

Annual Work Plan (Cover Page)

UNDP Philippine Country Office Registry ANNUAL WORK PLAN
UNDAF Outcome(s):
Date Received: <u>15/05/12</u>
Forward to: <u>CCA</u>
CC: <u>00</u>

Country: Philippines

By 2018, the poor and the vulnerable will have improved access to and utilization of quality social services with focus on the MDGs least likely to be achieved.

Expected CP Outcome(s):

The poor and the vulnerable will have improved access to and utilization of quality social services with focus on the MDGs least likely to be achieved.

(Those that are linked to the intervention and extracted from the CPAP)

Expected CP Output(s):

1. Increased capacities of local communities including LGUs to access and manage assets and revenues from environmental and mineral resources;
2. Support for policy reforms in poverty reduction based on systematic policy analysis/review and support for application and institutionalization of planning and/or monitoring tools and systems.

(Those that are linked to the intervention and extracted from the CPAP)

Implementing partner:

Department of the Interior and Local Government

Narrative

The Philippines PEI (PPEI) Project supports the Government of the Philippines, civil society and the business sector to utilize revenues and benefits from sustainable environment and natural resources (ENR) management for poverty reduction focusing on the following areas:

- identifying opportunities and mechanisms for improved environment and natural resource revenues and benefits for poverty reduction and environmental sustainability;
- enhancing LGU capacities to improve benefit sharing from sustainable natural resource and environment management for poverty reduction; and,
- establish enabling conditions at national level to promote the identified opportunities for sustainable natural resource and environment management for poverty reduction.

Its outputs are:

- Output 1. Central government agencies and LGUs identify opportunities and mechanisms for improved environment and natural resource revenues and benefits for poverty reduction and environmental sustainability
- Output 2. Enhance LGU capacity to improve benefit sharing from sustainable natural resource and environment management for poverty reduction
- Output 3. Enabling conditions at the national level are established to promote identified opportunities for sustainable natural resource and environment management for poverty reduction

Programme Period: April 2011 to Dec 2012
Programme Component: Philippine Poverty and Environment Initiative
Intervention Title: _____
Budget Code: (Project ID) 00077838
Duration: 2 years

Estimated annualized budget: US\$100,000.00
Allocated resources: US\$100,000.00
 • Government _____
 • Regular _____
 • Other: _____
 ○ Donor _____
 ○ Donor _____
 Unfunded budget _____



Agreed by (Implementing Partner): *Jesse M. Robredo* JESSE M. ROBREDO, Secretary

Agreed by (NEDA, Coordinating Agency): *Rolando T. Ungpalan* ROLANDO TUNGPALAN, Deputy Director General

Agreed by (UNDP Agency): *Renaud Meyer* RENAUD MEYER, Country Director *RM*



UNITED NATIONS DEVELOPMENT PROGRAMME
 Philippines - Manila
 Project budget

Award : 00077838

Project Title: Philippine Poverty and Environment Initiative

Start year:
 End year:

2011
 2012

TOTAL PROJECT BUDGET APPROVED IN (ALL YEARS)
 US\$: 170,000.00

TOTAL AMOUNT IN 2012 IN ATLAS:
 US\$ 100,000.00

SPONSOR: UNDP
 (if more, please add)

FUND: TRAC (04000)
 (source of funds, please full description)

Implementing Partner: Department of Interior and Local Government

Project No: 00077838
 Philippine Poverty and Environment Initiative

- Activity1
 Activity2
 Activity3
 Activity4
- Description of the Activities:**
 ENR Revenue Mechanisms
 Benefit Sharing of ENR Revenues
 Sustainable ENR Management
 Management and Support

Brief Description:

This budget is undertaken to programme the TRAC funds as per approved AWP for 2012.

