and social Baseline Conditions: includes
 - Introduction
 - Rain, climate and weather
 - Air Quality and Noise
 - Existing situation of the targeted Area
 - Targeted Beneficiaries: Affected, disadvantaged, marginalized, and interested groups.
4. Environmental and Social Impact Assessment: contains
 - Applicability
 - Eligibility
 - Environmental and Social Screening
5. Environmental, Social Impact Analysis plan and Mitigation measures
 - Environmental and Social Impact Analysis plan and Mitigation measures
 - Occupational and health Safety Plan (OHS Plan) to update according to LMP
6. Environmental and Social Monitoring Plan
 - Environmental and Social Monitoring Plan (for monitoring daily work) with proposed outputs, activities, and indicators
 - Occupational Health and Safety:
 - Includes all mitigation measures that indicated in the ESCP
7. Stakeholder engagement Plan and Public Consultation: focuses on and limited to
 - Introduction
 - Consultation Methodology and approach
 - Topics of the Consultations

- Results of the consultations
 - Sustainability of subproject and community ownership
 - Stakeholder engagement plan
8. Grievance Response Mechanism, comprises of
- Hotline
 - Complain Box
 - Brochure distributed to the Local community
 - Complain Handling Mechanism
9. Annexes
- Environmental & Social Checklist
 - SFD: Environmental and Social Responsiveness (ESR) criteria at proposal stage
 - SFD: Public Consultation Report
 - SFD complaints handling mechanism
 - PWP mechanisms for environmental, social and occupational mitigation actions
 - PWP public consultation and reporting

PWP complaints handling mechanism ENVIRONMENTAL AND SOCIAL ACTION PLAN

1. Subproject Introduction and Description

- 1.1. Subproject Location
- 1.2. Subproject Implementation Arrangements (Institutions and responsibilities)
 - 1.2.1 Roles and responsibilities of implementing ESAP
- 1.3. Subproject Selection Criteria Process:
- 1.4. Subproject Activities:

2. Environmental and Socioeconomic Profile of the Subproject Area

- 2.1. Environmental Profile
 - 2.1.1. Rainfall, Climate, and Weather
 - 2.1.2. Air Quality and Noise
 - 2.1.3. Water Resources
 - 2.1.4. Flora and Fauna (including the presence of any sensitive habitats and threatened species)
 - 2.1.5. Topography
- 2.2. Socio-economic profile
 - 2.2.1. Demographic information, including targeted beneficiary population
 - 2.2.2 Social economic development conditions and challenges
 - 2.2.3. Conflict and security situation within the subproject and surrounding areas

3. Environmental, Social, and OHS Impacts and Risks

- 3.1. Environmental and Social Screening
- 3.2. Subproject Social Potential Impacts and Risk
 - 3.2.1. Land Acquisition/Use
- 3.3. Subproject Environmental Potential Risk
- 3.4. Subproject Occupational Health and Safety Risks

4.Environmental, Social, and Occupational Health and Safety Action Plan

- 4.1. Environmental Impact Mitigation Measures
- 4.2. Social Impact Mitigation Measures
- 4.3. Occupational Health and Safety Mitigation Measures
- 4.4. Required Resources

5.Implementation Arrangements

- 5.1. Monitoring Arrangements (who, what, when, and how)

6.Stakeholders Engagement Plan and Public Consultation

- 6.1. Topics of the Consultations and Feedback
- 6.2. Consultation to Be Carried out During Subproject Implementation (What, When, Who, and How)

7.CHM

- 7.1. Channels where complaints can be raised and received (hotline, complaint box and face to-face, written and oral, etc.)

Annex 1 – Environmental and Social Checklist

Annex 2– Land Acquisition Documents:

Annex 4. Guidance for Submitting a Grievance



Guidance for Submitting a Request to UNDP Social and Environmental Compliance Unit (SECU) and/or the Stakeholder Response Mechanism (SRM) [for more information go to www.undp.org/secu-srm]

Purpose of this form

- **If you use this form, please put your answers in bold writing to distinguish text**
- **The use of this form is recommended, but not required. It can also serve as a guide when drafting a request.**

This form is intended to assist in:

- (1) Submitting a request when you believe UNDP is not complying with its social or environmental policies or commitments and you believe you are being harmed as a result. This request could initiate a 'compliance review', which is an independent investigation conducted by the Social and Environmental Compliance Unit (SECU), within UNDP's Office of Audit and Investigations, to determine if UNDP policies or commitments have been violated and to identify measures to address these violations. SECU would interact with you during the compliance review to determine the facts of the situation. You would be kept informed about the results of the compliance review.

and/or

- (2) Submitting a request for UNDP "Stakeholder Response" when you believe a UNDP project is having or may have an adverse social or environmental impact on you and you would like to initiate a process that brings together affected communities and other stakeholders (e.g., government representatives, UNDP, etc.) to jointly address your concerns. This Stakeholder Response process would be led by the UNDP Country Office or facilitated through UNDP headquarters. UNDP staff would communicate and interact with you as part of the response, both for fact-finding and for developing solutions. Other project stakeholders may also be involved if needed.

