

**United Nations Development Program (UNDP)
and
United Nations Office for Project Services
(UNOPS)
(For the Benefit of the Republic of Yemen)**

**Yemen Improved Water Management and
Irrigation for Sustainability and Efficiency
Project (P505562)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

**Final Version
March 31, 2026**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Nations Development Program (UNDP), and United Nations Office for Project Services (UNOPS) (the Recipients), for the benefit of the Republic of Yemen, will implement the Yemen Improved Water Management and Irrigation for Sustainability and Efficiency Project (P505562) (the Project), as set out in the Grant Agreement. The International Development Association (the Association), acting in its capacity and as administrator of Multi-Donor Yemen Resilience, Recovery and Reconstruction Umbrella Trust Fund, has agreed to provide financing for the Project, as set out in the Agreement.
2. The Recipients shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The extent and mode of the Association's monitoring with respect to environmental and social performance will be proportionate to the potential environmental and social risks and impacts of the Project. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipients shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipients, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipients and the Association agree to update the ESCP to reflect on these changes through an exchange of letters signed between the Association and the Recipients, UNDP and UNOPS. The Recipients shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING¹			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the Environmental, Social, Health and Safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Submit six-monthly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 15 days after the end of each reporting period. At the request of the Association, submit to the Association the reports received by the Contractors/supervising firms/Implementing partners and UNDP and UNOPS monitoring mechanisms.</p>	UNDP and UNOPS
B	<p>INCIDENTS AND ACCIDENTS</p> <p>(a) Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury.</p> <p>For SEA/SH incidents, the notification and any follow-up reporting shall be shared with the Association’s corporate Grievance Redress Service (GRS).</p> <p>Notwithstanding the above and associated timeframe, UNDP and UNOPS will report alleged incidents of SH involving UNDP and UNOPS Staff² and Non-Staff</p>	<p>(a) Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>(b) Provide the report on SEA/SH incidents to the Association’s GRS within 10 days of the notification, and the report on any other incident or accident to the Association’s task team within 30 days of the notification. Depending on the circumstances, this timeframe may be extended with the written agreement of the Association.</p>	UNDP and UNOPS

¹ Information and documents provided to the Association under part B (“Incidents and Accidents”), C (“Contractors’ and Implementing Partners’ Reports”) and 1.2(b) (“Management of Contractors and Implementing Partners”) of this ESCP will be (a) marked as “Information Provided by Member Countries or Third Parties in Confidence” pursuant to the Bank’s Access to Information Policy, and (b) assigned the security classification of “confidential” pursuant to the Bank Directive on Information Classification and Control, i.e., the information will only be: (i) disseminated within the Association on a “need to know” basis; and (ii) shared with third parties on agreement with the Recipient.

² “Staff” means an individual who holds a letter of appointment with the Recipient or is on loan to the Recipient by another UN organization or specialized agency under the terms of the Inter-organization Agreement Concerning Transfer, Secondment or Loan of Staff among the Organizations Applying the United Nations Common System of Salaries and Allowances, it being understood that Staff have the status of “officials” under the General Convention.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Personnel³ through its Office of Internal Audit and Investigations’ annual reports to their Executive Boards, which are made publicly available.</p> <p>For any other incidents or accidents, the notification and any follow-up reporting shall be shared with the Association’s task team.</p> <p>(b) Provide a report to the Association with sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any Contractor⁴ and, Implementing Partner⁵ and/or supervising firm, as appropriate.</p> <p>(c) at the Association’s request, share any Contractor or Implementing Partner incident or accident notification and report, redacted to remove Personal Data⁶.</p>	(c) Share with the Association within 7 days upon following the Association’s request, unless otherwise agreed to with the Association.	
C	<p>CONTRACTORS’ AND IMPLEMENTING PARTNERS’ REPORTS</p> <p>Require Contractors and Implementing Partners to provide regular monitoring reports, at a minimum on a bi-annual basis, on ESHS performance in accordance with the metrics specified in the respective Terms of Reference and contracts and at the Association’s request, submit such reports to the Association, redacted to remove Personal Data.</p>	Upon request, submit contractors’ and Implementing Partners’ regular reports to the Association within 14 days following the request.	UNDP and UNOPS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Management Unit (PMU) within each entity implementing the project that is tasked with ESHS management with qualified staff and resources to support the management of ESHS risks and impacts of the Project including gender/SEA/SH specialist, an environmental specialist, and social specialist.</p>		UNDP and UNOPS

³ "Non-Staff Personnel" means an individual, other than Staff, in a contractual relationship with, and under the direct administrative authority of, the Recipient. Non-Staff Personnel include consultants (including, but not limited to, individuals who have entered into an individual contractor agreement with the UN Partner), interns, UN Volunteers, and those on stand-by arrangements. Without prejudice to the privileges and immunities that may be accorded by the Basic Agreement, Non-Staff Personnel may have the status of "experts on mission" under the General Convention.

⁴ "Contractor" means a legal entity, or an individual other than Staff/ Non-Staff Personnel, with which the Recipient has concluded a Project-related institutional contract.