Cleared by:	Name/Title: <i>Corazon T. Uruicob</i> CORAZON T. URUICOB Team Leader		
UNDP:			
Approved by:	Signature: <i>[Signature]</i>	Date: _____	
UNDP:	Signature: _____	Date: _____	RENAUD MEYER Country Director
Implementing Partner:	Signature: _____	Date: _____	JESSE M. ROBREDO Secretary Department of the Interior and Local Government





**PHILIPPINES POVERTY ENVIRONMENT INITIATIVE
ANNUAL WORKPLAN 2012**
Period: March – December 2012

UNDP
Philippine Country Office Registry
ANNUAL WORK PLAN

Date Received: 20 APR 12
Forward to: CU
cc: SO



Activity	Actions Needed	Target Schedule	Responsible Party	Planned Budget			Expected Output/ Outcome
				Source of Funds	Budget Description	Amount (USD)	
OUTPUT 1: Identify opportunities for Central government agencies and LGUs for improved ENR revenues & benefits							
1.1 Lobby for Recommended Policies and General Appropriations Act (GAA) budget allocations for programs and projects on poverty alleviation and sustainable ENR Management	1.1.1 Develop an Advocacy Paper for Recommended Policies and Increased Budget for Sustainable Environment and Natural Resources (ENR) Management and Poverty Alleviation	May 2012	NAPC	UNDP	Consultants	4,000.00	Advocacy Paper on increasing environment and natural resources and related allocation for poverty alleviation in 2012
					Workshops	5,000.00	
					Travel	1,000.00	
	1.1.2 Identify Congress /Senate champions to sponsor new policies on and GAA allocations for pro-poor environmental programs and projects	April – May 2012	NAPC				List of Congress champions, CSOs, NGOs and other stakeholders who will endorse new policies and budget allocations for poverty alleviation and sustainable ENR management projects and programs
	1.1.3 Organize orientation workshops for Congress, particularly for Committees responsible for planning and budgeting	May -June 2012	NAPC				Improved capacity of congress and committee to integrate ENR to planning and allocate substantial budget for poverty alleviation and sustainable ENR management projects and programs
	1.1.4 Organize advocacy forum	June - July	NAPC				Inclusion of proposed policies and budget allocations for pro-poor and pro-environment projects and programs in the Executive Legislative Agenda of Congress Representation of various CSOs,

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	for CSOs, NGOs and other stakeholders	2012					NGOs and POs with advocacies aligned to environmental governance and alike, including involvement of representatives from diff. sectors such as IPs, Farmers, Fisherfolks, urban and rural poors, etc.
1.2 Enhance implementation and sharing of information on DILG-DOF-DENR-DBM Joint Circulars 2009-01 and 2010-01	1.2.1 Identify hindering factors on the implementation and sharing of information on DILG-DOF-DENR-DBM JC 2009-01 and JC 2010-01	April – May 2012	DILG	UNDP	Consultants	3,000.00	List of hindering factors on the implementation and sharing of information on DILG-DOF-DENR-DBM JC 2009-01 and JC 2010-01
					Workshops	1,000.00	
					Travel	1,000.00	
	1.2.2 Revise JMC 2009-01 and 2010-01 to add new provisions or amend selected provisions, and to increase LGU shares in mining taxes from non-metallic mineral products	May – June 2012	DILG				Conduct consultative workshops and policy forum to revise selected provisions in the JMC 2009-01 and JMC 2010-01 Revised JMC 2009-01 and 2010-01
	1.2.3 Endorse policy reforms that will address identified hindering factors on the implementation and sharing of information on DILG-DOF-DENR-DBM JC 2009-01 and JC 2010-01	July – September 2012	DILG to lead with DOF-BIR and BTr, DBM and DENR-MGB				Policy instrument on mainstreaming processes of DILG-DOF-DENR-DBM JC 2009-01 and JC 2010-01 Lobby/ advocate for the designation of one agency to oversee the implementation of the circulars' provisions particularly those related to the sharing of information
1.3 Create a framework or a system to speed up the release	1.3.1 Come up with a framework or system to speed up the process	May - July 2012	DILG to lead with DBM,	UNDP	Consultants	3,000.00	System or framework to speed up the process of releasing of shares of
					Workshops	2,000.00	

