

Quarterly Progress Report: National Capacity Self-Assessment in Somalia (Atlas ID: 00086548)

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|---------------------------------|--|
| Reporting Period | 01 April to 30 June 2016 |
| Government Counterpart | Office of Environment, Office of the Prime Minister |
| PSG | 4: Economic Foundations |
| PSG priority | Priority 3: Promote the sustainable development and management of natural resources by developing legal and regulatory frameworks and building capacity in key Natural Resources Management (NRM) institutions |
| Focus Locations: | National |
| AWP Budget | USD 236,037 |
| Available Funds for year | USD 236,037 |
| Expenditure to date | USD 107,368 |

CONTRIBUTING DONORS:



ABBREVIATIONS AND ACRONYMS

| | |
|--------|--|
| ATLAS | UNDP's Enterprise Resource Planning System for Projects Management and Control |
| AWP | Annual Work Plan |
| CBO | Community Based Organization |
| FGS | Federal Government of Somali |
| GEF | Global Environment Facility |
| NCSA | National Capacity Self-Assessment for Global Environmental Governance |
| NGO | Non-government Organization |
| NRM | Natural Resources Management |
| PREP | Poverty Reduction and Environment Protection Programme |
| UNCBD | United Nations Convention on Biodiversity |
| UNCCD | United Nations Convention to Combat Desertification |
| UNDP | United Nations Development Programme |
| UNFCCC | United Nations Framework Convention on Climate Change |
| NCSA | National Capacity Self-Assessment |
| CSOs | Civil Society Organizations |
| MEA | Multilateral Environmental Agreements |

SECTION 1 – KEY DEVELOPMENTS DURING THE REPORTING PERIOD

In follow-up to the National Validation Workshop, the National Capacity Self-Assessment (NCSA) Report was revised to incorporate comments from the participation. The draft final report and action plan was shared with the State Minister of Environment, Office of the Prime Minister. The report presents key recommendations for capacity development at systemic, institutional and individual levels. The main focus of NCSA is on Somalia's capacity needs to meet its obligations under UN Conventions on Biodiversity, Climate Change and Desertification.

The findings of NCSA draft report were presented to the FGS-UN Environment Working Group. In order to implement the recommendations of NCSA report, follow up project concept note has been developed and is under review.

SECTION 2 – PROGRESS AGAINST OUTPUTS & PLANNED ACTIVITIES IN ANNUAL WORK PLAN

OUTPUT 1: INSTITUTIONAL STRUCTURE FOR NCSA PREPARATION ESTABLISHED

| Narrative update on Progress towards Output | | | |
|--|--|--|--|
| <p>The output contributes towards the overall objective of the project on taking stock of Somalia`s existing capacities and specific capacity needs and priorities, as it moves to implement Multilateral environmental conventions to which it`s a signatory. The output is to be realized over a time period of three years of project implementation. Key highlights of the activities under this output include the establishment of inter-ministerial team at federal level with clearly-defined roles and responsibilities and start of implementation of activities to achieve 2016 targets. Progress to date against annual output targets provides further details on the level of current achievement.</p> | | | |
| Output Indicators | Baseline | Annual Target | Progress to date |
| # of inclusive National and regional NCSA teams are in place for steering the preparation, coordination and implementation of NCSA (at least 30% gender representation) | National and regional teams are not in place | 1- Inter-ministerial team established at federal level with clear roles and responsibilities | A national level inter-ministerial team with federal member states representatives was established, with a coordination lead from the Office of the State Minister for Environmental Affairs, Office of the Prime Minister |
| Planned Activities as per Annual Work Plan | | Progress Against Activities | |
| Collection of sex disaggregated data from individuals and institutions. Multidisciplinary integrated technical team assembled to synthesis thematic and cross-cutting reports and to provide quality assurance for the NCSA action plan systems centers | | The Cross cutting report has been finalized and will support the quality review of the NCSA action plan. The cross cutting analysis report focus on the existing gaps at individual, organizational and systemic levels. During the analysis, common needs and areas for capacity growth will be identified and possible synergies will be established by the sector related ministries throughout the National capacity Self assessments (NCSA) and the strategy is meant to improve the quality of the NCSA draft report | |
| Sources of Evidence for Results Progress and Achievements | | | |
| Government Endorsed NCSA Report | | | |
| Cross-Cutting report | | | |