⁵ "Implementing Partner" means a legal entity, other than a government entity, with which the Recipient has concluded a partnership agreement related to Project implementation.

⁶ "Personal Data" means any information relating to a Data Subject, meaning a natural person who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural, or social identity of that natural person.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		Maintain a PMU as set out in the Grant Agreement. Hire or appoint the gender/SEA/SH specialist, an environmental specialist, and social specialist prior to the start of activities and no later than 60 days after the Effective Date and thereafter maintain these positions throughout the Project implementation.	
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Adopt and implement the Environmental and Social Management Framework (ESMF) and site-specific ESMPs for the Project, consistent with the relevant ESSs. The ESMF shall include screening procedure for the Project’s interventions and provisions on Occupational Health and Safety (OHS), labor management, ESHS risks, and SEA/SH, and the (exclusion list)⁷ in accordance with ESS1 and other relevant ESSs.</p>	<p>The ESMF shall be adopted prior to the commencement of the Project activities and no later than 90 days after the Effective Date and be implemented throughout Project implementation.</p> <p>Site-specific ESMPs shall be prepared prior to carrying out activities and implemented throughout Project implementation.</p>	UNDP and UNOPS
1.3	<p>MANAGEMENT OF CONTRACTORS AND IMPLEMENTING PARTNERS</p> <p>a) Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, and code of conduct, into the ESHS specifications of the procurement documents and contracts with Contractors and Implementing Partners. Thereafter ensure that the Contractors and Implementing partners comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>b) At the Association’s request, share contracts/agreements with Contractors and Implementing Partners with the Association. Thereafter ensure that the contractor’s supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>a. As part of the preparation of procurement documents and respective contracts. Supervise Contractors and Implementing Partners throughout Project implementation.</p> <p>b. Share with the Association within 14 days of the Association’s request unless otherwise agreed to with the Association.</p>	UNDP and UNOPS

⁷ UNDP and UNOPS shall exclude the following types of activities from eligibility for financing under the Project:

- Activities that may involve physical or economic resettlement, land acquisition, or adverse impacts on cultural heritage;
- Activities likely to result in significant adverse social impacts or cause substantial social conflict;
- Activities that may negatively affect the lands or rights of vulnerable minorities;
- Any other activities excluded as specified in the Project’s Environmental and Social Management Framework (ESMF).

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	c) At the Association’s request, in relation to an incident or accident reported under action B, share the Recipients’ full assessment ⁸ of Implementing Partner capacity to implement the ESS for the Project and any capacity enhancement measures provided that in the event the Recipients determine that the Implementing Partner(s) reasonably objects to such sharing, a meeting between the Association, the Recipients and the Implementing Partner shall be organized.	c. Share with the Association the incident or accident reported under Action B above within 14 days of the Association’s request. With the understanding that any meeting to take place within these 14 days, unless agreed otherwise.	
1.4	THIRD PARTY MONITORING Engage a Third-Party Monitoring Agent(s) on the Term of Reference satisfactory to the Association, to provide independent review of project implementation and verification of projects results and adherence to all Environmental and Social requirements of the Project Operations Manual (POM) the ESCP and all ESHS instruments, including through, inter alia: periodic site visits, assessment of local context and conditions, interviews, awareness raising, training and preparation of reports. Each monitoring report prepared by the Third-Party Monitoring Agent(s) shall cover a period of three (3) months and shall be shared with the Association promptly	Engage Third Party Monitoring Agent(s) no later than three months after the project's Effective Date and maintain throughout Project implementation.	UNDP and UNOPS
1.5	TECHNICAL ASSISTANCE Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the output of such activities complies with the terms of reference.	Throughout Project implementation.	UNDP and UNOPS
1.6	CONTINGENT EMERGENCY RESPONSE COMPONENT (CERC) (a) Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements for the implementation of the CERC component in accordance with the ESSs. (b) Adopt any environmental and social instruments which may be required for activities under the CERC component of the Project, in accordance with the CERC	(a) The adoption of the CERC Manual and, if applicable, other documents as relevant in form and substance acceptable to the Association are a withdrawal condition under Section 4, Part B, of Schedule 2 of the Grant Agreement.	UNDP and UNOPS