Please note that if you have not already made an effort to resolve your concern by communicating directly with the government representatives and UNDP staff responsible for this project, you should do so before making a request to UNDP's Stakeholder Response Mechanism.

Confidentiality If you choose the Compliance Review process, you may keep your identity confidential (known only to the Compliance Review team). If you choose the Stakeholder Response

Mark "X" next to the answer that applies to you: Yes: No:

If confidentiality is requested, please state why:

15. Would you like to work with other stakeholders, e.g., the government, UNDP, etc. to jointly resolve a concern about social or environmental impacts or risks you believe you are experiencing because of a UNDP project?

Mark "X" next to the answer that applies to you: Yes: No:

16. Would you like your name(s) to remain confidential during the initial assessment of your request for a response?

Mark "X" next to the answer that applies to you: Yes: No:

If confidentiality is requested, please state why:

17. Requests for Stakeholder Response will be handled through UNDP Country Offices unless you indicate that you would like your request to be handled through UNDP Headquarters. Would you like UNDP Headquarters to handle your request?

Mark "X" next to the answer that applies to you: Yes: No:

If you have indicated yes, please indicate why your request should be handled through UNDP Headquarters:

18. Are you seeking both Compliance Review and Stakeholder Response?

Mark "X" next to the answer that applies to you: Yes: No:

19. Are you unsure whether you would like to request a Compliance Review or a Stakeholder Response? Mark "X" next to the answer that applies to you: Yes: No:

Information about the UNDP Project you are concerned about, and the nature of your concern:

20. Which UNDP-supported project are you concerned about? (if known):

21. Project name (if known):

22. Please provide a short description of your concerns about the project. If you have concerns about UNDP's failure to comply with its social or environmental policies and commitments, and can identify these policies and commitments, please do (not required). Please describe, as well, the types of environmental and social impacts that may occur, or have occurred, as a result. If more space is required, please attach any documents. You may write in any language you choose

23. Have you discussed your concerns with the government representatives and UNDP staff responsible for this project? Non-governmental organisations?

Mark "X" next to the answer that applies to you: Yes: No:

If you answered yes, please provide the name(s) of those you have discussed your concerns with

Name of Officials You have Already Contacted Regarding this Issue:

First Name	Last Name	Title/Affiliation	Estimated Date of Contact	Response from the Individual
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24. Are there other individuals or groups that are adversely affected by the project?

Mark "X" next to the answer that applies to you: Yes: No:

25. Please provide the names and/or description of other individuals or groups that support the request:

First Name	Last Name	Title/Affiliation	Contact Information
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Please attach to your email any documents you wish to send to SECU and/or the SRM. If all of your attachments do not fit in one email, please feel free to send multiple emails.

Submission and Support

To submit your request, or if you need assistance please email: project.concerns@undp.org

Complaints Registration Form at PWP

<u>Complaints registration form at PWP</u>
رقم المشروع: .. project No..... التاريخ : Date.....

Project اسم المشروع: . Name.....
اسم الشاكي:..... Name of complainant.....
نص الشكوى:.. Complaint content.....
الإجراءات المتخذة: ... Actions taken.....
تاريخ انتهاء المشكله: .. The date of resolving the complaints.....
الملاحظات:..... Comments.....
المختص: Responsible person مدير إدارة المتابعة Follow-up department Manager
التوقيع: signature التوقيع signature

Complaint Handling Mechanism (CHM) Online Form for SFD

[for more information go to <https://chm.sfd-yemen.org/complaint/online-form/>]

Annex 5. OHS Framework and OHS Toolkit

(Attached separately)

Annex 6. YECRP Conflict Sensitivity Framework: the new conflict sensitivity framework will be updated for the ESPECRP. PF and AF1

(Attached separately)

Annex 7. Stakeholder Engagement Plan

(Attached separately)

Annex 8. Labor Management Procedure

(Attached separately)

Annex 9. Environmental and Social Commitment Plan

(Attached separately)

Annex 10 Gender and GBV Plan

(Attached separately)

Annex 11. ESPECRP Operational Manual

(Attached separately)

Annex 12. YLG ESMS

(Attached separately)