OUTPUT 2: NCSA STRATEGY AND ACTION PLAN PREPARED ENSURING GENDER SENSITIVITY WITH INPUTS FROM THE THEMATIC ASSESSMENTS

| Narrative update on Progress towards Output | | | |
|--|---|--|--|
| <p>The output contributes towards the overall objective of the project on formulating an NCSA Strategy and action plan, three thematic assessments completed and one final stocktaking report finalized. In addition, this output has also created the initiation of a national Capacity Strategy and action Plan. The progress to date against annual output targets provides the level of current achievement.</p> | | | |
| Output Indicators | Baseline | Annual Target | Progress to date |
| # of thematic assessments reports with gender perspectives; stocktaking report and cross cutting analysis finalized. | Thematic assessments in the areas of biodiversity, climate change and desertification are not available | 1 Final stocktaking report and 3 thematic assessments finalized to support NCSA strategy and action plan | <p>1 stocktaking report finalized</p> <p>3 Thematic Assessments Completed on three thematic areas of climate change, desertification, and biodiversity. The purpose of the exercise was to inventory all convention-related activities and concerned stakeholders and collect all national documents relevant to the convention thematic areas.</p> <p>Crosscutting analysis, NCSA Strategy and Action Plan has been finalized</p> |
| Planned Activities as per Annual Work Plan | | Progress Against Activities | |
| <ol style="list-style-type: none"> 1. Finalize stocktaking report 2. Undertake cross-cutting analysis 3. Finalize 3 thematic assessments with gender perspective | | <ol style="list-style-type: none"> 1-Stock taking report finalized .The stock taking report has been finalised and through a national validation workshop endorsed by the relevant government ministries and stakeholders. Next step is to edit and publish it for broader dissemination for reviews. Final step is to have a revised draft with across board input and will be presented to Somalia President /Prime Minister for final signature .After approval, a follow up projects will follow for action. 2 - The Capacity Development strategy and action Plan completed. 3-Thematic assessments reports with analyzed cross cutting issues completed | |
| Sources of Evidence for Results Progress and Achievements | | | |

National stocktaking report

National capacity development and Action Plan

Thematic assessment reports

OUTPUT 3: STAKEHOLDERS INCLUDING WOMEN AND WOMEN CBOs/NGOs FULLY ENGAGED, AWARENESS OF KEY STAKEHOLDERS RAISED AND NCSA FINALIZED

Narrative update on Progress towards Output

The output contributes towards the overall objective of the project on attaining an endorsed NCSA report with inclusive and equal gender representation completed and endorsed by the key stakeholders and to Publish for broader dissemination, an editor has been hired. The progress to date against annual output targets provide the level of current achievement.