⁸ A full assessment would typically be the micro assessment, PSEA assessment and other relevant technical assessments/observations that are generated from time-to-time during the lifecycle of the Project.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Manual, and, if applicable, CERC-ESMF or CERC-ESMP Addendum and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S management plans or instruments.	(b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Adopt and implement Labor Management Procedures (LMP) for the Project including, inter alia, provisions on occupational health and safety (including emergency preparedness and response measures), codes of conduct (including relating to SEA and SH), child labor, forced labor grievance arrangements for the Project, and applicable requirements for Implementing Partners, Contractors, and subcontractors, and supervising firms.</p> <p>Require the Implementing Partners, Contractors to ensure (and cause the Contractors to have the subcontractors ensure) that all Project workers (direct, contracted workers, primary supply workers and community workers) and civil servants working in connection with the project sign codes of conduct (CoC) , in order to uphold ethical standards and comply with relevant E&S obligations and national legislation prior to carrying out activities under the Project.</p>	<p>The LMP shall be adopted prior to the commencement of the Project activities and within 90 days after Effective Date and be implemented throughout the Project implementation period.</p> <p>Projects workers shall sign the CoC prior to carrying out activities under the Project.</p>	UNDP and UNOPS
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in 2.1 above and consistent with ESS2.</p>	Establish grievance mechanism prior to engaging with Project workers and thereafter maintain and operate it throughout Project implementation.	UNDP and UNOPS
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
3.1	<p>WASTE MANAGEMENT PLAN</p> <p>Adopt and implement waste management measures, if needed, and include in the Project Operations Manual (POM) and in the ESMP or any other site-specific instruments provisions to manage hazardous and non-hazardous wastes, consistent with ESS3.</p>	Prior to the commencement of the Project activities and no later than 90 days after the Effective Date and be implemented throughout Project implementation period.	UNDP and UNOPS
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures, if needed, in the site-specific instruments -when needed- to be prepared under action 1.2 above and include the measures in the Project Operations Manual (POM).</p>	Prior to the commencement of the Project activities and no later than 90 days after the Effective Date and be implemented throughout Project implementation period.	UNDP and UNOPS
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>SEA AND SH RISKS</p> <p>Adopt and implement an SEA/SH Action Plan to assess and manage the risks of SEA/SH in accordance with ESS4.</p>	The SEA/SH Action Plan shall be adopted prior to the commencement of the Project activities and no later than 90 days after the Effective Date and be implemented throughout Project implementation period.	UNDP and UNOPS
4.2	<p>SECURITY MANAGEMENT</p> <p>Assess and implement measures to manage the security risks of the Project consistent with the UN Security Protocols⁹ and the ESSs, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, as set out in the Security Management Plan (SMP).</p>	The SMP shall be adopted prior to the commencement of the Project activities and no later than 90 days after the Effective Date and be implemented throughout Project implementation period.	UNDP and UNOPS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			

⁹ The United Nations Security Management System (UNSMS) is designed to enable the safe delivery of UN mandates and programs within acceptable security risk levels. For additional details, please refer to <https://www.un.org/en/safety-and-security/unsms-and-iasmn>. Saving Lives Together (SLT), is a series of recommendations aimed at enhancing security collaboration between the United Nations, International Non-Governmental Organizations and International Organizations to which the World Bank UNDP and UNOPS are party. The Recipient's activities are governed by the UNSMS, which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
5.1	<p>RESETTLEMENT FRAMEWORK:</p> <p>Adopt and implement a Resettlement Framework (RF) consistent with ESS5 for activities under component 3 in a manner acceptable to IDA. The grievance mechanism (GM) to address related complaints shall be described in the RF. Although large scale resettlement is included as part of the negative list, the RF will address minor, temporary resettlement which could arise during small works and will be obtained through government land or voluntary community land donation.</p>	<p>The RF shall be adopted prior to the commencement of the Project activities requiring RF and no later than 90 days after the Effective Date and be implemented throughout Project implementation period.</p>	<p>UNDP and UNOPS</p>
5.2	<p>a. Develop, adopt and implement a procedure to evidence voluntary land donation according to the requirements set in ESS5, and including prior Association approval and demonstration that: (i) the potential donor or donors have been appropriately informed and consulted about the project and the choices available to them; (ii) potential donors are aware that refusal is an option, and have confirmed in writing their willingness to proceed with the donation; (iii) the amount of land being donated is minor and will not reduce the donor’s remaining land area below that required to maintain the donor’s livelihood at current levels; (iv) no household relocation is involved; (v) the donor is expected to benefit directly from the project; and (vi) for community or collective land, donation can only occur with the consent of individuals using or occupying the land.</p> <p>b. Maintain a transparent record of all consultations and agreements reached with land donors.</p>	<p>a) The procedure will be included as part of the Project’s Operation Manual.</p> <p>b) Throughout Project Implementation.</p>	
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement a joint Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>The SEP was prepared, cleared and disclosed on September 15, 2025, and shall thereafter be implemented throughout Project implementation.</p>	<p>UNDP and UNOPS</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism prior to the commencement of the Project activities and not later than 90 days after the Effective Date and thereafter maintain and operate the mechanism throughout Project implementation.</p>	<p>UNDP and UNOPS</p>
CAPACITY SUPPORT			
CS1	<p>Training to be provided and targeted groups for PMU staff, stakeholders, Project workers on:</p> <ul style="list-style-type: none"> ● Stakeholder mapping and engagement ● Specific aspects of environmental and social assessment ● Emergency preparedness and response ● Community health and safety. ● SEA/SH Action Plan ● Occupational Health and Safety (OHS) ● Code of Conduct ● Grievance mechanism 	<p>After hiring project workers and before commencement of relevant Project activities</p>	<p>UNDP and UNOPS</p>
CS2	<p>Training for Project workers on occupational health and safety including emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p>After hiring project workers and before commencement of relevant Project activities.</p>	<p>UNDP and UNOPS</p>