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of national wealth to the host LGUs	of releasing of shares of LGUs in the national wealth.		DOF-BIR and BTr and DENR-MGB		Travel	1,000.00	LGUs in the national wealth.
	1.3.2 Develop guidelines on providing advance payments of LGUs' shares in the national wealth						DILG to develop and issue Clear Guidelines on making the amounts of the advance payments made and their utilization by the LGUs transparent to their constituents and all stakeholders.
	1.3.2 Advocate the proposed framework or system to the Congress and to other concerned NGAs						DBM to develop and issue guidelines on the utilization of the advances to be made and on liquidation of the advances after Advice of Allotment has been issued. Policy Instrument on the proposed framework/system to speed up the process of releasing of shares of LGUs in the national wealth. Conduct of policy fora
1.4 Support the revival of the Minerals Development Council as the Council for Extractive Industries and Environmental Protection	1.4.1 Develop an Advocacy Paper on the Creation of Council for Extractive Industries and Environmental Protection	July 2012	DENR-MGB	UNDP	Consultants Workshops Travel	5,000.00 2,500.00 1,500.00	Policy Instrument on the Creation of the Council on Extractive Industries and Environmental Protection
1.5 Facilitate the improvement of Public Environmental Expenditure Management	1.5.1 Develop Strategy on Incorporating Environmental Expenditures to the Environmental and Poverty Outcomes in Medium	April – July 2012	DENR-MGB to lead with DBM and DOF	UNDP	Consultants Workshops Travel	2,500.00 1,500.00 790.00	Road Map on incorporating Environmental Expenditures to Environmental and Poverty Outcomes in the Medium Term

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	Term Expenditure Framework						Expenditure Framework
	1.5.2 Mainstream environmental budgeting and accounting in both national and local government	June – September 2012	DENR-MGB to lead with DBM and DOF	UNDP			Come up with a common definition of environmental accounting as applied to the national government thrusts and development plans. Such definition should consider the classification of environmental domains. Guidelines on enhancing environmental budgeting and accounting in both local and national government
	1.5.3 Formulate a data capture form for environmental expenditures so that the LGUs and NGAs could easily track down and monitor if their shares from national wealth were being utilized to pro-poor programs and environmental protections	September – November 2012	DENR-MGB to lead with DILG, DBM and DOF	UNDP			Data Capture Form for Environmental Expenditure
OUTPUT 2: Local Government Units (LGU) are better able to implement benefit sharing from sustainable natural resource and environment management for poverty reduction							
2.1 Capacity Building on estimation of annual volumes and values of mineral products	2.1.1 Improve the systems and procedures on estimation of annual volumes and values of mineral products	June – August 2012	DENR-MGB	UNDP	Consultants Workshops Travel	4,000.00 3,500.00 2,500.00	Database on estimates of annual volumes and values of mineral products Conduct of a more detailed analysis and validation from the field
	2.1.2 Capacitate ENROs on	August –	DENR-MGB	UNDP			Improved capacity of ENROs on

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	preparing, reporting and submitting estimates and actual annual volumes and values of mineral products	October 2012					preparing, reporting and submitting estimates and actual annual volumes and values of mineral products
2.2 Mainstream the Poverty-Environment Development Linkages	2.2.1 Conduct an in-depth study and mapping from a sample of 609 LGUs with mining areas and how they utilize the ENR revenues	April – September 2012	DILG with Leagues of LGUs	UNDP	Consultants Workshops Travel	4,000.00 2,500.00 4,000.00	Case studies of selected LGUs with mining areas and how the LGUs are utilizing the revenues Clear guideline / framework / criteria to make sure that the environment is directly link to poverty Policy and/or capacity building interventions to be pilot tested to atleast 3 LGUs, and set of criteria for choosing LGUs for pilot testing
	2.2.2 Develop guidelines on mainstreaming poverty-environment development linkage into local development plans and programs (i.e. AIP, CLUP, CDP and ELA) a. Pre-test the guidelines and the revised guidelines be pilot-tested to selected LGUs b. Provide a systematic capacity building on the use of guidelines and on mainstreaming PED linkages	June – July 2012	DILG – Leagues of LGUs	UNDP	Consultants Workshops Travel	5,000.00 4,000.00 3,500.00	Conduct of fora and/or consultations to concerned organizations and stakeholders in developing the guidelines Guidelines on Mainstreaming Poverty-Environment Linkages into CDP manual, Executive Legislative Agenda and Investment Program PED linkage modules and training design (includes learning materials)