| Output Indicators | Baseline | Annual Target | Progress to date |
|---|--|---|---|
| <p>Inclusive stakeholders consultations, awareness raising for NCSA preparation, implementation organized and final documents endorsed by the government of Somalia</p> | <p>Lack of awareness and action at the national level to meet Somalia’s obligations under the multi-lateral environmental agreements (MEAs). In particular, UN conventions on biodiversity, climate change and desertification; No capacity development initiative exist for national institutions to effectively implement provisions under UN Conventions on climate change, desertification and biodiversity.</p> | <p>The endorsed NCSA strategy and action plan published for broader dissemination and advocacy; 2 consultations with participation of women and women based CSOs held to sensitize key institutions about the multi-lateral environmental agreements; New initiative formulated as a follow-up to NCSA.</p> | <p>Draft NCSA Report Completed and due for dissemination.</p> <p>NCSA report presented to the FGS-UN Environment Working Group for Somalia</p> <p>Formulation of follow up projects ongoing and fully reflected in the signed 2016 AWP.</p> |
| Planned Activities as per Annual Work Plan | | Progress Against Activities | |
| <ol style="list-style-type: none"> 1. Stakeholders assessment to identify key institutions for consultations and participation in the NCSA preparation and implementation process 2. National validation workshop (s) on the draft NCSA Strategy and Action Plan 3. Endorsement of NCSA Strategy and Action Plan that covers gender dimensions vis-à-vis conventions on desertification, climate change and biodiversity 4. Dissemination of NCSA document 5. Formulation of follow-up project document to implement recommendations of NCSA | | <ol style="list-style-type: none"> 1. The NCSA Draft report was completed with full participation from the Key stakeholders. 2. The nation validation workshop was held on 29 Feb 2016 and the NCSA Draft report was completed. 3. The NCSA strategy and action plan with gender dimensions has been endorsed during the validation workshop and publishing efforts underway 4. Hiring process of an editor ongoing and the publishing of the NCSA report anticipated in the third Quarter of 2016. | |

5. The formulation of follow up project ongoing

Sources of Evidence for Results Progress and Achievements

NCSA Report
 Minutes of Environment Working Group Meeting (10-11 May 2016)
 Draft Concept note of follow-up project

OUTPUT 4: PROJECT EFFECTIVELY MANAGED

Narrative update on Progress towards Output

The NCSA process is being supported by the Environment and Climate Change Portfolio team of the Country Office. The national team is guided by International Advisor. The role of International Advisor is to guide the process as per requirement of Global Environment Facility (GEF). International Advisor also does the analysis of information collected for the final report and follow-up project. In addition, a national focal point in the Office of the Prime Minister is assigned to support NCSA activities. A professional editor is being hired to work on the final report for publishing. Both the International and National Staffs have fully participated the NCSA Preparatory, workshops validation, and Project mobilizations Phases.

| Output Indicators | Baseline | Annual Target | Progress to date |
|---|-------------------------------|--|--|
| # of Project Board meeting held | No Project board meeting held | 1 Project Board held in 2016 | Project Board Meeting planned to be held during third Quarter of 2016. |
| Planned Activities as per Annual Work Plan | | Progress Against Activities | |
| 1. Support from National and International staff for implementation of activities under outputs 1 through 3 2. National focal point in the Office of the Prime Minister on-board for coordination and regional support | | Environment and climate change portfolio team of the Country Office is supporting the implementation of NCSA and formulation of follow-up project. A national focal point person in the Office of the Prime Minister. | |

Sources of Evidence for Results Progress and Achievements

Approved annual work plan for 2016

Minutes of Project Board

SECTION 3 – CROSS-CUTTING ISSUES (GENDER, HIV/AIDS, PEACE AND CONFLICT, HUMAN RIGHTS)

The Promotion of gender equality and empowerment of women is central to the mandate of UNDP and intrinsic to its development approach. In this regard the NCSA Project has a significant objective of gender equality and has Gender Marker Score description of 2. The Project implementation has also ensured an inclusive, participatory approach involving all key stakeholders including women and youth and an equitable distribution of benefits.

NCSA's main objective has fully taken into account an equal gender recognition and meaningful participation with a key priority to engage stakeholders including women & youth in all the project phases in order to generate and develop a nationwide capacity assessment report as 10 out of the 50 participants in the NCSA validation workshop were women.