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	into local development plans and programs.						At least 3 LGUs pilot-tested the revised guidelines, and set of criteria for choosing LGUs for pilot testing
	<p>2.2.3 Mainstream poverty-environment-development linkage via systematic capacity development</p> <p>a. Capacity development on P-E-D linkage planning and management to focus on:</p> <ul style="list-style-type: none"> • Fiscal and financial management emphasizing balance between spending for infrastructure for social services, and production-associated infrastructure , and • Core competencies in sustainable development 	July – August 2012	DILG – Leagues of LGUs with support from DENR-MGB and DBM	UNDP			<p>List of courses and topics to be rolled out which will strengthen the LGUs competencies in fiscal and financial management and in sustainable development, in general.</p> <p>At least 3 LGUs are provided with capacity building program</p> <p>Development of training modules</p>
	2.2.4 Facilitate a platform for LGUs to share knowledge and experiences on good practices on linking PED and utilizing revenues from sustainable natural resource and environment management for poverty reduction	August – September 2012	DILG – Leagues of LGUs	UNDP			<p>Development of Knowledge platform and advocacy education</p> <p>Increase access to Philippine PED models through the identification of LGUs with best practices on linking PED and utilizing revenues, and prototyping of experience of these LGUs through systematic documentation</p>

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2.3 Advocate the framework (Monitoring Matrix) for assessing the LGU capacity on Poverty-Environment Linkages	2.3.1 Enhance the framework (monitoring matrix) based on the recommendations of PPEI Year 1 component consultants	August 2012	DILG	UNDP			Finalized operational framework (monitoring matrix) for assessing the LGU capacity on Poverty-Environment Linkages
	2.3.2 Disseminate and publicize the Monitoring Matrix and other knowledge products for assessing LGU capacity on PED	September – October 2012	DILG	UNDP			Conduct of national forum to popularize the framework. Inclusion of different stakeholders from national agencies, LGUs, NGOs, private institutions and community stakeholders should be encouraged. Publish the guidelines, monitoring matrix and other materials/knowledge products on mainstreaming PED linkages
	2.3.3 Create LGU award based on the said assessment framework	November 2012	DILG	UNDP			Poverty-Environment LGU Award
OUTPUT 3: Establish conditions at national level to promote the identified opportunities for sustainable ENR management							
3.1 Support country endorsement of the Extractive Industry Transparency Initiative (EITI)	3.1.2 Lobby and advocate the introduction of EITI in the Philippines with national, local governments and other key stakeholders	May – November 2012	DENR-MGB to lead with DILG	UNDP	Consultants Workshops Travel	2,000.00 5,000.00 3,000.00	Introduction of EITI in the Philippines
3.2 Review possible amendments on RA 7160 and Renewable Energy Act	3.2.1. Develop a Position Paper on Amending RA 7160, Title 3, Chapter 2, Section 294 to give more liberty to LGUs on how to allocate their share in the national wealth	May – June 2012	DILG	UNDP	Consultants Workshops Travel	4,000.00 4,000.00 2,000.00	Apply Full Disclosure Policy on the collection, distribution and utilization of revenues from environment and natural resources

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	3.2.2. Develop a Position Paper on Renewable Energy Act on increasing the share of LGUs in national wealth	July – August 2012	DILG	UNDP			Secure fiscal autonomy of LGUs, especially in terms of their shares in the national wealth
3.3 Review possible amendments on selected laws governing small-scale mining to address pressing problems associated to this	3.3.1 Reconcile IRRs for the two laws governing small-scale mining, RA 7076 and PD 1899	August – September 2012	DILG to lead with DENR-MGB	UNDP			Revised IRRs for the two laws governing small-scale mining, RA 7076 and PD 1899 Policy instrument to lobby the proposed revised IRRs
Programme Support		March – December 2012	DILG	UNDP	Service Contract	5,760.00	
					Office Equipment	3,500.00	
					Supplies	450.00	
					Workshop/ Meeting	2,500.00	
GRAND TOTAL:						100,000	

Assumptions:

- Exchange rate: \$1/43.4
- Workshop/ Meetings under Programme Support include the expenses necessary for the conduct of Preliminary Activities such as Inception Meetings, Consultations with key responsible agencies and Workshops on the formulation of concept notes and activity designs; as well as Dissemination Workshops to present achievements and accomplishments of each project component.