SECTION 4 – CHALLENGES/LESSONS LEARNT

- To enhance and achieve a dynamic approach to poverty alleviation which is a National objective, there is a need to coordinate the role of all Stakeholders during PSG4 meetings.
- Capacity development is crucial and must be given a priority attention in the entire project cycle to achieve a successful implementation, coordination, planning, monitoring and evaluation of any environmental initiatives.
- To meet the preparation and submission of national reports in compliance with the convention obligations there's a need to coordinate the negotiations and implementation of the three conventions.
- There's a need for a political support for the intent of the conventions, and resources made readily available, and in a timely manner, for meeting the goals, objectives and activities of outline in the conventions.
- Raising funds from donors to co-finance NCSA activities is critical for the implementation phase of the project. NCSA follow-up project formulation will have to include getting donors commitment to co-finance for additionality to the GEF resources.

SECTION 5 – RISK MANAGEMENT

This section can be used to update or use the risk logs developed during the project development stage and provide any mitigation measures being undertaken by the project.

| Type of Risk 1 | Description of Risk | Mitigating Measures |
|---------------------------|---|---|
| Operational, Political | A low level of cooperation between executing institutions due to political divisions and the existence of distinct zones of Federal Somalia, new/emerging states, Puntland and Somaliland makes the coordination of policy development challenging. | <p>Management arrangements include one clear focal point for implementation, the GEF operational focal point. Each zone will have a dedicated Project Officer in charge of activity implementation on a day to day basis. Programme outcomes will be maximized by having three clear Regional Committees (led by the zonal Project Officer) which will include relevant government representatives, district officers and NGO/CBO representatives for each zone.</p> <p>To unify National adaptation responses and facilitate fund mobilization for National Capacity Self-Assessment, one national NCSA Report will be generated with inclusive participation and involvement of all regional member states.</p> <p>With new projects under implementation through global financing the level of engagement of key stakeholders is going to be substantive to establish linkages between the conventions and national actions.</p> |
| Operational | The project could encounter delays due to the lack of nationally-available expertise and human resources. | The Government of Somalia has sufficient incentive to design funds earmarked to support the environment management targeted towards long-term capacity development activities in a transparent manner with appropriate financial management. Relevant Ministries have an interest in fully integrating proposed recommendations into their long-term planning. |
| Strategic | Somalia`s huge governance and capacity gaps resulting absence of comprehensive and coherent governance structures currently represents a major constraint for the sustainable management of Somalia`s natural resources. | <p>NCSA will, through a country-driven process, analyze capacity gaps and capacity development needs for implementation of the UN Convention on Biological Diversity (CBD), the UN Framework Convention on Climate Change (FCCC), and the UN Convention to Combat Desertification (CCD). Capacity needs will be assessed at the individual, institutional and systemic (policy and legislative framework) levels, including by assessing vertical (local & regional authorities –national government) and horizontal (government – NGOs/CBOs – private sector) coordination structures for planning and executing activities.</p> <p>The NCSA places a strong emphasis on identifying capacity needs and priorities to ensure synergies between efforts to realize the country`s obligations under the said Environmental Conventions, and is intended to act as a catalyst for national actions to meet those needs in a coordinated and planned manner.</p> |

¹ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.

SECTION 6 – MONITORING AND OVERSIGHT ACTIVITIES

No Monitoring and Oversight activities carried out on the period under review.

| Monitoring Activity | Date | Description & Comments | Key Findings / Recommendations |
|---------------------|------|------------------------|--------------------------------|
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| | | | |

SECTION 7 – FINANCIAL REPORT

| Donor | Total funds committed | Available resources for the year | Contribution as % of AWP | Expenditure | Balance ² | % Delivery | Comments |
|--------------|-----------------------|----------------------------------|--------------------------|----------------|----------------------|------------|----------|
| GEF | 176,856 | 176,856 | 75% | 70,471 | 106,385 | 30% | |
| UNDP | 59,181 | 59,181 | 25% | 36,897 | 22,285 | 16% | |
| TOTAL | 236,037 | 236,037 | 100% | 107,368 | 128,670 | 45% | |

² Available resource for the year minus funds disbursed till now.